

# **REQUEST FOR PROPOSAL**

#### FOR THE

#### **SELECTION OF THE CONSULTANT**

FOR THE PROVISION OF CONSULTANCY SERVICES FOR CONTRACT ADMINISTRATION AND CONSTRUCTION SUPERVISION FOR THE HORIZONTAL INFRASTRUCTURE AND OTHER PUBLIC FACILITIES FOR KONZA TECHNOPOLIS.



**Tender No.**KoTDA/RFP/201/2023-2024

# **REQUEST FOR PROPOSALS**

18<sup>TH</sup> MARCH 2024

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# SECTION 1 (A) - REQUEST FOR PROPOSAL (RFP)LETTER OF

#### INVITATION

Date: 19th March 2024

Reference No.: KoTDA/RFP/201/2023-2024

Name of Assignment: PROVISION OF CONSULTANCY SERVICES FOR CONTRACT ADMINISTRATION AND CONSTRUCTION SUPERVISION FOR THE HORIZONTAL INFRASTRUCTURE AND OTHER PUBLIC FACILITIES FOR KONZA TECHNOPOLIS.

Dear interested bidders,

- 1. The Konza Technopolis Development Authority (KoTDA) has set aside funds in its budget or has received financing from Government of Kenya towards the cost of the subject services.
- The Konza Technopolis Development Authority now invites proposals for provision of consultancy services to undertake the Provision of Consultancy Services for Contract Administration and Construction Supervision for the Horizontal Infrastructure and other Public Facilities for Konza Technopolis.
- 3. More details on the Services are provided in Section 5 Terms of Reference.
- If a Consultant is a Joint Venture (JV), the full name of the JV shall be used and all members, starting with the name of the lead member. Where sub-consultants have been proposed, they shall be named. The maximum number of JV members shall be specified in the DS (6.2)
- 5. It is not permissible to transfer this RFP to any other firm.
- A firm will be selected under Quality and Cost Based (QCBS) method and in a format as described in this RFP, in accordance with the Public Procurement and Asset Disposal Act 2015, a copy of which is found at the following website: <a href="https://www.ppra.go.ke">www.ppra.go.ke</a>.
- 7. The RFP includes the following documents:
  - Section 1: Letter of Request for Proposals
  - Section 2: Instructions to Consultants and Data Sheet
  - Section 3: Technical Proposal Standard Forms
  - Section 4: Financial Proposal Standard Forms
  - Section 5: Terms of Reference
  - Section 6: Standard Forms of Contract (Lump-Sum)
- 8. Please inform us by **26**th **March 2024 1700Hrs** in writing by E-mail <u>procurement@konza.go.ke</u> and copied to <u>ceo@konza.go.ke</u>
  - a) That you have received this Request for Proposals; and
  - b) Whether you intend to submit a proposal alone or intend to enhance your experience by requesting permission to associate with other firm(s) in accordance with Instructions to Consultantssection 2(a) 14.1.1.

The tender shall be submitted on or before Wednesday 3<sup>rd</sup> April 2024 at 2:00pm. Late bids shall be rejected.

- 9. Tenders will be opened at the Konza Technopolis immediately thereafter at the Conference board Room, 7th Floor, Konza complex building. KoTDA shall, on request, provide a copy of the tender opening register to a person submitting a tender. Tenderers shall therefore be required to submit their email address to <a href="mailto:procurement@konza.go.ke">procurement@konza.go.ke</a> to enable them to get any clarifications if available.
- 10. Mandatory Pre-bid conference shall be held on **Wednesday 27th March 2024 at 1100am** at the 7th Fl of Konza Complex, Konza Technopolis. No other email addresses shall be used for this tender and

KoTDA shall not be liable if bidders choose to end their information and/or enquiries to other email addresses.

11. Any additional information, addendums, or clarifications in respect to this tender will be available in our KOTDA website <a href="https://www.konza.go.ke">https://www.konza.go.ke</a> portal. You are advised to regularly check the website during the bidding period.

The Chief Executive Officer
Konza Technopolis Development Authority
P.O. Box 1 - 90150,
KONZA TECHNOPOLIS, KENYA.

E-Mail; <u>procurement@konza.go.ke</u> and copy to <u>ceo@konza.go.ke</u> Tel; +254-20-4343013/4

#### SECTION 2. INSTRUCTIONS TO CONSULTANTS AND DATA SHEET

Section 2(a). Instructions to Consultants (ITC)

#### A. GENERAL PROVISIONS

# 1. Meanings/Definitions

- a) "Affiliate(s)" means an individual or an entity that directly or indirectly controls, is controlled by, or is under common control with the Consultant.
- b) "Applicable Law" means the laws and any other instruments having the force of law in Kenya.
- c) "Procuring Entity" means the entity that is carrying out the consultant selection process and signsthe Contract for the Services with the selected Consultant.
- d) "Consultant" means a legally established professional consulting firm or an entity that may provide or provides the Services to KoTDA under the Contract.
- e) "Contract" means a legally binding written agreement signed between KoTDA and the Consultant and includes all the attached documents listed in its Clause 1 (the General Conditions of Contract (GCC), the Special Conditions of Contract (SCC), and the Appendices).
- f) "Data Sheet" means an integral part of the Instructions to Consultants (ITC) Section2thatisusedtoreflect specific assignment conditions to supplement, but not to over-write, the provisions of the ITC.
- g) "Day" means a calendar day unless otherwise specified as "Business Day". A Business Day is any day that is an official working day in Kenya and excludes official public holidays.
- h) "Experts" means, collectively, Key Experts, Non-Key Experts, or any other personnel of the Consultant, Sub-consultant or Joint Venture member(s).
- i) "Government" means the Government of the Republic of Kenya.
- j) "In writing "means communicated in written form such as by mail, e-mail, fax, including, if specified in the Data Sheet, distributed or received through the electronic-procurement system used by KoTDA with proof of receipt.
- k) "Joint Venture (JV)" means an association with or without a legal personality distinct from that of its members, of more than one Consultant where one member has the authority to conduct all business for and on behalf of any and all the members of the JV, and where the members of the JV are jointly and severally liable to KoTDA for the performance of the Contract.
- 1) "Key Expert(s)" means an individual professional whose skills, qualifications, knowledge and experience are critical to the performance of the Services under the Contract and whose CV is considered in the technical evaluation of the Consultant's proposal.
- m) "ITC" (this Section2 of the RFP) means the Instructions to Consultants that provides the Consultants with all information needed to prepare their Proposals.
- n) "Letter of RFP" means the letter of invitation being sent by KoTDA to the Consultants.
- o) "Non-Key Expert(s)" means an individual professional provided by the Consultant or its Subconsultant and who is assigned to perform the Services or any part thereof under the Contract and whose CVs are not evaluated individually.
- p) "Proposal" means the Technical Proposal and the Financial Proposal of the Consultant.

- q) "Public Procurement Regulatory Authority (PPRA)" means the statutory authority of the Government of Kenya that mandated with the role of regulating and monitoring compliance with the public procurement law and regulations.
- r) "RFP" means the Request for Proposals to be prepared by KoTDA for the selection of Consultants.

- s) "Services" means the work to be performed by the Consultant pursuant to the Contract.
- t) "Sub-consultant" means an entity to whom the Consultant intends to subcontract any part of the Services while the Consultant remains responsible to KoTDA during the whole performance of the Contract.
- u) "Terms of Reference (TORs)" means the Terms of Reference that explains the objectives, scope ofwork, activities, and tasks to be performed, respective responsibilities of KoTDA and the Consultant, and expected results and deliverables of the assignment.

#### 2. Introduction

- 2.1 KoTDA named in the Data Sheet intends to select a Consultant from those listed in the Requestfor Proposals (RFP), in accordance with the method of selection specified in the Data Sheet.
- 2.2 The Consultant are invited to submit a Technical Proposal and a Financial Proposal, for consulting services required for the assignment named in the Data Sheet. The Proposal will be the basis for negotiating and ultimately signing the Contract with the selected Consultant.
- 2.3 The Consultants should familiarize themselves with the local conditions and take them into account in preparing their Proposals, including attending a pre-proposal conference if one is specified in the Data Sheet. Attending any such pre-proposal conference is optional and is at the Consultants' expense.
- 2.4 KoTDA will timely provide, at no cost to the Consultants, the inputs, relevant project data, andreports required for the preparation of the Consultant's Proposal as specified in the Data Sheet.

#### 3. Conflict of Interest

- 3.1 The Consultant is required to provide professional, objective, and impartial advice, always holding KoTDA's interest's paramount, strictly avoiding conflicts with other assignments or its own corporate interests and acting without any consideration for future work.
- 3.2 The Consultant has an obligation to disclose to KoTDA any situation of actual or potential conflict that impacts its capacity to serve the best interest of KoTDA. Failure to disclose such situations may lead to the disqualification of the Consultant or the termination of its Contract.
- 3.3 Without limitation on the generality of the foregoing, and unless stated otherwise in the Data Sheet, the Consultants shall not be hired under the circumstances set forth below:
  - i) Conflicting Activities
    - Conflict between consulting activities and procurement of goods, works or non-consulting services: a firm that has been engaged by KoTDA to provide goods, works, or non-consulting services for a project, or any of its Affiliates, shall be disqualified from providing consulting services resulting from or directly related to those goods, works, or non-consulting services. Conversely, a firm hired to provide consulting services for the preparation or implementation of a project, or any of its Affiliates, shall be disqualified from subsequently providing goods or works or non-consulting services resulting from or directly related to the consulting services for such preparation or implementation.
  - ii) Conflicting Assignments
    - Conflict among consulting assignments: A Consultant (including its Experts and Sub-consultants) or anyof its Affiliates shall not be hired for any assignment that, by its nature, may conflict with another assignment of the Consultant for the same or for another Procuring Entity.
  - (iii) Conflicting Relationships
    - Relationship with KoTDA's staff: a Consultant (including its Experts and Sub-consultants) that has a close business or personal relationship with senior management or professional staff of KoTDA who has the ability to influence the bidding process and: (i) are directly or indirectly involved in the preparation of the Terms of Reference for the assignment,(ii) the selection process for the Contract, or (iii) the supervision of the Contract, may not be awarded a Contract, unless the conflict stemming from such relationship has been resolved in a manner that determines there is no conflict to affect this selection process.
  - iv) Others
    - Any other types of conflicting relationships as indicated in the Data Sheet.

#### 4. Unfair Competitive Advantage

4.1 Fairness and transparency in the selection process require that the Consultants or their Affiliates competing for a specific assignment do not derive a competitive advantage from having provided consulting services related to the assignment in question. To that end, KoTDA shall indicate in the Data Sheet and make available to all Consultants together with this RFP all information that would in that respect give such Consultant any unfair competitive advantage over competing Consultants.

## 5. Corrupt and Fraudulent Practices

5.1 Consultant firms or any of its members shall not be involved in corrupt, coercive, obstructive, collusive or fraudulent practice. Consultant firms or any of its members that are proven to have been involved in any of these practices shall be automatically disqualified and would not be awarded a contract.

#### 5.2 Collusive practices

- 5.2 KoTDA requires compliance with the provisions of the Competition Act 2010, regarding collusive practices in contracting. Any Consultant found to have engaged in collusive conduct shall be disqualified and criminal and/or civil sanctions may be imposed. To this effect, Consultants shall be required to complete and sign the "Certificate of Independent Proposal Determination" annexed to the ProposalForm.
- 5.3 In further pursuance of this policy, Consultants shall permit and shall cause their agents (where declared or not), subcontractors, sub-consultants, service providers, suppliers, and their personnel, to permit the Government and its agencies to inspect all accounts, records and other documents relating to any short-listing process, Proposal submission, and contract performance (in the case of award), and to have them audited by auditors, investigators or compliance officers.

#### 6. Eligibility

- 6.1 In selection of Consultants, short-listing shall be composed of firms or individuals who belong to the same line of professional business and who are almost of the same capability.
- 6.2 Unless otherwise specified in the Data Sheet, KoTDA permits Consultants including proposed experts, joint ventures and individual members from all countries and categories to offer consulting services. The maximum number of members so far JV shall be specified in the TDS.
- 6.3 The Competition Act of Kenya requires that firms wishing to tender as Joint Venture undertakings whichmay prevent, distort or lessen competition in provision of services are prohibited unless they are exempt in accordance with the provisions of Section 25 of the Competition Act, 2010. JVs will be required to seek for exemption from the Competition Authority. Exemption shall not be a condition for submission of proposals, but it shall be a condition of contract award and signature. AJV tenderer shall be given opportunity to seek such exemption as a condition of award and signature of contract. Application for exemption from the Competition Authority of Kenya may be accessed from the websitewww.cak.go.ke
- 6.4 3.2Public Officers of KoTDA, their Spouses, Child, Parent, Brothers or Sister. Child, Parent, Brother or Sister of a Spouse, their business associates or agents and firms/organizations in which they have a substantial or controlling interest shall not be eligible to tender or be awarded a contract. Public Officers are also not allowed to participate in any procurement proceedings.
- 6.5 It is the Consultant's responsibility to ensure that it's Experts, joint venture members, Sub-consultants, agents (declared or not), sub-contractors, service providers, suppliers and/or their employees meet the eligibility requirements.
- 6.6 As an exception to the foregoing ITC 6.1 and 6.2 above:
  - a) Sanctions-A firm or an individual that has been debarred from participating in public procurement shall be ineligible to be awarded a contract, or to benefit from the contract, financially or otherwise, during the debarment period. The list of debarred firms and individuals is available from the website of PPRA www.ppra.go.ke.
  - b) Prohibitions-Firms and individuals of a country or goods in a country maybe ineligible if:
    - i) As a matter of law or official regulations, Kenya prohibits commercial relations with that country; or

- ii) By an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, Kenya prohibits any import of goods or servicesfrom that country or any payments to any country, person, or entity in that country.
- c) Restrictions for Government-owned Enterprises-Government-owned enterprises or institutions in Kenya shall be eligible only if they can establish that they
  - i) Are legally and financially autonomous,
  - ii) Operate under commercial law, and
  - iii) That they are not dependent agencies of KoTDA.
- d) Restrictions for public employees Government officials and civil servants and employees of public institutions shall not be hired for consulting contracts.
- 6.7 Margin of Preference and Reservations-no margin of preference shall be allowed in the selection of consultants. Reservations may however be allowed to a specific group of businesses (these groups are Small and Medium Enterprises, Women Enterprises, Youth Enterprises and Enterprises of persons living with disability, as the case may be), and who are appropriately registered as such by the authority to be specified in the Data Sheets. A procuring entity shall ensure that the invitation to submit proposals specifically includes only businesses or firms belonging to one group.

## B. Preparation of Proposals

#### 7. General Considerations

7.1 In preparing the Proposal, the Consultant is expected to examine the RFP in detail. Material deficiencies in providing the information requested in the RFP may result in rejection of the Proposal.

#### 8. Cost of Preparation of Proposal

8.1 The Consultant shall bear all costs associated with the preparation and submission of its Proposal, and KoTDA shall not be responsible or liable for those costs, regardless of the conduct oroutcome of the selection process. KoTDA is not bound to accept any proposal and reserves the right to annul the selection process at any time prior to Contract award, without thereby incurring any liability to the Consultant.

#### 9. Language

9.1 The Proposal, as well as all correspondence and documents relating to the Proposal exchanged between the Consultant and KoTDA shall be written in the English language.

#### 10. Documents Comprising the Proposal

- 10.1 The Proposal shall comprise the documents and forms listed in the Data Sheet.
- 10.2 The Consultant shall declare in the Financial Proposal Submission Form, that in competing for and executing a contract, it shall undertake to observe the laws of Kenya against fraud and corruption including bribery, as well as against anti-competitive practices including bid rigging.
- 10.3 The Consultant shall furnish information on commissions, gratuities and fees, if any, paid or to be paid to agents or any other party relating to this Proposal and, if awarded, Contract execution, as requested in the Financial Proposal Submission Form.

#### 11. Only One Proposal

- 11.1 The Consultant (including the individual members of any Joint Venture) shall submit only one Proposal, either in its own name or as part of a Joint Venture in another Proposal. If a Consultant, including any Joint Venture member, submits s or participates in more than one proposal, all such proposals shall be disqualified and rejected. This does not, however, preclude Sub-consultant, or the Consultant's staff from participating as Key Experts and Non-Key Experts in more than one Proposal when circumstances justify and if stated in the Data Sheet.
- 11.2 Members of a joint venture may not also make an individual Proposal, be a subcontractor in a separate proposal or be part of another joint venture for the purposes of the same Contract.
- 11.3 Should a Joint Venture subsequently win the Contract, it shall consider whether an application for exemption from the Competition Authority of Kenya is merited pursuant to Section 25 of the Competition Act 2010.

#### 12. Proposal Validity

## a. Proposal Validity Period

- 12.1 The Data Sheet indicates the period during which the Consultant's Proposal must remain valid after the Proposal submission deadline.
- 12.2 During this period, the Consultant shall maintain its original Proposal without any change, including the availability of the Key Experts, the proposed rates and the total price.
- 12.3 If it is established that any Key Expert nominated in the Consultant's Proposal was not available at the time of Proposal submission or was included in the Proposal without his/her confirmation, such Proposal shall be disqualified and rejected for further evaluation and may be subject to sanctions in accordance with IT C5.

#### b. Extension of Validity Period

- 12.4 KoTDA will make its best effort to complete the negotiations within the proposal's validity period. However, should the need arise, KoTDA may request, in writing, all Consultants who submitted Proposals prior to the submission deadline to extend the Proposals' validity.
- 12.5 If the Consultant agrees to extend the validity of its Proposal, it shall be done without any change in the original Proposal and with the confirmation of the availability of the Key Experts, except asprovidedinITC12.7.
- 12.6 The Consultant has the right to refuse to extend the validity of its Proposal in which case such Proposal will not be further evaluated.

#### b. Substitution of Key Experts at Validity Extension

- 12.7 If any of the Key Experts become unavailable for the extended validity period, the Consultant shall provide a written adequate justification and evidence satisfactory to KoTDA together with the substitution request. In such case, a replacement Key Expert shall have equal or better qualifications and experience than those of the originally proposed Key Expert. The technical evaluations core, however, will remain to be based on the evaluation of the CV of the original Key Expert.
- 12.8 If the Consultant fails to provide a substitute Key Expert with equal or better qualifications, or if the provided reasons for the replacement or justification are unacceptable to KoTDA, such Proposal will be rejected

#### c. Sub-Contracting

12.8 The Consultant shall not subcontract the whole or part of the Services without reasonable justification and written approval of KoTDA.

#### 13. Clarification and Amendment of RFP

- 13.1 The Consultant may request a clarification of any part of the RFP during the period indicated in the Data Sheet before the Proposals' submission deadline. Any request for clarification must be sent inwriting, or by standard electronic means, to KoTDA's address indicated in the Data Sheet. KoTDA will respond in writing, or by standard electronic means, and will send written copies of the response (including an explanation of the query but without identifying its source) to all Consultants. Should KoTDA deem it necessary to amend the RFP as a result of a clarification, it shall do so following the procedure described below:
- 13.2 At any time before the proposal submission deadline, KoTDA may amend the RFP by issuing an amendment in writing or by standard electronic means. The amendment shall be sent to all invited Consultants and will be binding on them. The Consultants shall acknowledge receipt fall amendments in writing.
- 13.3 If the amendment is substantial, KoTDA may extend the proposal submission deadline to give the Consultants reasonable time to take an amendment in to account in their Proposals.
- 13.4 The Consultant may submit a modified Proposal or a modification to any part of it at any time prior to the proposal submission deadline. No modifications to the Technical or Financial Proposal shall be accepted after the deadline.

# 14. Preparation of Proposals-Specific Considerations

- 14.1 While preparing the Proposal, the Consultant must give particular attention to the following:
  - (a) If a Consultant considers that it may enhance its expertise for the assignment by associating withother consultants in the form of a Joint Venture or as Sub-consultants, it may do so long as only one Proposal is submitted, in accordance with ITC 11. Above. A Consultant cannot associate with shortlisted Consultant(s). When associating with non-shortlisted/non-invited firms in the form of a joint venture or a sub-consultancy, the shortlisted/invited Consultant shall be a lead member. If shortlisted/invited Consultant associates with each other, any of them can be a lead member.
  - (b) KoTDA may indicate in the Data Sheet the estimated amount or Key Experts' time input (expressed in person-month), or KoTDA's estimated total cost of the assignment, but not both. This estimate is indicative and the Proposal shall be based on the Consultant's own estimates for the same. This clause shall not apply when using Fixed Budgetselection method.
  - (c) For assignments under the Fixed-Budget selection method, the estimated Key Experts' time input shall not be disclosed. Total available budget, with an indication whether it is inclusive or exclusive of taxes, is given in the Data Sheet, and the Financial Proposal shall not exceed this budget.
  - d) Key Experts shall not appear in more than one proposal unless so allowed in the Data Sheet. Invited firms must confirm and ensure their Key experts do not appear in proposal of other invited firms, otherwise proposals with Key experts appearing in other proposals will be rejected.

#### 15. Technical Proposal Format and Content

- 15.1 The Technical Proposal shall be prepared using the Standard Forms provided in Section 3 of the RFP and shall comprise the documents listed in the Data Sheet under ITC 10.1. The Technical Proposal shall not include any financial information. A Technical Proposal containing material financial information shall be declared non- responsive.
- 15.2 Consultant shall not propose alternative Key Experts. Only one CV shall be submitted for each Key Expert position. Failure to comply with this requirement will make the Proposal non-responsive.

#### 16. Financial Proposal

16.1 The Financial Proposal shall be prepared using the Standard Forms provided in Section 4 of the RFP. It shall list all costs associated with the assignment, including (a) remuneration for Key Experts and Non-Key Experts, (b) reimbursable expenses indicated in the Data Sheet. Irrespective of the consultant selection method, any Consultant that does not submit itemized and priced financial proposal, or merelyrefers KoTDA to other legal instruments for the applicable minimum remuneration fees shall be considered non-responsive.

#### a. Price Adjustment

16.2 For assignments with a duration exceeding 18 months, a price adjustment provision for foreign and/or local inflation for remuneration rates apply if so, stated in the Data Sheet.

## b. Taxes

16.3 The Consultant and its Sub-consultants and Experts are responsible for meeting all tax liabilities arisingout of the Contract unless stated otherwise in the Data Sheet. Information on taxes in Kenya is provided in the Data Sheet.

#### c. Currency of Proposal

16.4 The Consultant may express the price for its Services in the currency or currencies as stated in the Data Sheet. If indicated in the Data Sheet, the portion of the price representing local cost shall be stated in Kenya Shillings.

#### d. Currency of Payment

16.5 Payment under the Contract shall be made in the currency or currencies in which the payment is requested in the Proposal.

#### C. SUBMISSION, OPENING AND EVALUATION

#### 17. Submission, Sealing, and Marking of Proposals

- 17.1 The Consultant shall submit a signed and complete Proposal comprising the documents and forms in accordance with ITC 10 (Documents Comprising Proposal). Consultants shall mark as "CONFIDENTIAL" information in their Proposals which is confidential to their business. This may include proprietary information, trade secrets or commercial or financially sensitive information. The submission can be done by mail or by hand. If specified in the Data Sheet, the Consultant has the option of submitting its Proposals electronically.
- 17.2 An authorized representative of the Consultant shall sign the original submission letters in the required format for both the Technical Proposal and the Financial Proposals and shall initial all pages of both. The authorization shall be in the form of a written power of attorney attached to the Technical Proposal.
- 17.3 A Proposal submitted by a Joint Venture shall be signed by all members so as to be legally binding on all members, or by an authorized representative who has a written power of attorney signed by each member's authorized representative.
- 17.4 Any modifications, revisions, interlineations, erasures, or overwriting shall be valid only if they are signed or initialled by the person signing the Proposal.
- 17.5 The signed Proposal shall be marked "ORIGINAL", and its copies marked "COPY" as appropriate. The number of copies is indicated in the Data Sheet. All copies shall be made from the signed original. If there are discrepancies between the original and the copies, the original shall prevail.

## 18. Sealing and Marking of Proposals

- 18.1 The firm shall deliver the Proposals in a single sealed envelope, or in a single sealed package, or in a single sealed container bearing the name and Reference number of the assignment, addressed to KoTDA and a warning "DO NOT OPEN BEFORE Wednesday 3rd April 2024 at 1400hrs. Within the single envelope, package or container, the Firm shall place the following separate, sealed envelopes:
- 18.2 In the single sealed envelope, or in a single sealed package, or in a single sealed container the following documents shall been closed and shall be addressed as follows:
  - i) in an envelope or package or container marked "ORIGINAL", all documents comprising the Technical Proposal, as described in ITC11;
  - ii) in an envelope or package or container marked "COPIES", all required copies of the Technical Proposal;
  - iii) in an envelope or package or container marked "ORIGINAL", all required copies of the Financial Proposal.
- 18.3 The inner envelopes or packages or containers shall:
  - i) Bear the name and address of KoTDA.
  - ii) Bear the name and address of the Firm; and
  - iii) Bear the name and Reference number of the Assignment.
- 18.4 If an envelope or package or container is not sealed and marked as required, KoTDA willassume no responsibility for the misplacement or premature opening of the proposal. Proposals that are misplaced or opened prematurely will not be accepted.
- 18.5 The Proposal or its modifications must be sent to the address indicated in the Data Sheet and received by KoTDA no later than the deadline indicated in the Data Sheet, or any extension to this deadline. Any Proposal or its modification received by KoTDA after the deadline shall be declared late and rejected, and promptly returned unopened.

#### 19. Confidentiality/Canvassing

- 19.1 From the time the Proposals are opened to the time the Contract is awarded, the Consultant should not contact KoTDA on any matter related to its Technical and/or Financial Proposal.Information relating to the evaluation of Proposals and award recommendations shall not be disclosed to the Consultants who submitted the Proposals or to any other party not officially concerned with the process, until the publication of the Contract award information.
- 19.2 Any attempt by Consultants or any one on behalf of the Consultant to influence improperly KoTDA in the evaluation of the Proposals or Contract award decisions may result in the rejection of its Proposal and may be subject to the application of prevailing PPRA's debarment procedures.
- 19.3 Notwithstanding the above provisions, from the time of the Proposals' opening to the time of Contract award publication, if a Consultant wishes to contact KoTDA on any matter related to the selection process, it should do so only in writing.

# 20. Opening of Technical Proposals

- 20.1 KoTDA's opening committee shall conduct the opening of the Technical Proposals in the presence of the Consultants' authorized representatives who choose to attend (in person, or online if this option is offered in the Data Sheet). The opening date, time and the address are stated in the DataSheet. The envelopes with the Financial Proposal shall remain sealed and shall be securely stored by KoTDA or with a reputable public auditor or independent authority until they are opened in accordance with ITC 22.
- 20.2 At the opening of the Technical Proposals the following shall be read out: (i) the name and the country of the Consultant or, in case of a Joint Venture, the name of the Joint Venture, the name of the lead member and the names and the countries of all members; (ii) the presence or absence of a duly sealed envelope with the Financial Proposal; (iii) any modifications to the Proposal submitted prior to proposal submission deadline; and (iv) any other information deemed appropriate or as indicated in the Data Sheet.

#### 21. Proposals Evaluation

- 21.1 Subject to provision of ITC 15.1, the evaluators of the Technical Proposals shall have no access to the Financial Proposals until the technical evaluation is concluded and after KoTDA notifies all the Consultants in accordance with ITC 22.1.
- 21.2 The Consultant is not permitted to alter or modify its Proposal in anyway after the proposal submission deadline except as permitted under ITC12.7. While evaluating the Proposals, the Evaluation Committeewill conduct the evaluation solely on the basis of the submitted Technical and Financial Proposals.

# 22. Evaluation of Technical Proposals

- 22.1 KoTDA's evaluation committee shall evaluate the Technical Proposals that have passed the eligibility and mandatory criteria, on the basis of their responsiveness to the Terms of Reference and the RFP. The eligibility and mandatory criteria shall include the following and any other that may include in the Data sheet.
  - a) Firm has submitted the required number of copies of the Technical Proposals.
  - b) Firm has submitted a sealed financial proposal.
  - c) The Proposal is valid for the required number of days.
  - d) The Technical Proposal is signed by the person with power of attorney, without material deviation, reservation, or omission.
  - e) The Technical Proposal is complete with all the forms and required documentary evidence submitted.
  - f) A valid tax compliance certificate or tax exemption certificate issued by the Kenya Revenue

Authority in accordance with ITT 3.14 for Kenyan firms.

- g) Key Experts are from eligible countries.
- h) Key Experts do not appear in more than one proposal, if so required.
- i) A short-listed firm has not participated in more than one proposal, if so required.
- j) The Consultant is not insolvent, in receivership, bankrupt or in the process of being wound up.
- k) The Consultant, its sub-consultants and experts have not engaged in or been convicted of corrupt or fraudulent practices.
- 1) The Consultant is neither precluded from entering into a Contract nor debarred by PPRA.
- m) The firm has not proposed employing public officials, civil servants and employees of public institutions.
- n) The Consultant, its sub-consultants and experts have no conflicts of interest.
- 22.2 Each responsive Proposal will be given a technical score. A Proposal shall be rejected at this stage if it does not respond to important aspects of the RFP or if it fails to achieve the minimum technical score indicated in the Data Sheet.

## 23. Public Opening of Financial Proposals

#### 23.1 Unsuccessful Proposals

After the technical evaluation is completed, KoTDA may notify those Consultants whose Proposals were considered non-responsive to the RFP and TOR or did not meet the minimum qualifying technical score, advising them the following: (i) their Proposal was not responsive to the RFP and TOR or did not meet the minimum qualifying technical score; (ii) provide information relating to the Consultant's overall technical score, as well as scores obtained for each criterion and sub-criterion; (iii) their Financial Proposals will be returned unopened after completing the selection process and Contractsigning; and (iv) notify them of the date, time and location of the public opening of the Financial Proposals and invite them to attend.

## 23.2 Financial Proposals for QBS, CQS and SSS

Following the ranking of the Technical Proposals, when the selection is based on QBS or CQS, the top-ranked Consultant is invited to negotiate the Contract. Only the Financial Proposal of the technically top-ranked Consultant is opened by the opening committee. All other Financial Proposals shall bereturned unopened after the Contract negotiations are successfully concluded and the Contract is signed with the successful Consultant.

When the selection is based on the SSS method and if the invited Consultant meets the minimum technical score required for passing, the financial proposal shall be opened and the Consultant invited to negotiate the contract.

# 23.3 Financial Proposals for QCBS, FBS, LCS

Following the ranking of the Technical Proposals, and after internal approvals, KoTDA shall simultaneously notify in writing those Consultants whose Proposals were considered responsive to the RFP and TOR, and that have achieved the minimum qualifying technical score, advising them the following: (i) their Proposal was responsive to the RFP and TOR and met the minimum qualifying technical score; (ii) provide information relating to the Consultant's overall technical score, as well asscores obtained for each criterion and sub-criterion; (iii) their Financial Proposal will be opened at the public opening of Financial Proposals; and (iv) notify them of the date, time and location of the publicopening and invite them for the opening of the Financial Proposals.

#### 23.4 Opening of Financial Proposals

The opening date should allow the Consultants sufficient time to decide for attending the opening and shall be no less than five (5) Business Days from the date of notification of the result s of the technical evaluation, described in ITC 22.1 and 22.2.

The Consultant's attendance at the opening of the Financial Proposals (in person, or online if such

option is indicated in the Data Sheet) is optional and is at the Consultant's choice.

The Financial Proposals shall be opened publicly by KoTDA's opening committee in the presence of the representatives of the Consultants and anyone else who chooses to attend. Any interested party who wishes to attend this public opening should contact KoTDA as indicated in the Data Sheet. At the opening, the names of the Consultants, and the overall technical scores, including the break-down by criterion, may be read aloud. The Financial Proposals will then be inspected to confirm that they have remained sealed and unopened. These Financial Proposals shall bethen opened, and the total prices read aloud and recorded. Copies of the record shall be sent to all Consultants who submitted Proposals.

#### 24. Correction of Errors

- 24.1 Activities and items described in the Technical Proposal but not priced in the Financial Proposal, shall be assumed to be included in the prices of other activities or items, and no corrections are made to the Financial Proposal.
- 24.2 Time-Based Contracts-If a Time-Based contract form is included in the RFP, in case of discrepancy between (i) a partial amount(sub-total) and the total amount, or (ii)between the amount derived by multiplication of unit price with quantity and the total price, or (iii) between figures and words, the later will prevail. In case of discrepancy between the Technical and Financial Proposals in indicating quantities of input, the Technical Proposal prevails and KoTDA's evaluation committee shall correct the quantification indicated in the Financial Proposal so as to make it consistent with that indicated in the Technical Proposal, apply the relevant unit price included in the Financial Proposal to the corrected quantity, and correct the total Proposal cost.
- 24.3 Lump-Sum Contracts If a Lump-Sum contract form is included in the RFP, the Consultant is deemed to have included all prices in the Financial Proposal, so neither arithmetical correction nor price adjustments shall be made. The total price, net of taxes understood as per ITC 24 below, specified in the Financial Proposal (Form FIN-1) shall be considered as the offered price.

#### 25. Taxes

- 25.1 Subject to ITC 24.2, all taxes are deemed to be included in the Consultant's financial proposal as separate items, and, therefore, considered in the evaluation.
- 25.2 All local identifiable taxes levied on the contract invoices (such as sales tax, VAT, excise tax, or any similar taxes or levies) and income and withholding tax payable to Kenya on the remuneration of non- resident Experts for the services rendered in Kenya are dealt with in accordance with the instructions in the Data Sheet.

## 26. Conversion to Single Currency

26.1 For the evaluation purposes, prices shall be converted to a single currency using the selling rates of exchange, source and date indicated in the Data Sheet.

#### 27. Abnormally Low Prices

- 27.1 An Abnormally Low Price is one where the financial price, in combination with other constituent elements of the proposal, appears unreasonably low to the extent that the price raises material concerns with KoTDA as to the capability of the Consulting firm to perform the Contract for the offered price.
- 27.2 In the event of identification of a potentially Abnormally Low Price by the evaluation committee, KoTDA shall seek written clarification from the firm, including a detailed price analyses of itsprice in relation to the subject matter of the contract, scope, delivery schedule, allocation of risk sand responsibilities and any other requirements of the RFP document.
- 27.3 After evaluation of the price analyses, if KoTDA determines that the firm has failed to demonstrate its capability to perform the contract for the offered price, KoTDA shall reject the firm's proposal.

## 28. Abnormally High Prices

- 28.1 An abnormally high price is one where the proposal price, in combination with other constituent elements of the proposal, appears unreasonably too high to the extent that KoTDA is concerned that it (KoTDA) may not be getting value for money or it may be paying too high a price for the contract compared with market prices or that genuine competition between Consultants is compromised.
- 28.2 In case of an abnormally high tender price, KoTDA shall make a survey of the market prices, check if the estimated cost of the contract is correct, and review the RFP to check if the specifications, TOR, scope of work and conditions of contract are contributory to the abnormally high proposals. KoTDA may also seek written clarification from the Consultants on the reason or the high proposal price. KoTDA shall proceed as follows:
  - i) If the proposal price is abnormally high based on wrong estimated cost of the contract, KoTDA <u>may</u> accept or not accept the proposal depending on KoTDA's budgetconsiderations.
  - ii) If specifications, TOR, scope of work and/or conditions of contract are contributory to the abnormally high proposal prices, KoTDA shall reject all proposals and may re-invite for proposals for the contract based on revised estimates, specifications, TOR, scope of work and conditions of contract.
- 29. If KoTDA determines that the Proposal Price is abnormally too high because <u>genuinecompetition</u> between consultants is compromised (often due to collusion, corruption or other manipulations), KoTDA shall reject all Proposals and shall institute or cause competent Government Agencies to institute an investigation on the cause of the compromise, before re-inviting for proposals. Combined Quality and Cost Evaluation

# a. Quality and Cost Based Selection (QCBS) Method

29.1 In the case of Quality and Cost Based Selection (QCBS), the total score is calculated by weighting the technical and financial scores and adding them as per the formula and instructions in the Data Sheet. The Consultant that achieves the highest combined technical and financial score will be notified and invited for negotiations.

## b. Fixed Budget Selection (FBS) Method

29.2 In the case of FBS, those Proposals that exceed the budget indicated in ITC 14.1.4 of the Data Sheet shall be rejected. KoTDA's evaluation committee will select the Consultant with the highest-ranked Technical Proposal that does not exceed the budget indicated in the RFP, notify and invite such Consultant to negotiate the Contract.

#### c. Least Cost Selection (LCS) Method

29.3 In the case of Least-Cost Selection (LCS), KoTDA's evaluation committee will select the Consultant whose Proposal is the lowest evaluated total price among those Proposals that achieve the minimum technical score required to pass, notify the Consultant and invite the Consultant to negotiatethe Contract.

#### d. Combined Technical and Evaluation Report

29.4 The evaluation committee shall prepare a combined technical and financial evaluation report, with specific recommendations for award or otherwise and subject to the required approvals within KoTDA prior to notifications and invitation of Consultant for negotiations.

## 30. Notification of Intention to enter a Contract/Notification of Award

- 30.1 KoTDA shall send to each Consultant (that has not already been notified that it has been unsuccessful) the Notification of Intention to Award the Contract to the successful Consultant. The **Notification of Intention** to enter a Contract / Notification of Award shall contain, at aminimum, the following information:
  - i) The name and address of the Consultant with whom KoTDA successfully negotiated acontract;

- ii) the contract price of the successful Proposal;
- iii) a statement of the reasons why the recipient's Proposal was unsuccessful.
- iv) the expiry date of the Standstill Period, and
- v) instructions on how to request a debriefing and/or submit a complaint during the standstill period;

#### 31. Standstill Period

31.1 The Standstill Period shall be the number of days stated in the Data Sheet. The Standstill Period commences the day after the date KoTDA has transmitted to each Consultant (that has not already been notified that it has been unsuccessful) the Notification of Intention to Award the Contract. The Contract shall not be signed earlier than the expiry of the Standstill Period. This period shall be allowed for aggrieved Consultants to lodge an appeal. The procedure for appeal and the authority to determine the appeal or complaint is as indicated in the Data Sheet.

#### D. NEGOTIATIONS AND AWARD

## 32. Negotiations

- 32.1 The negotiations will be held at the date and address indicated in the Data Sheet with the Consultant's representative(s) who must have written power of attorney to negotiate and sign a Contract on behalf of the Consultant.
- 32.2 The evaluation committee shall prepare minutes of negotiations that are signed by the Accounting Officer and the Consultant's authorized representative.

#### 32.3 Availability of Key Experts

The invited Consultant shall confirm the availability of all Key Experts included in the Proposal as a prerequisite to the negotiations, or, if applicable, a replacement in accordance with ITC 12. Failure to confirm the Key Experts' availability may result in the rejection of the Consultant's Proposal and KoTDA proceeding to negotiate the Contract with the next-ranked Consultant.

32.4 Notwithstanding the above, the substitution Key Experts at the negotiations may be considered if due solely to circumstances outside the reasonable control of and not foreseeable by the Consultant, including but not limited to death or medical in capacity. In such case, the Consultant shall offer a substitute Key Expert within the period of time specified in the letter of invitation to negotiate the Contract, who shall have equivalent or better qualifications and experience than the original candidate.

#### 32.5 Technical negotiations

The technical negotiations include discussions of the Terms of Reference (TORs), the proposed methodology, KoTDA's inputs, the special conditions of the Contract, and finalizing the "Description of Services" part of the Contract. These discussions shall not substantially alter the original scope of services under the TOR or the terms of the contract, lest the quality of the final product, its price, or the relevance of the initial evaluation be affected.

## 32.6 Financial negotiations

The financial negotiations include the clarification of the Consultant's tax liability in Kenya and how it should be reflected in the Contract. All applicable taxes shall be itemized separately and included in the contract price.

- 32.7 If the selection method included cost as a factor in the evaluation (that is QCBS, FBS, LCS), the unit rates and the total price stated in the Financial Proposal for a Lump-Sum contract shall not be negotiated.
- 32.8 Where QBS or CQS methods was used for a *Lump-sum Contract* as indicated in the RFP, the unit rates negotiations shall not take place, except when the offered Key Experts and Non-Key Experts' remuneration rates are much higher than the typically charged rates by consultants in similar contractsor the professional practice. In such case, KoTDA may ask for clarifications and, if the fees are very high, ask to change the rates. The format for (i) providing information on remuneration rates in the case of QB Sand CQS; and (ii) clarifying remuneration rates' structure under this Clause, is provided in Appendix A to the Financial Form FIN-3: Financial Negotiations Breakdown of Remuneration Rates. If after the clarifications, the price is still considered too high, KoTDA may terminate the negotiation and invite the next

ranked Consultant to open its financial proposal andnegotiate the contract.

- 32.9 In the case of a *Time-Based contract*, negotiation of unit rates shall not take place, except when the offered Key Experts and Non-Key Experts' remuneration rates are much higher than the typically charged rates by consultants in similar contracts. In such case, KoTDA may ask for clarifications and, if the fees are very high, ask to change the rates. The format for (i) providing information on remuneration rates in the case of QBS and CQS; and (ii) clarifying remuneration rates 'structure under this Clause, is provided in Appendix A to the Financial Form FIN-3: Financial Negotiations-Breakdown of Remuneration Rates. If after the clarifications, the price is still considered too high, KoTDA may terminate the negotiation and invite the next ranked Consultant fornegotiations.
- 32.10 Where SSS method was used as indicated in the RFP, both the unit rates and total price shall be negotiated. If the negotiations fail, KoTDA shall terminate the Consultant selection process. In that event, KoTDA shall review the consultancy requirements and market conditions prior to deciding to use an appropriate selection method to again procure the consulting services.

## 33. Conclusion of Negotiations

- 33.1 The negotiations are concluded with a review of the finalized draft Contract, which then shall be initialed by the Accounting Officer and the Consultant's authorized representative and minutes prepared to record the outcome of the negotiations.
- 33.2 If the negotiations fail, KoTDA shall inform the Consultant in writing of all pending issues and disagreements and provide a final opportunity to the Consultant to respond. If disagreement persists, KoTDA shall terminate the negotiations informing the Consultant of the reasons for doing so. KoTDA will invite the next-ranked Consultant to negotiate a Contract. Once KoTDA commences negotiations with the next-ranked Consultant, KoTDA shall not reopen the earlier negotiations.

#### 34. Letter of Award

34.1 Upon expiry of the Standstill Period, specified in ITC 28.1, after satisfactorily addressing any appeal that has been filed within the Standstill Period, and upon successful negotiations, KoTDA shall send a Letter of Award to the successful Consultant. The letter shall confirm KoTDA's award of Contract to the successful Consultant and requesting the Consultant to sign and return the draft negotiated Contract within Twenty-One (21) Days from the date of the Letter of Award.

#### 35. Signing of Contract

- 35.1 The Contract shall be signed prior to the expiration of the Proposal Validity Period and promptly after expiry of the Standstill Period, specified in ITC 28.1 and upon satisfactorily addressing any complaint that has been filed within the Standstill Period.
- 35.2 The Consultant is expected to commence the assignment on the date and at the location specified in the Data Sheet.

#### 36. Publication of Procurement Contract

- 36.1 Within the period specified in the Data Sheet, KoTDA shall publish the awarded Contract which shall contain, at a minimum, the following information: (a) name and address of KoTDA; (b) name and reference number of the contract being awarded, (c) the selection method used; (d) names of the consultants that submitted proposals; (e) names of all Consultants whose Proposals were
  - (d) names of the consultants that submitted proposals; (e) names of all Consultants whose Proposals were rejected or were not evaluated; (f) the name of the successful consultant, the final total contract price, the contract duration and a summary of its scope.
- 36.2 Consider carefully the information on Consultants to be published, particularly evaluation by KoTDA, to avoid disclosing information which can facilitate bid-rigging formation going forward. Suggest amendment as follows:
- 36.3 The awarded Contract shall be published on KoTDA's website with free access if availableand in the official procurement tender porta

# Section 2. Instructions to Consultants - Data Sheet

Reference	PARTICULARS OF APPENDIX TO INSTRUCTIONS TO TENDERS				
to					
ITC clause					
A. General Provisions					
1(j)	Electronic procurement system shall be used: No				
1(K)	Joint Venture submissions; YES				
ITC 2.1	Name of Procuring Entity: Konza Technopolis Development Authority				
	The Chief Executive Officer Konza Technopolis Development Authority				
	P.O. Box 1 - 90150,				
	KONZA TECHNOPOLIS, KENYA.				
	E-Mail; procurement@konza.go.ke and ceo@konza.go.ke				
	Tel; +254-20-4343013/4				
	Method of selection: Quality Cost Based Selection Method				
	The Consultant selection method is: Quality and Cost Based Selection("QCBS"),				
	Time of Continue Live have a Continue				
ITC 2.2	Type of Contract: Time-based Contract  Technical and financial proposals SHALL be submitted separately as per 18.2 of this				
110 2.2	Data Sheet.				
	The name of the assignment is:				
	Provision of Consultancy Services for Contract Administration and Construction				
	Supervision for the Horizontal Infrastructure and other Public Facilities for Konza				
ITC 2.3	Technopolis.				
110 2.3	A Mandatory pre-tender conference will be held – YES.				
	Date of Pre - proposal conference:				
	The pre-proposal conference is <b>MANDATORY</b> . The pre-proposal conference shall be				
	held on Wednesday 27th March 2024 at 11am at the Konza Technopolis.				
	Interested bidders are required to make arrangements to acquaint themselves with the				
	project site before submission of bids.				
	Bidders visiting the site shall register through <u>procurement@konza.go.ke</u> and copy				
ITC 2.4	ceo@konza.go.ke				
110 2.4	The Consultants may request for any additional information required to facilitate response to the proposal via email contacts provided in ITC 2.1.				
ITC 3.3	The following additional circumstances shall be considered as a conflict of interest:				
	<ul> <li>The Konza Technopolis Development Authority requires that tenderers observe the highest</li> </ul>				
	standard of ethics during the procurement process and execution of contracts. A tenderer				
	shall sign a self-declaration that he has not and will not be involved in corrupt or				
	fraudulent practices.				
	• The Konza Technopolis Development Authority will reject a proposal for award if it				
	determines that the tenderer recommended for award has engaged in corrupt or				
	fraudulent practices in competing for the contract in question.				
	Further a tenderer who is found to have indulged in corrupt or fraudulent practicesrisks being				
	debarred from participating in public Procurement in Kenya. Canvassing for the tender by				
	the tenderer or by proxy shall lead to automatic disqualification of their tender.				

Reference	PARTICULARS OF APPENDIX TO INSTRUCTIONS TO TENDERS			
to ITC clause				
6.2	Maximum number of members in the Joint Venture (JV) shall be: [Two (2)].			
6.6(a)	The list of debarred firms and individuals is available at the PPRA's website <a href="https://www.ppra.go.ke">www.ppra.go.ke</a> or email <a href="mailto:complaints@ppra.go.ke">complaints@ppra.go.ke</a>			
6.7	The business will be registered with Registrar of companies.			
	B. Preparation of Proposals			
ITC 9.1	Proposals shall be submitted in English language. All correspondence exchanges and documents shall be in English language.			
ITC 10.1	The Proposal shall comprise the following:			
	Technical proposal  a) Power of Attorney to sign the proposal			
	b) TECH 1: Technical proposal submission form			
	c) TECH 2: Consultant's organisation and experience			
	d) TECH 3: Comments and suggestions			
	e) TECH 4: Description of approach, methodology and work plan			
	f) TECH 5: Work schedule and planning for deliverables			
	g) TECH 6: Team composition, assignment, and key experts' input			
	h) TECH 7: Mandatory documentary evidence			
	And			
	Financial proposal			
	i) FIN 1: Financial proposal submission form			
	j) FIN 2: Summary of costs			
	k) FIN 3: Breakdown of remuneration			
	l) FIN 4: Breakdown of reimbursable expenses			
	Note: The technical proposal shall NOT include any financial information required under the financial proposal – if bidders combine the technical and financial, they tender will be rejected.			
ITC 11.1/ ITC 14 d	Participation of Subconsultants, Key Experts and Non-Key Experts in more than one Proposal is not permissible.			
ITC 12.1	Proposals must remain valid for 150 calendar days after the Proposal submission deadline.			
ITC 13.1	Clarifications may be requested not later Tuesday 26 <sup>th</sup> March 2024			
	The contact information for requesting clarifications is:			
	The Chief Executive Officer			
	Konza Technopolis Development Authority P.O. Box 1 — 90150			
	Konza Technopolis Kenya			
	E-mail: <u>procurement@konza.go.ke</u> and copy to <u>ceo@konza.go.ke</u> Tel +254-20-4343013/4			
	During office hours (weekdays from 0800hr to 1700hr EAT, excluding public holidays)			
ITC 14.1b	The Consultants Proposal must include the following minimum Person Month Input:  1. Construction Supervision: Key Experts' time-input of 540 person-months and 180 person-			

Reference	PARTICULARS OF APPENDIX TO INSTRUCTIONS TO TENDERS			
to ITC clause				
TTC clause	months for Non-Key Personnel.			
	Bidders are required to provide indicative prices for the on-demand services below separately and shall not form part of the form of tender.  On demand services.			
	1. 100 Pilot Digital Hubs – 54 person-months 2. O&M Services for Konza Technopolis – 372 person-months			
	For the evaluation and comparison of Proposals only: if a Proposal includes less than the required minimum time-input, the missing time-input (expressed in person-month) is calculated as follows:			
	The missing time-input is multiplied by the highest remuneration rate for a Key Expert in th Consultant's Proposal and added to the total remuneration amount. Proposals that quoted higher than the required minimum of time-input will not be adjusted.			
ITC 16.1	1) Reimbursable expenses such as subsistence (per diem, housing), transportation			
	(international and local, for mobilization and demobilization) services and			
	equipment (vehicles, office equipment, furniture and supplies) office rent, insura printing of documents, surveys and trainings, communication costs, administration	•		
	provisional sum in 2 below, other allowances and expenses where applicable of			
	provisional sum in 2 below, other allowances and expenses where applicable and provisional or fixed sums(if any), if it is a major component of the assignment. If			
	appropriate these costs should be broken down by activity.			
	2) Include a provisional sum for Kenyan Shilling Fifty Million (Kshs 50Million) for			
	capacity building Initiatives, Graduate Internship Programme, Project Vehicles and			
	equipment and training of client staff on certification modules as provided in the			
ITC 16.2	TOR. A price adjustment provision DOES NOT apply to remuneration rates			
ITC 16.4	The financial Proposal shall be stated in the following currencies:			
	Kenya Shillings (KES)			
	C. Submission, Opening and Evaluation			
	Electronic procurement system shall be used: <b>NO</b> The consultants shall submit their proposals to the addresses below;			
	The Proposal submission address is:			
	The Chief Executive Officer			
	Konza Technopolis Development Authority			
	P.O. Box 1 - 90150,			
	KONZA TECHNOPOLIS, KENYA.			
	E-Mail; procurement@konza.go.ke and ceo@konza.go.ke Tel: +254-20-4343013/4			
ITC 17.5	Bidders shall submit <b>ONE ORIGINAL</b> and <b>ONE SOFT COPY</b> in a <b>flash drive</b> of the bid proposals both Financial and Technical in separate envelopes.  All copies shall be made from the signed and stamped original.	eir		
ITC 18.2	In the single sealed envelope or package or container the following documents sha	ıll		
	been closed and shall be addressed as follows:			
	i. in an envelope or package or container marked "ORIGINAL", all documents comprising the <b>Technical Proposal</b> , as described in ITC11;			
	ii. in an envelope or package or container marked "ORIGINAL", all required			
	copies of the Financial Proposal; and			
	A <b>soft Copy</b> in a flash drive. NB. All these envelopes shall be place in a single envelope and marked accordingly	, <u>.</u>		
		•		

Reference	PARTICULARS OF APPENDIX TO INSTRUCTIONS TO TENDERS			
to				
ITC clause	The Proposals must be submitted not later than:			
	Date: Wednesday 3 <sup>rd</sup> April 2024			
	Time: 1400HRS/2pm EAT			
	The Proposal submission address is as per ITC 17.1 of the Data sheet			
ITC 20.1	Tenders will be opened immediately <b>Wednesday 3<sup>rd</sup> April 2024 at 1400hrs</b> at the Board			
	Room, 7 <sup>th</sup> Floor, Konza Technopolis Development Authority Headquarters, Konza comple			
	building.			
ITC 22.1	ELIGIBILITY AND MANDATORY CRITERIA			
	1. Submit the required number of copies of both the technical and financial proposals and			
	must be separated as instructed.  2. Submit certificate of registration/incorporation.			
	3. Submit a valid tax compliance certificate as at the date of tender opening.			
	4 Submit a dully filled, signed, and stamped Technical Proposal Submission Form and			
	Activity (Work) Schedule.			
	5. Provide a self-declaration that the Consultant and the Experts are not debarred from			
	participating in Public Procurement.  6. Provide a self-declaration that the Consultant and the Experts will not engage in any			
	corrupt practice.			
	7. MUST provide a valid professional indemnity cover from a reputable insurance			
	company regulated by the Insurance Regulatory Authority equivalent to a Minimum			
	Kenya Shillings One Hundred Million (Kes 100 Million).			
	8. International bidders must partner with a local organization/partner and submission of			
	eligible JV Agreement in case of a JV or Agreement of Association in case of associated consultants.			
	9. Submit a tender security of Kenya Shillings Five Hundred Thousand (Kes 500,000).			
	Valid for 150 Days			
	10. Submission of one copy clearly marked 'ORIGINAL' and one "SOFT COPY' of both			
	financial bid and the technical bid. The proposal must be initialized and paginated.			
	11.Provide a written commitment that they will preserve a portion of the tender sum and reserve them for goods or services provided by local youth, women and people living			
	with disabilities during implementation of the contract if awarded. Access to			
	Government Procurement Opportunities (AGPO) registered firms.			
	12. MANDATORY Pre-bid conference (To be issued with a site visit certificate) on			
	Wednesday 27th March 2024 at 11am			
S	STAGE 2: TECHNICAL EVALUATION			
ITC 23.4	After the Technical Evaluations, bidders who have met the minimum combined technical score of			
	80 points will be invited for the financial opening of their bids. Bidders who will not have met the			
	minimum technical score of 80 points, will have their sealed financial returned, unopened.			
	Financial Proposal submitted will be opened for those Proposals considered responsive to			
	the technical evaluation. A responsive technical proposal shall have a weighted technical			
	minimum score of 80 and above. The opening date will be communicated by the client.			
ITC 25.1	1 The evaluation will be carried out on the basis of the Consultant's financial Proposal including a			
	taxes, duties and fees.			
ITC 29.1	The Consultants that achieve the minimum technical score requirements will proceed to financial			
	evaluation and the bidder with the financial proposal evaluated as the lowest will be given the			
	maximum financial score (sf) of 100.			
	The formula for determining the financial scores (Sf) of all other			
	Proposals is calculated as following:			
	$Sf = 100 \times Fm/F$ , in which "Sf" is the financial score, "Fm" is the lowest			
	price, and "F" the price of the proposal under consideration.			

Reference	PARTICULARS OF APPENDIX TO INSTRUCTIONS TO TENDERS				
to					
ITC clause					
	The weights given to the Technical (T) and Financial (P) Proposals are:				
	T = 80, and				
	P=20				
	Proposals are ranked according to their combined technical (St) and financial				
	(Sf) scores using the weights ( $T = the weight given to the Technical Proposal.$				
	P = the weight given to the Financial Proposal; $T + P = 1$ ) as following: $S =$				
	$St \times T\% + Sf \times P\%$ .				
D. Nogotiatio	ons and Award				
D. Negotiatio	ons and Award				
ITC 32.1 Expected date and venue for contract negotiations:					
	Date: to be confirmed but within the bid validity period.				
	Address:				
	The Chief Executive Officer				
Konza Technopolis Development Authority					
	P.O. Box 1 - 90150,				
	KONZA TECHNOPOLIS, KENYA.				
	E-Mail; procurement@konza.go.ke and ceo@konza.go.ke				
ITC 35.2	Tel; +254-20-4343013/4 Expected date for the commencement of the Services:				
116 33.2	Immediately after Contract signing				
ITC 36.1	The publication of the contract award information following the completion of the				
	contract negotiations and contract signing will be done as following:				
	The publication will be done within _7 days after the contract signing [Insert the				
	legally recognised days for publishing the Contract Award Notice]				
ITC 37.1					
116 37.1	Kenyan Public Procurement and Asset Disposal Act, 2015 procedures shall apply.				
ITC 31	The Standstill Period shall be: 14 days				

#### Section 2. Instructions to Consultants - Data Sheet

#### REFER TO ITC 22.1

#### **Evaluation Methodology & Criteria**

#### 1. Overview

The evaluation of Technical Proposal shall be carried out in threes (3) steps as follows:

#### **Step 1: Preliminary Evaluation**

At this stage each of the submitted Technical Proposal shall be examined for its eligibility and conformity with the stipulations in the Request for Proposal (RFP).

## Step 2: Technical Evaluation

In this section each of the submitted Technical Proposal document, comprising the Educational Consultancy and Architectural Engineering Consultancy, shall be evaluated based on the following evaluation criteria:

No	Evaluation Criteria	Points		
1	Specific experience of the consulting firm related to the assignment	15		
2	Adequacy of the proposed work plan and methodology in responding to the terms of reference			
3	Qualifications and competence of the key staff for the assignment 50			
4	4 Suitability to the transfer of Technology Programme 10 (Training)			
5	5 Evidence of participation of Kenyan Nationals 10			
	Total Points	100		

The bidder must meet all the following criteria to be considered for the next stage.

- The bidder must attain a minimum of 80% of each category of the technical evaluation criteria namely, Specific experience of the consulting firm related to the assignment; Adequacy of the proposed work plan and methodology in responding to the terms of reference; Qualifications and competence of the key staff for the assignment; Suitability to the transfer of Technology Programme (Training); and Evidence of participation of Kenyan Nationals.
- The bidder must attain a minimum overall score of 80%.

#### **Step 3: Financial Evaluation**

All bidders that have passed the technical evaluation shall be subjected to financial evaluation.

#### Step 4: Due diligence.

The Evaluation committee will determine the procedures of undertaking due diligence.

# Sheet 2. Preliminary Evaluation (Step 1)

At this stage, each of the submitted Technical Proposal shall be examined for its eligibility and conformity as stipulated in the Request for Proposal (RFP) as follows:

**Consultants Preliminary Evaluation Checklist** 

No	Preliminary Evaluation Criteria (Mandatory Requirements) The tenderer Must:			
	ionacioi mesi.			
1.	Submit the required number of copies of both the technical and financial			
	proposals and must be separated as instructed.			
2.	Submit certificate of registration/incorporation.			
3.	Submit a valid tax compliance certificate as at the date of tender opening.			
4.	Submit a dully filled, signed, and stamped Technical Proposal Submission Form.			
5.	Provide a self-declaration that the Consultant and the Experts are not			
	debarred from participating in Public Procurement.			
6.	Provide a self-declaration that the Consultant and the Experts will not engage in any corrupt practice.			
7.	MUST provide a valid professional indemnity insurance from a reputable			
	insurance company regulated by the Insurance Regulatory Authority			
	equivalent to a Minimum Kenya Shillings One Hundred Million (Kes 100			
	Million).			
8.	International bidders must partner with a local organization/partner and			
	submission of eligible JV Agreement in case of a JV or Agreement of			
	Association in case of associated consultants.			
9.	Submit a tender security of Kenya Shillings Five Hundred Thousand (Kes 500,000). Valid for 150 Days			
10.	Submission of two copies clearly marked 'ORIGINAL' and "COPY' of both			
	financial bid and the technical bid. The proposal must be initialized and paginated.			
	Mandatory pre-bid Conference (To be issued with a pre-bid conference			
	certificate) on Tuesday 27th March 2024 at 11 am.			
11.				
12.	Provide a commitment that they will preserve a portion of the tender sum			
	and reserve them for goods or services provided by local youth, women and			
	people living with disabilities during implementation of the contract if			
	awarded. Access to Government Procurement Opportunities (AGPO)			
	registered firms.			

Note: A. The above criteria will be evaluated on a "Pass or "Fail" Basis.

B. A technical proposal shall be disqualified if it "Fails" anyone of the above criteria.

## 3. Detailed Technical Evaluation (Step 2)

In this section each submitted Technical Proposal shall be evaluated based on the following evaluation criteria.

## 3.1. Detailed Evaluation Criteria

Each submitted Technical Proposal section for the Consultant shall be evaluated as per the table below.

Criteria	Sub-Criteria	Points
Experience of the Consultant	General experience in such projects	5
related to		
the assignment in the last 5 years from		
the date of Letter of		
Invitation (LOI).		
	Specific experience	10
Total	<u>,                                      </u>	15
	Understanding of Objectives	4
Adequacy of the proposed	Quality of Methodology	5
methodology and work plan in responding	Innovativeness / Comments on TOR	2
to the section 5 (Terms of	Work Program	2
Reference)	Project Organization and Manpower	
	Allocation Schedule	2
Total	A Mocanion deficable	15
Tolui	KEY EXPERTS	13
	Site Management & Common	
	Resident Engineer	3
	Quantity Surveyor	3
	Land Surveyor	3
	Document Controller	2
	Health Safety & Environmental Officer	2
Key Expert's qualifications	Water Treatment Plant	
and competence for the	Water & Sanitation Engineer	2
services; Experience of the	Senior Mechanical Engineer	2
team members related to the	Streetscape and Subsurface Utilities	
assignment in the last 10	Roads/ Geometric Engineer	2
years from the date of LOI.	Electrical Engineer	2
	Materials/Pavement Engineer	2
	ICT Engineer	2
	Buildings	
	Architect	3
	Structural Engineer	2
	Parks	age 27 of 1

	Landscape Architect	2
	Smart City	
Smart City Architecture		5
	NON-KEY EXPERTS	
	Assistant Document Controller	2
	Assistant Materials Engineer	2
	Assistant Quantity Surveyor	2
	Procurement Officer	2
	Assistant Health Safety & Environmental	2
Total		50
Suitability to the transfer of	Plan for training young graduates	5
knowledge and technology programme	Plan for knowledge transfer to Client	
(Training)	organization	5
Total		10
Evidence of participation of		
Kenyan	Plan of the consultant to engage Kenyan	
Nationals and/or firms		10
Grand Total		100

# 3.2. Sub-criteria and Point System

## 3.2.1. Rating the Standard Factors for Evaluation

Upon review of the Technical Proposals submitted, each member of the Consultants Selection/ Evaluation Committee (Evaluation Committee) will evaluate each factor shown on the Detailed Evaluation criteria. This will require the application of a percentage rating, with a maximum rating of (100).

The range of ratings to be applied is as follows:

## **Rating System**

<u> </u>		
Very Good	100%	
Good	75%	
Satisfactory	50%	
Poor	0%	

Rating Definitions		
Very Good 100%		The proposal substantially exceeds the sub criteria requirements
Good 75% The proposal exceeds the sub criteria requirements		The proposal exceeds the sub criteria requirements
Satisfactory	50%	The proposal fully meets the sub criteria requirements
Poor/No Complying	0%	The proposal either does not comply with the sub criteria requirements or does not comply with the sub criteria requirements or does not provide enough information to allow a higher rating

It is important to note that the rating applied are meant to differentiate between the proposals during the course of their evaluation as the final ranking of proposals will be achieved through the resulting differences in their final scores. No inter band ratings are allowed-that is ratings must be given as scoring table above and not 77%, 63% or 52% etc.

# 3.2.2. Consultant Experience related to the assignment (15 Points)

Experience will be evaluated only on the following types of work: contract administration and construction supervision consultancy in similar projects-as defined elsewhere in this document

# **Experience of the Consultant- 15 Marks**

# **General Experience (5 points)**

Classification	Points	Evaluation (	Criteria			
C : (.)		The number of similar projects successfully completed				
Capacity of the Consultant related to the		within 5 years exceeding US \$2,000,000 of the				
assignment in the last 5		consulting co	ntract price.			
years from the date of the		N ≥ 8	8>N≥5	5>N≥2	2>N≥0	
Letter on Invitation	5	100%	75%	50%	0%	
Specific Experience (10 points)						
Classification	Points	Evaluation (	Criteria			
		The number of similar projects successfully completed exceeding US\$3,000,000 of the consulting contract price in any country other than Eastern Africa.				
Capacity of the						
Consultant related to the	6	N ≥ 5	5>N≥3	3>N≥1	1> N	
assignment in the last 5		100%	75%	50%	0%	
years from the date of the		The number of similar projects successfully completed				
LOI		exceeding US\$750,000 of the consulting contract price				
		within Eastern Africa.				
	4	N ≥2	N = 1	1> N		

#### Note:

- A. Successfully completed means the project is 70% completion rate and above.
- **B.** Similar projects mean projects of majorly civil engineering nature and buildings. These include but not limited to roads, water supply, sewer treatment, electrical distribution, parks, buildings. The scope of projects should be as tabulated below.

Type of Project	Cost/Value in Kshs
Water Treatment Plant	2.4 Billion
Roads	8.5 Billion
Water Supply Network	1.6 Billion
Wastewater Collection System	2.0 Billion
Stormwater Collection System	2.3 Billion
Solid Waste Vacuum Collection System	2.5 Billion
Parks	2.5 Billion
Electrical System (MV&LV)	4.4 Billion
Buildings	2.8 Billion

# 3.2.3. Adequacy of the proposed methodology and work plan in responding to the section 5 (Terms of Reference) (15 Points)

Evaluation Sub-criteria	Points		Description	n and Points			
Understanding of Objectives	4	Understanding of the project objectives and significance of the project (service/assignment) to the achievement of the goals and relationship to the desired outputs as requested in the TOR including the circumstances of the related industries in the Client's country.					
		Very good	Good	Satisfactory	Poor		
		100%	75%	50%	0%		
Quality of Methodology	4	sed approach of the logical aments					
		Very good	Good	Satisfactory	Poor		
		100%	75%	50%	0%		
Innovativeness / Comments on TOR	2	The degree of the presence of an alternative and unique approach, comments, and suggestions on the TOR which would improve the quality/effectiveness of the project without substantially changing the requirements of the TOR.					
ION		Very good	Good	Satisfactory	Poor		
		100%	75%	50%	0%		

Evaluation Sub-criteria	Points		Description	n and Points			
Work Program	2	Work program in	•	nization chart and oject schedule.	graphical		
l v ork r rogram	_	Very good	Good	Satisfactory	Poor		
		100%	75%	50%	0%		
Project Organization and Manpower	3	project requirem	Relationship between required Man-months and estimated project requirements, and compatibility of manpower allocation schedule with the proposed work program.				
Allocation		Very good	Good	Satisfactory	Poor		
Schedule		100%	75%	50%	0%		
Total	15						

Note: Work Program and Project Organization & Manpower Allocation Schedule should include also "the plan of the collaboration with local experts".

The qualitative items for Adequacy of the Proposed Methodology and work plan will be evaluated according to the following standards, depending upon the characteristics of each of the four classified items:

- 1) **Very Good** In addition to the requirements stated below under "Good," important issues are approached in an innovative and efficient way, indicating that the Consultant has understood the main issues of the Consulting Services and have outstanding knowledge of new solutions. The proposal details ways to improve the results and the quality of the assignment by using advanced approaches, methodologies, and knowledge.
- 2) **Good -** The proposed approach is discussed in detail and methodology is specifically tailored to the characteristics of the assignment and flexible enough to allow it to adapt to changes that occur during the execution of the tasks and/or services.
- 3) **Satisfactory** The way to carry out the different activities of the roles and responsibilities is discussed generically. The approach is standard and not specifically tailored to the assignment. Although the approach and methodology are suitable, they do not include a discussion on how the Consultant proposes to deal with critical characteristics and specific features of the assignment.
- 4) **Poor** The technical approach and/or the methodology to carry out important activities indicated in the roles and responsibilities is inappropriate or very poorly presented, indicating that the Consultant has not properly understood important aspects of the work scope.

#### 3.2.4. Consultant's Team Members Experience (55 Points)

Experience will be evaluated only on the following types of work: construction supervision and contract administration consultancy in similar projects-as defined elsewhere in this document.

Each member of the Consultant shall be evaluated based on the percentages in the table below.

No	Evaluation Criteria	Evaluation Percentage
1.	General Qualifications	30%
	Number of Years of Experience in construction or	
2.	engineering industry	30%
	Number of similar projects undertaken in terms of scope	
3.	and size	40%
	Total	100%

#### Note:

- The Consultant is required to submit only one CV for each of the Key Experts without any alternate expert.
- Key Experts who do not attain the minimum qualification(s) defined in the Terms of Reference will get a zero rating.

#### **Definitions/ Acronyms**

The following definitions/acronyms apply in this section.

- 1. P.E. Means a Professional Engineer certified or authorized to practice by Engineers Board of Kenya or equivalent professional institution.
- Licensed Arch/QS Means an Architect or Quantity Surveyor certified or authorized by the Board of Registration of Architects and Quantity Surveyors (BORAQS) of Kenya or equivalent professional institution.
- 3. Licensed Professional Means a professional Certified or authorized to practice by relevant professional body in their country of origin or Kenya or other equivalent professional institution.

# **KEY EXPERTS**

Resident Engine	er (3 Marks)							
Item	Points	Evaluation Crite	Evaluation Criteria					
General		General qualific	General qualification.					
Qualifications	30%	P.E		Bachelor's deg	ree			
		100%		0%				
		Number of year	Number of years of relevant experience in Construction and					
	30%	Building Industry	Building Industry					
		N≥1 <i>5</i>	15>N≥10	10>N≥5	5 > N			
		100%	0%					
		Number of simile	ar projects und	ertaken in terms	of scope and			
		size						
	40%	N≥10	10>N≥7	7>N≥3	3> N			
		100%	75%	50%	0%			

# Section 2. Instructions to Consultants - Data Sheet Site management team-13 Mks

Quantity Surveyo	or (3 <u>Ma</u> rks)				
ltem	Points	Evaluation Crite	ria		
General		General qualifi	cations.		
Qualifications	30%	Licensed QS		Bachelor's deg	ree
		100%		0%	
Suitability for		Number of years of relevant experience in Construction of			
the Services		Building Industry	<b>y</b>	•	
	30%	N ≥ 5	5>N≥3	3>N≥2	2 > N
		100%	75%	50%	0%
		Number of simil	ar projects unde	ertaken in terms	of scope and
		size			
	40%	N ≥ 3	3>N≥2	2>N≥1	1> N
		100%	75%	50%	0%
Land Surveyor (	3 Marks)				
ltem	Points	Evaluation Crite	ria		
General	30%	General qualifi	cations.		
Qualifications		Licensed Profes	sional	Bachelor's deg	ree
		100%		0%	
Suitability for	30%	Number of yea	rs of relevant ex	perience in Con	struction and
the Services		Building Industry	y		
		N ≥7	7>N≥4	4>N≥2	2 > N
		100%	75%	50%	0%
	40%	Number of simil	ar projects unde	ertaken in terms	of scope and
		size			-
		N ≥ 3	3>N≥2	2>N≥1	1> N
		100%	75%	50%	0%
Document Contr	roller (2 Mar	ks)	•		
ltem	Points	Evaluation Crite	ria		
General	30%	General qualifi	cations.		
Qualifications		Bachelor's degr	ee	Diploma	
		100%		50%	
	30%	,		perience in Con	struction and
		Building Industry		1 (5 ) 15 (6	lo
Suitability for		N ≥7	7>N≥4	4>N≥2	2 > N
the Services	1001	100%	75%	50%	0%
	40%		ar projects unde	ertaken in terms	ot scope and
		size	105 115 0	05 115 1	115 51
		N ≥ 3	3>N≥2	2>N≥1	1> N
	<u> </u>	100%	75%	50%	0%
-	ī	ntal Officer (5 Ma	·		
ltem	Points	Evaluation Crite			
	30%	General qualifi			
_		Postgraduate	degree/	Bachelor's deg	ree
General		Licensed Profes	sional		

Qualifications		100%		50%				
	30%	Number of years of relevant experience in Construction and						
		Building Industry	<u> </u>					
		N ≥7	7>N≥4	4>N≥2	2 > N			
		100%	75%	50%	0%			
Suitability for	40%	Number of simile	ar projects unde	ertaken in terms	of scope and			
the Services		size						
		N ≥ 3	3>N≥2	2>N≥1	1> N			
		100%	75%	50%	0%			
WATER TREATM	NENT PLANT-	4 Mks	-	•	-			
Water and Sanit Each)	ation Engine	er and Senior Me	chanical Engin	eer (2 Marks				
ltem	Points	Evaluation Crite	ria					
General	30%	General qualific	ations.					
Qualifications		P.E		Bachelor's degree				
		100%		0%				
Suitability for the Services	30%	Number of year Building Industry		xperience in Con	struction and			
		N≥10	10>N≥7	7>N≥5	5 > N			
		100%	75%	50%	0%			
	40%	Number of simile	ar projects unde	ertaken in terms	of scope and			
		size	Number of similar projects undertaken in terms of scope and size					
		N ≥ 3	3>N≥2	2>N≥1	1> N			
		100%	75%	100%	100%			

ND SUBSUR	RFACE FACILITIES	8 Mks			
	eer, Electrical Eng	gineer, Materi	als/pavement	Engineer, ICT	
rks each)					
Points	Evaluation Crite	eria			
30%	General qualif	ications.			
	P.E		Bachelor's d	legree	
	100%		0%		
30%	Number of yea	ırs of relevant	experience in (	Construction and	
	Building Industr	У	-		
	N≥10	10>N≥7	7>N≥5	5 > N	
	100%	75%	50%	0%	
40%	Number of simi	lar projects un	dertaken in ter	ms of scope and	
	size			•	
	N ≥ 3	3>N≥2	2>N≥1	1> N	
	100%	75%	50%	0%	
ks	•		•	•	
ks)					
Points	Evaluation Crite	eria			
30%	General qualif	ications.			
	Licensed Arch		Bachelor's d	legree	
	100% 0%				
30%	Number of yea	ırs of relevant	experience in (	Construction and	
	· · · · · · · · · · · · · · · · · · ·				
	N ≥ 8	8>N≥5	5>N≥2	2 > N	
	100%	75%	50%	0%	
	Points 30%  40%  ks ks) Points 30%	retric Engineer, Electrical Engineer, ks each)  Points  Evaluation Crite	rks each)PointsEvaluation Criteria30%General qualifications. P.E 100%30%Number of years of relevant Building Industry N≥10 100%40%Number of similar projects unsize N≥3 100%N≥3 100% $3>N≥2$ 100%100% $75\%$ Ksks)PointsEvaluation Criteria30%General qualifications. Licensed Arch 100%100%Number of years of relevant Building Industry N≥8 $8>N≥5$	metric Engineer, Electrical Engineer, Materials/pavement rks each)  Points Evaluation Criteria $30\%$ General qualifications.  P.E Bachelor's of 100% 0%  Number of years of relevant experience in Galding Industry  N $\geq 10$ 10 $>N\geq 7$ 7 $>N\geq 5$ 100% 50%  40% Number of similar projects undertaken in tersize  N $\geq 3$ 3 $>N\geq 2$ 2 $>N\geq 1$ 100% 75% 50%  ks  ks)  Points Evaluation Criteria  30% General qualifications.  Licensed Arch Bachelor's of 100% 0%  Number of years of relevant experience in Galding Industry  N $\geq 8$ 8 $>N\geq 5$ 5 $>N\geq 2$	

the Services	40%	Number of similar projects undertaken in terms of scope and					
		size					
		N ≥ 3	3>N≥2	2>N≥1	1> N		
		100%	75%	50%	0%		
Structural Engin	eer, (2 Marks	)					
Item	Points	<b>Evaluation Crite</b>	ria				
General	30%	General qualific	cations.				
Qualifications		P.E		Bachelor's deg	gree		
		100%		0%			
	30%	Number of year	Number of years of relevant experience in Construction				
		Building Industry					
		N≥10	10>N≥7	7>N≥5	5 > N		
Suitability for		100%	75%	50%	0%		
the Services	40%	Number of simil	ar projects unc	lertaken in terms	of scope and		
		size					
		N ≥ 3	3>N≥2	2>N≥1	1> N		
		100%	75%	50%	0%		
PARKS							
Landscape Arch	itect (2 Marks	s)					
Item	Points	Evaluation Criteria					
General	30%	General qualifications.					
Qualifications		Bachelors' Degr	ee	Diploma			

mart City Archite	Points	Evaluation Criteria		
	30%	General qualifications.		
		Masters Degree/ Lice	nsed Bachelor's [	Degree
General		Professional		
Qualifications		100%	50%	
	30%	Number of years of relev	ant experience in (	Construction and
		Building Industry		
Suitability for		$N \ge 8$ $8 > N \ge 5$	5>N≥3	3 > N
the Services		100% 75%	50%	0%
	40%	Number of similar project	ts undertaken in ter	ms of scope and
		size		
		$N \ge 3$ $3>N\ge 2$	2>N≥1	1> N
		100% 75%	50%	0%
SUPPORT STAFF	-10 Mks			
Assistant; Doci	ument Co	ntroller, A. Materials En	gineer, A. Qua	ntity Surveyo
		alth safety and Environmen	_	
ltem	Points	Evaluation Criteria		

Item	Points	Evaluation Criteria		
	30%	General qualifications.		
		Bachelor's Degree	Diploma	
General		100%	75%	
Qualifications				

	50%	Number of years Building Industry	of relevant ex	perience in Con	struction and
		N ≥ 3	3>N≥2	2>N≥1	1 > N
Suitability for		100%	75%	50%	0%
the Services	20%	Number of simila	ır projects unde	ertaken in terms	of scope and
		size			
		N ≥ 2	N = 1	N = 0	
		100%	75%	0%	

# 2.2.5. Suitability to the transfer of knowledge and technology programme (Training) (10 MKS)

Evaluation			Doserintier	n and Points	
Sub-criteria			i dila Follis		
		Plan for training	young gradua	tes (Provide a v	vriteup plan)
		Very good	Good	Satisfactory	Poor
Suitability to the transfer of					
l	5	100%	75%	50%	0%
knowledge and technology		Plan for knowled	ge transfer to	Client organiza	tion (Provide
programme (Training)		a write up plan)			
		Very good	Good	Satisfactory	Poor
	5	100%	75%	50%	0%

The qualitative items for Suitability to the transfer of knowledge and technology programme (Training) will be evaluated according to the following standards, depending upon the characteristics of each of the four classified items:

- 1) **Very Good** In addition to the requirements stated below under "Good," important issues are approached in an innovative and efficient way, indicating that the Consultant has understood the main issues of the Consulting Services and have outstanding knowledge of new solutions towards optimum achievement of the Technology and Knowledge transfer.
- 2) **Good -** The proposed approach to the proposal for transfer of knowledge and Technology is discussed in detail indicating how they will ensure optimum transfer.
- 3) **Satisfactory** The way to carry out the Technology and Knowledge Transfer is discussed generically. The approach is standard and not specifically tailored to the assignment. Although the approach and methodology are suitable, they do not include a discussion on how the Consultant proposes to deal with critical characteristics and specific features of achieving the aspect.
- 4) **Poor** The technical approach and/or the methodology to carry out important activities geared towards the indicated achievement of Transfer of Knowledge and Technology is very poorly presented, indicating that the Consultant has not properly understood important aspects of the work scope.

### 3.2.6. Participation of Kenyan Nationals

Section 2. Instructions to Consultants - Data Sheet

Evaluation			Docarintia	and Daints									
Sub-criteria		Description and Points											
		Involvement of Ke the contract	enyan Nationa	ls in the implem	entation of								
		Very good	Good	Satisfactory	Poor								
Evidence of participation of													
Kanaa Nagaa Is	5	100%	75%	50%	0%								
Kenyan Nationals		Plan for knowleds A detailed write up plan)	ge transfer to	Client organiza	tion (Provide								
		Very good	Good	Satisfactory	Poor								
	5	100%	75%	50%	0%								

The qualitative items for Participation of Kenyan Nationals will be evaluated according to the following standards, depending upon the characteristics of each of the four classified items:

- 1) Very Good The participation of Kenyan Nationals as Key Experts is more than 55%
- 2) Good The participation of Kenyan Nationals as Key Experts is more than 50% but below 55%.
- 3) Satisfactory The participation of Kenyan Nationals as Key Experts is more than 40% but below 50%.
- 4) Poor The participation of Kenyan Nationals as Key Experts is less than 40%.

**END** 

# Section 3. Technical Proposal – Standard Forms

{Notes to Consultant shown in brackets {} throughout Section 3 provide guidance to the Consultant to prepare the Technical Proposal; they should not appear on the Proposals to be submitted.}

		CHECKLIST OF REQUIRED FORMS	
Required for FTP	FORM	DESCRIPTION	Page Limit
	TECH-1	Technical Proposal Submission Form.	
lf	TECH-1	If the Proposal is submitted by a joint venture,	
applicable	Attachment	attach a letter of intent or a copy of an existing agreement.	
lf Applicable	Power of Attorney	No pre-set format/form. In the case of a Joint Venture, several are required: a power of attorney for the authorized representative of each JV member, and a power of attorney for the representative of the lead member to represent all JV members	
	TECH-2	Consultants Organization and Experience.	
	TECH-2A	A. Consultants Organization	
	TECH-2B	B. Consultants Experience	
	TECH-3	Comments or Suggestions on the Terms of Reference and on Counterpart Staff and Facilities to be provided by the Client.	
	TECH-3A	A. On the Terms of Reference	
	TECH-3B	B. On the Counterpart Staff and Facilities	
	TECH-4	Description of the Approach, Methodology, and Work Plan for Performing the Assignment	
	TECH-5	Work Schedule and Planning for Deliverables	
	TECH-6	Team Composition, Key Experts Inputs, and attached Curriculum Vitae (CV)	

### 1. FORMTECH-1: TECHNICAL PROPOSAL SUBMISSION FORM

{Location, Date}

To:

The Chief Executive Officer

Konza Technopolis Development Authority

P.O. Box 1 - 90150,

KONZA TECHNOPOLIS, KENYA.

E-Mail; procurement@konza.go.ke and ceo@konza.go.ke

Tel; +254-20-4343013/4

### Dear Sirs:

We, the undersigned, offer to provide the consulting services for (PROVISION OF CONSULTANCY SERVICES FOR CONTRACT ADMINISTRATION AND CONSTRUCTION SUPERVISION FOR THE HORIZONTAL INFRASTRUCTURE AND OTHER PUBLIC FACILITIES FOR KONZA TECHNOPOLIS] in accordance with your RFP dated [February 2024] and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal and a Financial Proposal sealed in a separate envelope.

{If the Consultant is a joint venture, insert the following: We are submitting our Proposal in association/as a consortium/as a joint venture with: {Insert a list with full name and the legal address of each member, and indicate the lead member}. We have attached a copy {insert: "of our letter of intent to form a joint venture" or, if a JV is already formed, "of the JV agreement"} signed by every participating member, which details the likely legal structure of and the confirmation of joint and severable liability of the members of the said joint venture.

### OR

{If the Consultant's Proposal includes Sub-consultants, insert the following:} We are submitting our Proposal with the following firms as Sub-consultants: {insert a list with full name and address of each Sub-consultant.}

We hereby declare that:

- a) All the information and statements made in this Proposal are true and we accept that any misinterpretation or misrepresentation contained in this Proposal may lead to our disqualification by Konza Technopolis Development Authority or maybe sanctioned by the PPRA.
- b) Our Proposal shall be valid and remain binding upon us for the period of time specified in the Data Sheet, Clause 12.1.
- c) We have no conflict of interest in accordance with ITC3.
- d) We meet the eligibility requirements as stated in ITC6, and we confirm our understanding of our obligation to abide by the Government's policy in regard to corrupt, fraudulent and prohibited practices as per ITC5.
- e) In competing for (and, if the award is made to us, in executing) the Contract, we undertake to observe the laws against fraud and corruption, including bribery, as well as laws against anti-competitive practices, including bid rigging in force in Kenya; we hereby certify that we have taken steps to ensure that no personacting for us or on our behalf engages in any type of Fraud and Corruption or anti-competitive practices.
- f) We confirm that we are not insolvent, in receivership, bankrupt or on the process of being of being wound up.
- g) The Consultant shall declare in the Technical Proposal Submission Form, that in competing for and executing a contract, it shall undertake to observe the laws of Kenya against fraud and corruption including bribery, as well as against anti-competitive practices including bid-rigging.

- (h) We are not guilty of any serious violation of fair employment laws and practices. We undertake to observe the laws of Kenya against fraud and corruption including bribery, as well as against collusive and anti-competitive practices, including bid rigging. To this effect we have signed the "Certificate of Independent Proposal Determination" attached below. We also undertake to adhere by the Code of Ethics for persons participating in Public Procurement and Asset Disposal Activities in Kenya, copy available from \_\_\_\_\_\_(specify website) during the procurement process and the execution of any resulting contract.
- (I) We, along with any of our sub-consultants are not subject to, and not controlled by any entity or individual that is subject to, a temporary suspension or a debarment imposed by the PPRA.
- (e) Except as stated in the ITC12 and Data Sheet, we undertake to negotiate a Contract on the basis of the proposed Key Experts. We accept that the substitution of Key Experts for reasons other than those stated in ITC Clause12 and ITCClause29.3 and 29.4 may lead to the termination of Contract negotiations.
- (j) Our Proposal is binding upon us and subject to any modifications resulting from the Contract negotiations.
- (k) We understand that Konza Technopolis Development Authority is not bound to accept any Proposal that it receives.

We undertake, if our Proposal is accepted and the Contract is signed, to initiate the Services related to the assignment no later than the date indicated in Clause 32.2 of the Data Sheet.

We remain, Yours sincerely,

Authorized Signature {In full and initials}:

Name and Title of Signatory:

Name of Consultant (company's name or JV's name):

Contact information (phone and e-mail):

{For a joint venture, either all members shall sign or only the lead member, in which case the power of attorney to sign on behalf of all members shall be attached}

# 2 CERTIFICATE OF INDEPENDENT PROPOSAL DETERMINATION

	AINISTRATION AND CONSTRUCTION SUPERVISION FOR THE HORIZONTAL ASTRUCTURE AND OTHER PUBLIC FACILITIES FOR KONZA TECHNOPOLIS in response to the st for tenders made by: [Name of Tenderer]do hereby make the following statements that I certify to be true complete in every respect:
certi	fy, on behalf of
1. 2.	I have read and I understand the contents of this Certificate; I understand that the Tender will be disqualified if this Certificate is found not to be true and complete in every respect;
3.	I am the authorized representative of the Tenderer with authority to sign this Certificate, and to submit the
4.	<ul> <li>Tender on behalf of the Tenderer;</li> <li>For the purposes of this Certificate and the Tender, I understand that the word "competitor" shall include any individual or organization, other than the Tenderer, whether or not affiliated with the Tenderer, who:</li> <li>Has been requested to submit a Tender in response to this request for tenders;</li> <li>could potentially submit a tender in response to this request for tenders, based on their qualifications, abilities or experience;</li> </ul>
5.	<ul> <li>The Tenderer discloses that [check one of the following, as applicable]:</li> <li>The Tenderer has arrived at the Tender independently from, and without consultation, communication, agreement or arrangement with, any competitor;</li> <li>The Tenderer has entered into consultations, communications, agreements or arrangements with one or more competitors regarding this request for tenders, and the Tenderer discloses, in the attached document(s), complete details thereof, including the names of the competitors and the nature of, and reasons for, such consultations, communications, agreements or arrangements;</li> </ul>
6.	<ul> <li>In particular, without limiting the generality of paragraphs(5)(a) or (5) (b)above, there has been no consultation, communication, agreement or arrangement with any competitor regarding:</li> <li>prices;</li> <li>methods, factors or formulas used to calculate prices;</li> <li>the intention or decision to submit, or not to submit, a proposal; or</li> <li>the submission of a proposal which does not meet the specifications of the request for proposals; except as specifically disclosed pursuant to paragraph(5)(b) above;</li> </ul>
7.	In addition, there has been no consultation, communication, agreement or arrangement with any competitor regarding the quality, quantity, specifications or delivery particulars of the works or services to which this RFF relates, except as specifically authorized by the procuring authority or as specifically disclosed pursuant to paragraph(5)(b) above;
8.	The terms of the RFP have not been, and will not be, knowingly disclosed by the Consultant, directly or indirectly, to any competitor, prior to the date and time of the official proposed opening, or of the awarding of the Contract, which ever comes first, unless otherwise required by law or as specifically disclosed pursuant to paragraph(5)(b) above.  Name
	Title

[Name, title and signature of authorized agent of Consultant and Date]

# 3. APPENDIX TO FORM OF PROPOSAL ON FRAUD AND CORRUPTION CLAUSE (forinformation)

### **Purpose**

the government of Kenya's Anti-Corruption and Economic Crime laws and their sanction's policies and procedures, Public Procurement and Asset Disposal Act (no. 33 of 2015) and its Regulation, and any other Kenya's Acts or Regulations related to Fraud and Corruption, and similar offences, shall apply with respect to Public Procurement Processes and Contracts that are governed by the laws of Kenya.

### **Requirements**

The Government of Kenya requires that all parties including Procuring Entities, Tenderers, (applicants/proposers), Consultants, Contractors and Suppliers; any Sub-contractors, Sub-consultants, Service providers or Suppliers; any Agents (whether declared or not); and any of their Personnel, involved and engaged in procurement under Kenya's Laws and Regulation, observe the highest standard of ethics during the procurement process, selection and contract execution of all contracts, and refrain from Fraud and Corruption and fully comply with Kenya's laws and Regulations as per paragraphs 1.1 above.

Kenya's public procurement and asset disposal act (no.33 of 2015) under Section 66 describes rules to be followed and actions to be taken in dealing with Corrupt, Coercive, Obstructive, Collusive or Fraudulent practices, and Conflicts of Interest in procurement including consequences for offences committed. A few of the provisions noted below highlight Kenya's policy of no tolerance for such practices and behavior:

- (1) a person to whom this Act applies shall not be involved in any corrupt, coercive, obstructive, collusive or fraudulent practice; or conflicts of interest in any procurement or asset disposal proceeding;
- (2) A person referred to under sub section (1) who contravenes the provisions of that sub-section commits an offence;
- (3) Without limiting the generality of the subsection (1) and (2), the person shall be:
  - a) disqualified from entering into a contract for a procurement or asset disposal proceeding; or
  - b) if a contract has already been entered into with the person, the contract shall be avoidable;
- (4) The voiding of a contract by KoTDA under subsection (7) does not limit any legal remedy KoTDA may have;
- (5) An employee or agent of KoTDA or a member of the Board or committee of KoTDA who has a conflict of interest with respect to a procurement
  - i) Shall not take part in the procurement proceedings;
  - ii) shall not, after a procurement contract has been entered into, take part in any decision relating to the procurement or contract; and
  - iii) Shall not be a sub-contractor for the tender to whom was awarded contract, or a member of the group of tenderers to whom the contract was awarded, but the sub-contractor appointed shall meet all the requirements of this Act.
- (6) An employee, agent or member described in subsection (1) who refrains from doing anything prohibited under that subsection, but for that subsection, would have been within his or her duties shall disclose the conflict of interest to KoTDA;
- (7) If a person contravenes sub section (1) with respect to a conflict of interest described in subsection (5)(a) and the contract is awarded to the person or his relative or to another person in whom one of them had a direct or indirect pecuniary interest, the contract shall be terminated and all costs incurred by the public entity shall be made good by the awarding officer.
- (8) Incompliance with Kenya's laws, regulations and policies mentioned above, KoTDA:
  - a) Defines broadly, for the purposes of the above provisions, the terms set forth below as follows:
    - i) "corrupt practice" is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party;
    - ii) "fraudulent practice" is any act or omission, including misrepresentation, that knowingly or

- recklessly misleads, or attempts to mislead, a party to obtain financial or other benefit or to avoidan obligation;
- iii) "collusive practice "is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;
- iv) "coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;
- v) "obstructive practice" is:
  - i) deliberately destroying, falsifying, altering, or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede investigation by Public Procurement Regulatory Authority (PPRA) or any other appropriate authority appointed by Government of Kenya into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or
  - ii) Acts intended to materially impede the exercise of the PPRA's or the appointed authority's inspection and audit rights provided for under paragraph 2.3e. below.
- b) Defines more specifically, in accordance with the above procurement Act provisions set forth for fraudulent and collusive practices as follows:
  - "fraudulent practice" includes a misrepresentation of fact in order to influence a procurement or disposal process or the exercise of a contract to the detriment of KoTDA or the tenderer or the contractor, and includes collusive practices amongst tenderers prior to or after tender submission designed to establish tender prices at artificial non-competitive levels and to deprive KoTDA of the benefits of free and open competition.
- c) Rejects a proposal or award of a contract if PPRA determines that the firm or individual recommended for award, any of its personnel, or its agents, or its sub-consultants, sub-contractors, service providers, suppliers and/ or their employees, has, directly or indirectly, engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question;
- d) Pursuant to the Kenya's above stated Acts and Regulations, may sanction or debar or recommend to appropriate authority (ies) for sanctioning and debarment of a firm or individual, as applicable under the Acts and Regulations;
- e) Requires that a clause be included in Tender documents and Request for Proposal documents requiring (i) Tenderers (applicants/proposers), Consultants, Contractors, and Suppliers and their Sub-contractors, Sub-consultants, Service providers, Suppliers, Agents personnel, permit the PPRA or any other appropriate authority appointed by Government of Kenya to inspect <sup>2</sup> all accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have them audited by auditors appointed by the PPRA or any other appropriate authority appointed by Government of Kenya; and
- f) Pursuant to Section 62 of the above Act, requires Applicants/Tenderers to submit along with their Applications/Tenders/Proposals a "Self-Declaration Form" as included in the procurement document declaring that they and all parties involved in the procurement process and contract execution have not engaged/will not engage in any corrupt or fraudulent practices.

<sup>&</sup>lt;sup>1</sup> For the avoidance of doubt, a party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in A consultancy, and tendering, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated

service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

<sup>&</sup>lt;sup>2</sup> Inspections in this context usually are investigative (i.e., forensic) in nature. They involve fact-finding activities undertaken by the Investigating Authority or persons appointed by KoTDA to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to: accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies there of as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third party verification of information.

### 3. FORM TECH-2: CONSULTANT'S ORGANIZATION AND EXPERIENCE

Form TECH-2: a brief description of the Consultant's organization and an outline of the recent experience of the Consultant that is most relevant to the assignment. In the case of a joint venture, information on similar assignments shall be provided for each partner. For each assignment, the outline should indicate the names of the Consultant's Key Experts and Sub-consultants who participated, the duration of the assignment, the contract amount (total and, if it was done in a form of a joint venture or a sub-consultancy, the amount paid to the Consultant), and the Consultant's role/involvement.

### A - Consultant's Organization

Provide here a brief description of the background and organization of your company, and-in case of a joint venture-of each member for this assignment.

В -	Consu	ltant'	s Ex	perience
-----	-------	--------	------	----------

- 2. List only those assignments for which the Consultant was legally contracted by KoTDA as acompany or was one of the joint venture partners. Assignments completed by the Consultant's individual experts working privately or through other consulting firms cannot be claimed as the relevant experience of the Consultant, or that of the Consultant's partners or sub-consultants, but can be claimed by the Experts themselves in their Curriculum Vitae (CV).
- 3. The Consultant shall substantiate their claimed experience by presenting copies of relevant documents such as the form of contract (not the whole contract), purchase order, service order, performance certificate, etc.; which shall be included in the proposal as part of Form Tech 7 Mandatory Documentary Evidence.

Assignment name:	Approx. value of the contract[KES, US\$ etc.]:
Country:	Duration of assignment (months):
Name of Procuring Entity:	Total $N^0$ of staff-months of the assignment:
Contact Address: Email:	Approx. value of the services provided by your firm under the contract:
Start date (month/year): Completion date:	$N^{\underline{o}}$ of professional staff-months provided by associated Consultants:
Role on Assignment: (E.g. Lead Member in ABC JV, or Sole Consultant):	Name of senior professional staff of your firm involved and functions performed:
Narrative description of Assignment:	
Description of actual services provided by you	r staff within the assignment:
Name of Consulting Firm:	Name and Title of Signatory:

#### 3 FORMTECH-3: COMMENT SAND SUGGESTIONS

Form TECH-3: The Consultant to provide comments and suggestions on the Terms of Reference, counterpart staff and facilities to be provided by KoTDA that could improve thequality/effectiveness of the assignment; and on requirements for counterpart staff and facilities, which are provided by KoTDA, including: administrative support, office space, local transportation, equipment, data, etc.

#### A - On the Terms of Reference

{Improvements to the Terms of Reference, if any}

B - On Counterpart Staff and Facilities

{Include comments on counterpart staff and facilities to be provided by KoTDA. For example, administrative support, office space, local transportation, equipment, data, background reports, etc., if any}

# 4 FORMTECH-4: DESCRIPTION OF APPROACH, METHODOLOGY, AND WORK PLAN

Form TECH-4: a description of the approach, methodology and work plan in responding to the terms of reference for performing the assignment, including a detailed description of the proposed methodology and staffing for training, if the Terms of Reference specify training as a specific component of the assignment.

{The structure of your Technical Proposal:

- a) Technical Approach and Methodology
- b) Work Plan
- c) Organization and Staffing}
  - i) <u>Technical Approach and Methodology.</u> {Please explain your understanding of the objectives of the assignment as outlined in the Terms of Reference (TORs), the technical approach, and the methodology you would adopt for implementing the tasks to deliver the expected output(s), and the degree of detail of such output. <u>Please do not repeat/copy the TOR sin here.</u>}
  - ii) Work Plan. {Please outline the plan for the implementation of the main activities/tasks of the assignment, their content and duration, phasing and interrelations, milestones (including interim approvals by KoTDA), and tentative delivery dates of their ports. The proposed work plan should be consistent with the technical approach and methodology, showing your understanding of the TOR and ability to translate them into a feasible working plan. A list of the final documents (including reports) to be delivered as final output(s) should be included here. The work plan should be consistent with the Work Schedule Form.}
  - iii) Organization and Staffing. {Please describe the structure and composition of your team, including the list of the Key Experts, Non-Key Experts and relevant technical and administrative support staff.}

# **FORM TECH-5**

# **WORK SCHEDULE AND PLANNING FOR DELIVERABLES**

													TECH								
							W	ORK S	SCHE	DULI	E AN	D PL	ANNI	NG I	FOR	DELI\	/ERA	BLES			
Section 3	<b>3:</b>				Note	: The	schec	dule p	rovid	ed he	erein :	should	d corr	espo	nd to	the c	lates	provi	ded i	n the l	Financial Forms FIN-
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	ion Administration	1	2	3	4	3	0	,	8	9	Т	<u> </u>	Т	<u> </u>	Т	<u> </u>	Т.	<u> </u>	<u> </u>	31	Phase Duration
Ref/No	Detailed						Мо	ırk th	e per	iods	whe	re act	ivitie	s for	spec	ified	task	s occ	ur		
Ker/No	Schedule	1	2	3	4	5	6	7	8	9	+	+	+	+	+	+	+	+	+	31	Total
	Administration ervision for the ects																				
Sub Proj. 1	Streetscape																				
5.4.1	Health Safety and Environment																				
5.4.2	Quality Assurance/ Quality Control (QA/QC)																				
5.4.3	Schedule Management																				
5.4.4	Procurement Schedule																				
5.4.5	Cost Management																				
5.4.6	Claims Management																				

Section 3. Technical Proposal – Standard Forms

Documents	Cont	rol																			
Communications Management																					
Site Meetings																					
Construction Inspections																					
Progress Reports																					
Taking Over																					
Defects Notification Period																					
Contract Administration																					
Utilities Distribution																					
Parks																					
Electrical																					
	Management Site Meetings  Construction Inspections Progress Reports Taking Over Defects Notification Period Contract Administration  Utilities Distribution  Parks	Communications Management Site Meetings Construction Inspections Progress Reports Taking Over Defects Notification Period Contract Administration  Utilities Distribution  Parks	Management Site Meetings  Construction Inspections Progress Reports Taking Over Defects Notification Period Contract Administration  Utilities Distribution  Parks	Communications Management Site Meetings Construction Inspections Progress Reports Taking Over Defects Notification Period Contract Administration  Utilities Distribution  Parks	Communications Management Site Meetings  Construction Inspections Progress Reports  Taking Over Defects Notification Period  Contract Administration  Utilities Distribution  Parks	Communications Management Site Meetings Construction Inspections Progress Reports Taking Over Defects Notification Period Contract Administration  Utilities Distribution  Parks	Communications Management Site Meetings Construction Inspections Progress Reports Taking Over Defects Notification Period Contract Administration  Utilities Distribution  Parks	Communications Management Site Meetings Construction Inspections Progress Reports Taking Over Defects Notification Period Contract Administration  Utilities Distribution  Parks	Communications Management Site Meetings Construction Inspections Progress Reports Taking Over Defects Notification Period Contract Administration  Utilities Distribution  Parks	Communications Management Site Meetings Construction Inspections Progress Reports Taking Over Defects Notification Period Contract Administration  Utilities Distribution  Parks	Communications Management Site Meetings  Construction Inspections Progress Reports  Taking Over Defects Notification Period  Contract Administration  Utilities Distribution  Parks	Communications Management Site Meetings  Construction Inspections Progress Reports  Taking Over Defects Notification Period  Contract Administration  Utilities Distribution  Parks	Communications Management Site Meetings  Construction Inspections Progress Reports  Taking Over Defects Notification Period  Contract Administration  Utilities Distribution  Parks	Communications Management Site Meetings  Construction Inspections Progress Reports  Taking Over Defects Notification Period  Contract Administration  Utilities Distribution  Parks	Communications Management Site Meetings Construction Inspections Progress Reports Taking Over Defects Notification Period Contract Administration  Utilities Distribution  Parks	Communications Management Site Meetings Construction Inspections Progress Reports Taking Over Defects Notification Period Contract Administration  Utilities Distribution  Parks	Communications Management Site Meetings Construction Inspections Progress Reports Taking Over Defects Notification Period Contract Administration  Utilities Distribution  Parks	Communications Management Site Meetings  Construction Inspections Progress Reports Taking Over Defects Notification Period  Contract Administration  Utilities Distribution  Parks	Communications Management Site Meetings  Construction Inspections Progress Reports Taking Over Defects Notification Period  Contract Administration  Utilities Distribution  Parks	Communications Management Site Meetings  Construction Inspections Progress Reports Taking Over  Defects Notification Period  Contract Administration  Utilities Distribution  Parks	Communications Management Site Meetings Construction Inspections Progress Reports Taking Over Defects Notification Period Contract Administration  Utilities Distribution  Parks

# 6. FORMT ECH- 6A: TEAM COMPOSITION, ASSIGNMENT, AND KEY EXPERTS' INPUTS

<sup>2</sup> Duration of activities shall be indicated in a form of a bar chart.

<sup>3</sup> Include a legend, if necessary, to help read the chart.

N°	Name	Expert's	input (in	person/m	onth) per o	each Deliv	rerable (li	sted in TE	CH-5)	Total t	ime-inp nths)	out
		Position		D-1	D-2	D-3		D		Home	Field	Total
KEY	EXPERTS			•								
TZ 1	{e.g., Mr.	[Team	[Home]	[2 month]	[1.0]	[1.0]						
K-1	Abbbb}	Leader]	[Field]	[0.5 m]	[2.5]	[0]						
K-2												
11-2											***************************************	
K-3												
NI												
N												
	71				И	7 0		Subtota	1			
NON	-KEY		7									
N-1			[Home]									
1, 1			[Field]						$\longrightarrow$			
N-2												
3.7									-+			
N												
	the control of the co		b:			1 1	•	Subtota	1			
								Total				

Full time input Part time input

For Key Experts, the input should be indicated individually for the same positions as required under the ITC Data Sheet 21.2
 Months are counted from the start of the assignment/mobilization. One (1) month equals twenty-two (22) working (billable) days. One working (billable) day shall be not less than eight (8) working (billable) hours.

<sup>3 &</sup>quot;Home" means work in the office in the expert's country of residence. "Field" work means work carried out in Kenya, or outside the normal residence of the Expert in Kenya or any other country outside the expert's country of residence.

# 7. FORM TECH-6B: CURRICULUM VITAE (CV)

{e.g., K-1, Team Leader}
{Insert full name}
{day/month/year}

Education:	{List	college/university	or	other	specialized	education,	giving	names	of	educational	institutions,	dates	attended,
degree(s)	/diplo	oma(s) obtained}											

Employment record relevant to the assignment: {Starting with present position, list in reverse order. Please provide dates, name of employing organization, titles of positions held, types of activities performed and location of the assignment, and contact information of previous Procuring Entity's and employing organization(s) who can be contacted for references. Past employment that is not relevant to the assignment does not need to be included.}

Period	Employing organization and your title/position. Contact Infor for references	Country	Summary of activities performed relevant to the Assignment
[e.g., May 2011-	[e.g., Ministry of, advisor/consultant to		
presenti	For references: Tel/e mail; Mr. Bbbbbb, deputy _ manager]		
	Thinkson 2		

Membership in Professional Associations and Publications:	
Language Skills (indicate only languages in which you can work):	

### Adequacy for the Assignment:

Detailed Tasks Assigned on Consultant's Team of Experts:	Reference to Prior Work/Assignments that Best Illustrates Capability to Handle the Assigned Tasks
{List all deliverables/tasks as in TECH-5in which the Expert will be involved)	

,		pnone)
Certification:		
I, the undersigned, certify that to the best of my knot qualifications, and my experience, and I am available to any misstatement or misrepresentation described here and/or sanctions by the PPRA.	undertake the assig	nment in case of an award. I understandthat
		{day / month/year}
Name of Expert	Signature	Date
		{day / month/year}
Name of authorized	Signature	Date

Representative of the consultant (the same who signs the Proposal

### 8. FORMTECH-7: MANDATORY SUPPORT DOCUMENTS

[The Consultant shall use this form to submit all the required support documentary evidence as required in the RFP, especially the mandatory and eligibility criteria specified in the Data Sheet ITC 21.1]

a) Certificate of Incorporation/Certificate of Registration

{Insert here a copy of certificate of incorporation or registration}

b) Tax Compliance Certificate

{Consultant to insert a copy of the tax compliance certificate from Kenya Revenue Authority or similar body in the case of foreign consulting firms}

c) Practice License or Certificate for the Firm

{If required, Consultant to insert a copy of the firm's practice license or registration certificate issued by the professional body specified under Data Sheet ITC 21.1}

d) Similar Consulting Assignments Experience

{Consultant to insert here copies of the form of contract, purchase order, service order, and performance certificate or similar evidence of similar assignments carried out by the firm. The assignments shall be the same as those provided under FORM TECH 2B}

e) Academic Certificates

{Consultant to insert copies of the required relevant academic certificates relevant to the assignment for all the key experts}

f) Professional Certificates

{Consultant to insert copies of professional certificates and relevant short-term trainings to demonstrate professional qualifications for all the key experts}

g) Professional Membership of Key Experts

{If applicable, Consultant to insert copies of professional membership certificate for its key experts}

h) Certificate of Independent Proposal Determination

(The Form is available on Tech FORM TECH-1: TECHNICAL PROPOSAL SUBMISSION FORM).

# FORM TECH - 8: SELF-DECLARATIONFORMS

# FORM SD1

SELF DECLARATION THAT THE PERSON/TENDERER IS NOT DEBARRED IN THE MATTER OF THE PUBLIC PROCUREMENT AND ASSET DISPOSAL ACT 2015.

1.	THAT I am the Company Secretary/Chief Executive/Managing Director/Principal Officer/Director of
	of the PROVISION OF CONSULTANCY SERVICES FOR CONTRACT ADMINISTRATION AND CONSTRUCTION SUPERVISION FOR THE HORIZONTAL INFRASTRUCTURE AND OTHER PUBLIC FACILITIES FOR KONZA TECHNOPOLIS KOTDA/RFP/201/2023 – 2024 (Insert tender title/description) for Konza Technopolis Development Authority (Insert name of KoTDA) and duly authorized and competent to make this statement.
2.	THAT the aforesaid Bidder, its Directors and subcontractors have not been debarred from participating in procurement proceeding under Part IV of the Act.
3.	THAT what is deponed to here in above is true to the best of my knowledge, information and belief.
	(Title) (Signature) (Date)
	Bidder Official Stamp

# FORM SD2

# SELF DECLARATION THAT THE PERSON/TENDERER WILL NOT ENGAGE IN ANY CORRUPT OR FRAUDULENT PRACTICE.

	of P. O. Box		
••••		in the Republic ofdo her	eby make a statement as follows: -
1.	KOTDA/RFP/201/2023 – 2 CONTRACT ADMINISTRATION INFRASTRUCTURE AND OTHER CONTRACT AND OTHER CONTRACT AND FOR A CONTRACT AND OTHER CONTRACT AND OTHE		Bidder in respect of Tender No. SULTANCY SERVICES FOR PERVISION FOR THE HORIZONTAL DNZA TECHNOPOLIS (insert tender nority
2.	fraudulent practice and has not Management, Staff and/or emp	been requested to pay any induloyees and/ or agents of Kor	tors will not engage in any corrupt or ucement to any member of the Board, nza Technopolis Development Authority
	name of KoTDA) which is KoTDA.		(insert
3.		ment, Staff and/or employees and	have not offered any inducement to any d/or agents of Konza Technopolis
4.	THAT the aforesaid Bidder will not participating in the subject tend	engage /has not engaged in any co er.	prrosive practice with other bidders
5.	THAT what is deponed to herein a	bove is true to the best of my knowle	edge information and belief.
	(Title)	(Signature)	(Date)
	Bidder's Official Stamp		

# **DECLARATION AND COMMITMENT TO THE CODE OF ETHICS**

I	· · · · · · · · · · · · · · · · · · ·
of the Business/ Company/Firm)	•
understood the contents of the Public Procurement & Asset Disposal Act,201	. •
persons participating in Public Procurement and Asset Disposal Activities in Code.	n Kenya and my responsibilities under the
I do here by commit to abide by the provisions of the Code of Ethics for Procurement and Asset Disposal.	persons participating in Public
Name of Authorized signatory	
Sign	
Position	
Office address	
Telephone	
Email	
Name of the Firm/Company	
Date	• • • • • • • • • • • • • • • • • • • •
(Company Seal/ Rubber Stamp where applicable)	
Witness	
Name	• • • • • • • • • • • • • • • • • • • •
Sign	• • • • • • • • • • • • • • • • • • • •
Data	

# FORM TECH - 9: TENDER-SECURING DECLARATION FORM {r 46 and 155(2)}

[The	Bidder shall complete this Form in accordance with the instructions indicated]
Ten	e:
I/W	e, the undersigned, declare that:
1.	I $/$ We understand that, according to your conditions, bids must be supported by a Tender-Securing Declaration.
2.	I /We accept that I/we will automatically be suspended from being eligible for tendering in any contract with the Purchaser or the period of time of[insert number of months or years] starting on[insert date],if we are in breach of our obligation (s)under the bid conditions, because we—(a) have withdrawn our tender during the period oftender validity specified by us in the Tendering Data Sheet; or (b) having been notified of the acceptance of our Bid by the Purchaser during the period of bid validity,(i) fail or refuse to execute the Contract, if required, or (ii) fail or refuse to furnish the Performance Security, in accordance with the instructions to tenders.
3.	I / We understand that this Tender Securing Declaration shall expire if we are not the successful Tenderer (s), upon the earlier of: a) Our receipt of a copy of your notification of the name of the successful Tenderer; or b) Thirty days after the expiration of our Tender.
4.	I/We understand that if I am /we are/in a Joint Venture, the Tender Securing Declaration must be in the name of the Joint Venture that submits the bid, and the Joint Venture has not been legally constituted at the time of bidding, the Tender Securing Declaration shall be in the names of all future partners as named in the letter of intent.
	Signed: Capacity /
	title (director or partner or sole proprietor, etc.)Name:
	Duly authorized to sign the bid for and on behalf of:[insert complete name of Tenderer] Dated on
	day of[Insert date of signing]
	Seal or stamp

# TENDERER'S ELIGIBILITY- CONFIDENTIAL BUSINESS QUESTIONNAIRE

### **Instruction to Tenderer**

Tender is instructed to complete the particulars required in this Form, one form for each entity if Tender is a JV. Tenderer is further reminded that it is an offence to give false information on this Form.

# a) Tenderer's details

	ITEM	DESCRIPTION
1	Name of the Procuring Entity	
2	Reference Number of the Tender	
3	Date and Time of Tender Opening	
4	Name of the Tenderer	
5	Full Address and Contact Details of the Tenderer.	1.Country
		2. City
		3.Location
		4. Building
		5.Floor
		6. Postal Address
		7. Name and email of contact person.
6	Current Trade License Registration Number and Expiring date	
7	Name, country and full address (postal and physical addresses, email, and telephone number) of Registering Body/Agency	
8	Description of Nature of Business	
9	Maximum value of business which the Tenderer handles.	
10	State if Tenders Company is listed in stock exchange, give name and full address (postal and physical addresses, email, and telephone number) of state which stock exchange	

# **General and Specific Details**

<b>b</b> )	Sole	Proprietor,	provide	the	following	details.
------------	------	-------------	---------	-----	-----------	----------

Name in full	Age
Nationality	_Country of Origin
Citizenship	

# c) Partnership, provide the following details

	NamesofPartners	Nationality	Citizenship	%Sharesowned
1				
2				

		gnation in the uring Entity	Interest or Relationship with Tenderer
1			
2			
3			
	Conflict of interest disclosure		
	Type of Conflict	Disclosure YES OR NO	If YES provide details of the relationship with Tenderer
1	Tenderer is directly or indirectly controlled or is under common control with another tenderer.	d by	
2	Tenderer receives or has received any dire or indirect subsidy from another tenderer.	ect	
3	Tenderer has the same legal representative another tenderer	as	
4	Tender has a relationship with another tenderer, directly or through common third parties, that puts it in a position to influence the tender of another tenderer, or influence decisions of the Procuring Entity regarding this tendering process.	ce e the	
5	Any of the Tenderer's affiliates participate as a consultant in the preparation of the de or technical specifications of the works that are the subject of the tender.	esign	

Registered Company, provide the following details.

State the nominal and issued capital of the Company:-

**DISCLOSURE OF INTEREST-Interest of the Firm in KoTDA.** 

interest or relationship in this firm? Yes/No.....

Nominal Kenya Shillings (Equivalent)

Issued Kenya Shillings (Equivalent)

Nationality

Are there any person/persons in.....(Name of Procuring Entity) who has/have an

Citizenship

Private or public Company \_

Give details of Directors as follows.

NamesofDirector

d)

**(e)** 

i) ii)

iii)

i)

%Sharesowned

	6	Tenderer would be providing goods, works, non-consulting services or consulting services during implementation of the contract specified in this Tender Document.			
	7	Tenderer has a close business or family relationship with a professional staff of the Procuring Entity who are directly or indirectly involved in the preparation of the Tender document or specifications of the Contract, and/or the Tender evaluation process of such contract.			
	8	Tenderer has a close business or family relationship with a professional staff of the Procuring Entity who would be involved in the implementation or supervision of the such Contract.			
	9	Has the conflict stemming from such relationship stated in item 7 and 8 above been resolved in a manner acceptable to the Procuring Entity throughout the tendering process and execution of the Contract.			
f)	Cert	ification			
		of the Tenderer, I certify that the information of submission.	n given above is	s complete, current and accurate as	
Full No	ame_				_
Title o	r Des	signation			_
(Signo	ıture)			(Date)	

f)

CERTIFICATE OF TENDERER'S PRE-BID CONFERENCE WEDNESDAY 27 <sup>TH</sup> MARCH 2024 This is to certify that,
(Name of Tenderer or his representative) of the firm
of,
(Name of Firm Tendering)
In the company of,
Visited the site in connection with Tender for
PROVISION OF CONSULTANCY SERVICES FOR CONTRACT ADMINISTRATION AND
CONSTRUCTION SUPERVISION FOR THE HORIZONTAL INFRASTRUCTURE AND OTHER PUBLIC
FACILITIES FOR KONZA TECHNOPOLIS.
Having previously studied the bid documents, I carefully examined the site.
1. I have made myself familiar with all the local conditions likely to influence the assignment and cost thereof.
2. I further certify that I am satisfied with the description of the assignment and the explanations given by the Client's
representative and that I understand perfectly the assignment to be done as specified and implied in the execution
of the contract.
Signed
(Tenderer or his representative)
Witnessed
(Signature of Client's representative)
(g
Date

# **SECTION 4. FINANCIAL PROPOSAL - STANDARD FORMS**

{Notes to Consultant shown in brackets { .......} provide guidance to the Consultant to prepare the Financial Proposals; they should not appear on the Financial Proposals to be submitted.}

Financial Proposal Standard Forms shall be used for the preparation of the Financial Proposal according to theinstructions provided in Section 2.

FIN-1 FORM OF TENDER- Financial Proposal Submission Form

FIN-2 Summary of Costs

FIN-3 Breakdown of Remuneration

FIN-4 Reimbursable expenses

# FORM FIN-1: FORM OF TENDER-FINANCIAL PROPOSAL SUBMISSION FORM

Dear Sirs:
We, the undersigned, offer to provide the consulting services for PROVISION OF CONSULTANCY SERVICES FOR CONTRACT ADMINISTRATION AND CONSTRUCTION SUPERVISION FOR THE HORIZONTAL INFRASTRUCTURE AND OTHER PUBLIC FACILITIES FOR KONZA TECHNOPOLIS [Insert title of assignment] in accordance with your Request for Proposal dated
Our attached Financial Proposal is for the amount of
Our Financial Proposal shall be valid and remain binding upon us, subject to the modifications resulting fromContract negotiations, for the period of time specified in the ITC12.1 Datasheet.
Commissions and gratuities paid or to be paid by us to an agent or any third party relating to preparation or submission of this Proposal and Contract execution, paid if we are awarded the Contract, are listed below:
Name and Address, Amount and Purpose of Commission of Agents, Currency or Gratuity
{If no payments are made or promised, add the following statement: "No commissions or gratuities have been orare to be paid by us to agents or any third party relating to this Proposal and Contract execution."}
We understand you are not bound to accept any Proposal youreceive. We remain, Yours sincerely,
Signature
{For a joint venture, either all members shall sign or only the lead member/consultant, in which case the power of attorney to sign on behalf of all members shall be attached}

N/B.

DO NOT INCLUDE INDICATIVE PRICES FOR ON-DEMAND SERVICES ON THE FORM OF TENDER. BIDDERS ARE REQUIRED TO PROVIDE THE IR INDICATIVE PRICES FOR THE ON DEMANFD SERVICES IN THEIR OWN TEMPLATES WITH THEIR COMPANY'S LETTER HEAD AND SIGNED BY THE AUTHORIZED SIGNATORY.

# **FORM FIN-2: SUMMARY OF COSTS-**

	Cost							
Item	{Consultant must state the proposed Costs in accordance with ITC 16.4 of the Data Sheet; delete columns which are not used}							
nem	{Insert Foreign Currency # 1}	{Insert Foreign Currency # 2, if used}	{Insert Foreign Currency # 3, if used}	{Insert Local Currency, if				
Cost of the Financial Proposal								
Including:								
(1) Remuneration								
(2) Reimbursables								
Subtotal [Remuneration + Reimbursables]								
Taxes:								
{insert type of tax e.g., VAT or sales tax}								
{e.g., withholding tax on experts' remuneration}								
{insert type of tax}								
Total Taxes								
Total Cost of the Financial Proposal: {Should match the amount in Form FIN-1}								

### FORM FIN-3A: BREAKDOWN OF REMUNERATION

When used for Lump-Sum contract assignment, information to be provided in this Form shall only be used to demonstrate the basis for the calculation of the Contract's ceiling amount; to calculate applicable taxes at contract negotiations; and, if needed, to establish payments to the Consultant for possible additional services requested by KoTDA. This Form shall not be used as a basis for payments under Lump-Sum contracts.

A. R	emuneration	1						
No	Name	Position (as in TECH- 6)	Person-month Remuneration Rate	Time Input in Person/Month (from TECH-6)	{Currency # 1- as in FIN-2}	{Currency # 2- as in FIN-2}	{Currency# 3- as in FIN-2}	{Local Currency- as in FIN- 2}
	Key Experts							
K- 1			[Home]					
K- 2			[Field]					
	Non-Key Experts							
N- 1 N-			[Home]					
2			[Field]					
			T	Total Costs				

# FORM FIN 3B: CONSULTANT'S REPRESENTATIONS REGARDING COSTS AND CHARGES

	form FIN 3B shall be used for Time-Based contracts only. If Lumpsum Contract is used, KoTDA shall delete the FORMFIN-3B, A FIN-3C and FORM FIN-3D from the RFP before issuance to Consultants}					
	Itant:					
We h	ereby confirm that:					
a)	The basic fees indicated in the attached table are taken from the firm's pay roll records and reflect the current rates of the Experts listed which have not been raised other than within the normal annual pay increase policy as applied to all the Consultant's Experts;					
b)	attached are true copies of the latest pay slips of the Experts listed;					
c)	the away-from-home office allowances indicated below are those that the Consultant has agreed to pay for this assignment to the Experts listed;					
d)	the factors listed in the attached table for social charges and overhead are based on the firm's average cost experiences for the latest three years as represented by the firm's financial statements; and					
e)	said factors for overhead and social charges do not include any bonuses or other means of profit-sharing.					
	[Name of Consultant]					
	Signature of Authorized Representative					
	Name:					
	Title:					

# FORM 3C: FORM FOR CONSULTANT'S REPRESENTATIONS REGARDING COSTS AND CHARGES

{This Form FIN 3C shall be used for Time-Based contracts only}

(Expressed in {insert name of currency\*})

Personnel		1	2	3	4	5	6	7	8
Name	Position	Basic Remuneration Rate per Working Month/Day/Year	Social Charges <sup>1</sup>	Overhead <sup>1</sup>	Subtota 1	Profit <sup>2</sup>	Away from Home Office Allowance	Proposed Fixed Rate per Working Month/Day/Hou r	Proposed Fixed Rate per Working Month/Day/Hour <sup>1</sup>
Home Office									
Procuring Entir	ty's Country								

<sup>{\*</sup> If more than one currency is used, use additional table(s), one for each currency}

Expressed as percentage of 1
 Expressed as percentage of 4

### FORM FIN 3D: BREAKDOWN OF REMUNERATION RATES [FOR TIME BASED CONTRACTS ON]

#### 1. Review of Remuneration Rates

- 1.1 The remuneration rates are made up of salary or abase fee, social costs, overheads, profit, and any premiumor allowance that may be paid for assignments away from headquarters or a home office. Form FIN3 C can be used to provide a breakdown of rates.
- 1.2 The Form FIN 3C shall be completed and attached to the Financial Form-3. As agreed at the negotiations, breakdown sheets shall form part of the negotiated Contract and included in its Appendix D or C.
- 1.3 At the negotiations the firm shall be prepared to disclose its audited financial statements for the last three years, to substantiate its rates, and accept that its proposed rates and other financial matters are subject toscrutiny. KoTDA is charged with the custody of government funds and is expected to exercise prudence in the expenditure of these funds.

#### 2 Rate details are discussed below:

- (i) <u>Salary</u> is the gross regular cash salary or fee paid to the individual in the firm's home office. It shall notcontain any premium for work away from headquarters or bonus, except where these are included by law or government regulations.
- (ii) <u>Bonuses</u> are normally paid out of profits. To avoid double counting, any bonuses shall not normally be included in the "Salary" and should be shown separately. Where the Consultant's accounting system is such that the percentages of social costs and overheads are based on total revenue, including bonuses, those percentages shall be adjusted downward accordingly. Where national policy requires that 13 months' pay be given for 12 months' work, the profit element need not be adjusted downward. Any discussions on bonuses shall be supported by audited documentation, which shall be treated as confidential.
- (iii) <u>Social Charges</u> are the costs of non-monetary benefits and may include, inter alia, social security (including pension, medical, and life insurance costs) and the cost of a paid sick and/or annual leave. In this regard, a paid leave during public holidays or an annual leave taken during an assignment if no Expert's replacement has been provided is not considered social charges.
- (iv) <u>Cost of Leave</u> The principles of calculating the cost of total days leave per annum as a percentage of basic salary is normally calculated as follows:

Leave cost as percentage of salary =  $\frac{\text{total days leave x } 100}{[365 - \text{w} - \text{ph} - \text{v} - \text{s}]}$ 

Where w = weekends, ph = public holidays, v = vacation, and s = sick leave.

Please note that leave can be considered as a social cost only if KoTDA is not charged forthe leave taken.

- (v) Overheads are the Consultant's business costs that are not directly related to the execution of the assignment and shall not be reimbursed as separate items under the Contract. Typical items are homeoffice costs (non-billable time, time of senior Consultant's staff monitoring the project, rent of headquarters' office, support staff, research, staff training, marketing, etc.), the cost of Consultant's personnel not currently employed on revenue-earning projects, taxes on business activities, and business promotion costs. During negotiations, audited financial statements, certified as correct by an independent auditor and supporting the last three years' over heads, shall be available for discussion, together with detailed lists of items making up the overheads and the percentage by which each relates to basic salary. KoTDA does not accept an add-on margin for social charges, overhead expenses, etc. for Experts who are not permanent employees of the Consultant. In such case, the Consultant shall be entitled only to administrative costs and a fee on the monthly payments charged for sub-contracted Experts.
- (vi) <u>Profit</u> is normally based on the sum of the Salary, Social costs, and Overheads. If any bonuses paid on a regular basis are listed, a corresponding reduction shall be made in the profit amount. Profit shall not be allowed on travel or any other reimbursable expenses.

(vii) Away from Home Office Allowance or Premium or Subsistence Allowances Some Consultants pay allowances to Experts working away from headquarters or outside of the home office. Such allowances are calculated as a percentage of salary (or a fee) and shall not draw over heads or profit. Sometimes, bylaw, such allowances may draw social costs. In this case, the amount of this social cost shall still be shown under social costs, with the net allowance shown separately.

### FORM FIN-4 BREAKDOWN OF REIMBURSABLE

When used for Lump-Sum contract assignment, information to be provided in this Form shall only be used to demonstrate the basis for calculation of the Contract ceiling amount, to calculate applicable taxes at contract negotiations and, if needed, to establish payments to the Consultant for possible additional services requested by KoTDA. This form shall not be used as a basis for payments under Lump-Sum contracts. This form shall be filled for Time-Based Contracts to form the basis of contract negotiations.

B. R	B. Reimbursable Expenses							
N°	Type of Reimbursable Expenses	Unit	Unit Cost	Quantity	{Currency # 1- as in FIN-2}	{Currency # 2- as in FIN-2}	{Currency# 3- as in FIN-2}	{Local Currency- as in FIN-2}
	{e.g., Per diem	{Day}						
	{e.g., International	{Ticket}						
	{e.g., In/out airport transportation}	{Trip}						
	{e.g., Communication costs between Insert place and Insert place}							
	{ e.g., reproduction of							
	{e.g., Office rent}							
	{Training of the Procuring Entity's personnel – if required in TOR}							
	Total Costs							

Legend:

"Per diem allowance" is paid for each night the expert is required by the Contract to be away from his/her usual place of residence. Procuring Entity can set up a ceiling.

### **SECTION 5. TERMS OF REFERENCE**

### INTRODUCTION AND JUSTIFICATON

In 2009, the Government of Kenya (GoK) initiated the Konza Technopolis (KT) project by acquiring a 5,000-acre parcel of land at Malili Ranch. This land is located approximately 60 kilometers southeast of Nairobi along the Mombasa-Nairobi A109 road. It is situated 18 kilometers south of Athi River and 400 kilometers north of Mombasa. The master planning process for the site took place between 2011 and 2013, and the implementation of the plan commenced in late 2014. The city's master plan draws inspiration from successful "new town projects" from around the world and was developed by a consortium of international experts. The Vision 2030 development blueprint has the ambitious goal of turning Kenya into a prosperous middle-income country on a global scale by the year 2030. To achieve this objective, Vision 2030 strategies focus on revitalizing job markets, improving social infrastructure, and strengthening national institutions. Konza Technopolis (KT) embodies this Vision and serves as a symbol of excellence not only for Kenya but also for the whole of Africa. Konza is envisioned as a 21st-century city, a 'smart city' characterized by cutting-edge integrated infrastructure, sustainable development, advanced communication networks, and economic viability. The city represents an ambitious vision for a modern, inclusive, and sustainable Kenya, showcasing the nation's newfound dynamism and prosperity.

The city features world-class civic and commercial architecture including the Regional Innovation Hub; high quality Technology University; Smart Schools; Research Labs; Recreational Facilities (Sports Stadium, Film and Media Centre), Hospitality (Hotels and Convention Centres), Smart City Solutions and world-class infrastructure. The commercial engine of KT is a cluster of technology businesses, a city that will capitalize on Research and Development (R&D) while leveraging on the emergent technologies and social capital to spur innovations. It will provide a good innovation ecosystem to educate new and existing tech entrepreneurs and for multinational companies entering Africa to consider setting their offices or branches. Konza Technopolis, with its reliable and redundant infrastructure and connectivity, will be expected to foster a technology ecosystem that will bring together a diverse population to provide solutions in communications, health, agriculture, education, and smart cities. Based on successful models such as Research Triangle, Silicon Valley among others, Konza will bring a real transformation of Kenya towards knowledge and technology-based economy. The KT 5000 acres full build-out is shown below:

### A. Streetscape and utilities distribution (integrated infrastructure)

The streetscape and utilities distribution are terms for integrated infrastructure where the transport carriageway, utilities are built at the same time to ensure coordination of all systems. The following are included under this scope.

Roads – including green transit corridor, two boulevards and other interconnecting local roads. The pavement structure consists of asphaltic concrete wearing course and PROVISION OF CONSULTANCY SERVICES FOR CONTRACT ADMINISTRATION AND CONSTRUCTION SUPERVISION FOR THE HORIZONTAL INFRASTRUCTURE AND PUBLIC FACILITIES

base and subbase of graded crushed stone. Geometrics include on-grade parking, junction designs with demand actuated traffic lights and smart poles for installation of smart city facilities including smart parking.

- Sidewalks majority of the streets have pedestrian sidewalks.
- Stormwater drainage network the storm water collection system consists of corrugated HDPE pipework with up-to 1400mm internal diameter.
- Sewer reticulation network Phase 1 is expected to have about 54,000 inhabitants. Sizing of sewer mains has been done to capture Phase 1 as well as future phases where sizing is critical through Phase 1. The general model is composed of about 400 manholes and trunks, with internal diameters ranging from 188 to 1000 mm and a total length of about 28.5 km. The considered layout allows to avoid several clashes with utility tunnel, which is thus placed with a shallower cover.
- Water distribution network- The design population for Phase 1 is of about 54,000 inhabitants.
  - Transmission Mains large diameter pipes greater than 600mm dedicated to transfer water between pressure zones and from downstream pumping stations to upstream reservoirs.
  - Major/Primary Distribution Feeder Mains large diameter pipes greater than 600mm diameter used to distribute water throughout one pressure zone.
  - Local Distribution Feeder Mains pipes between 400mm diameter to 600mm diameter in size used to supply water to subdivisions.
  - Local Water Mains pipes 300 mm diameter and smaller in size used to supply and distribute water within subdivisions.
  - Service Mains pipes 150 mm diameter and smaller in size used to supply and distribute water to individual customers (residential, industrial, commercial, and institutional)

The pipe diameters that are used in the design of the water supply network are Summarized as:

Pipe Diameter	Pressure
Range (mm)	Rating/Class
150 - 355	PN16 – SDR 11
≥ 400	PN16 – SDR11

- Telecom-ICT conduit network –
- Re-use water and irrigation network the Irrigation and reuse network is a system designed to serve the irrigation of Konza Technopolis Phase 1 area. The source of

PROVISION OF CONSULTANCY SERVICES FOR CONTRACT ADMINISTRATION AND CONSTRUCTION SUPERVISION FOR THE HORIZONTAL INFRASTRUCTURE AND PUBLIC FACILITIES

water for irrigation is the treated sewage effluents (TSE)/recycle water that will be available from the Wastewater Reclamation Facility.

For the distribution of reused water, PN16 HDPE pipes have been considered with nominal diameter ranging from DN110 to DN 400. For main pipelines-plot/park areas and road irrigation connection, the diameters DN50 and DN90 have been used. The biggest diameters are used for transmission mains and major/primary distribution feeders, while smaller diameters are used to supply and distribute water to individual customers (residential, industrial, commercial, and institutional).

- Utilities tunnel 6km long tunnel with 2.5m x 2.5m internal cross-section, 500mm thickness made of cast insitu concrete. The utility tunnel will carry wet utilities distribution (water supply and re-use lines) and dry utilities distribution (ICT, Telephony, Low voltage electrical).
- Street lighting streetlighting include lighting poles and lighting fixtures.
- Electrical distribution conduit electrical conduits consists of corrugated HDPE pipes
- Solid waste vacuum system an automatic solid waste collection system has been included within the streets and utility tunnel.
- Landscaping streets are landscaped at the edges with trees, shrubs, and grass.

### B. Electrical system

The following are part of electrical system.

- Substations: one main 66/11Kv 3\*40Mva, 54 distribution cabin 11/0.415Kv.
- Distribution: Nine 11Kv distribution ring feeding the cabins.
- Transformers and switchgear: 3 main 66/11Kv transformer and 108 distribution transformers and associated switchgear.
- Powering and installation of electrical network for Wastewater Reclamation Facility, Water Treatment Plant, and all public facilities.
- Streetlighting and powering of smart devices on streetscape.

### C. Water treatment plant

Includes treatment building, Processing, pumping and storage facilities and Site works.

### D. Wastewater reclamation facility (WWRF)

Includes Blower building, Clarifiers, MBBR tanks, Disinfection building and Filter feeds.

### E. Parks

City perimeter landscape (berm)

The perimeter berms along Highway A-109 showcase the arrival to Konza Technopolis from a distance. Upon approach, the berms progressively rotate and evolve signifying the gateway to Konza Technopolis. The monumental landscape gesture transitions from open savannah to a more formalized character representational of Konza's contemporary and influential infrastructure. The perimeter berms frame-controlled views into the city from the highway while also providing a backdrop visually buffering the highway to those within the city. Earthen berms also serve to acoustically buffer the highway and noise from adjacent city blocks. The infrastructural benefit of the berms is two-fold: they 1) conceal potential utilities located within the 100-meter setback zone and 2) provide a functional and aesthetic purpose for the excavated soil generated during the construction of Konza Technopolis. Perimeter berms, in conjunction with fencing, form a reinforced barrier preventing unauthorized vehicles and pedestrians from entering the Technopolis. The berms direct vehicles and pedestrians alike to designated points of entry into the city. The fence and berms will be augmented by a remote surveillance system to further enhance the city's security by detecting any activity along the city's perimeter.

# Nature park

The Nature Park is located along the northern periphery of Phase One: Spanning along an east- west axis. The Nature Park will act as an urban savanna and nature preserve celebrating the existing native landscape. As a hybrid landscape serving conservation, recreation, education and habitat provision, the Nature Park will be the major point of connection between natural and cultural systems for Konza Technopolis.

# Civic park

Civic Park will form a series of interconnected spaces located between the Commercial Band and the Technology Band. It is a continuous band of public space that creates a physical and symbolic center for civic life. The Civic Park band is comprised of a series of interconnected spaces that form a grand gesture, linking and extending significant public buildings and institutions. As a common ground for all, Civic Park is a place for people to share in moments of connection to larger cultural, historical, or political facets of city life. As a ground for free speech, public expression and activism, the design language for Civic Park is to be open, flexible, democratic, and egalitarian. Civic Park will serve as the premier venue for large public events, gatherings and performances. Civic Park will also accommodate small, informal gatherings and provide city dwellers with a place to sit, go for a walk, take a lunch break, or meet with a friend.

# Urban plaza and squares

Urban Plazas and Squares are located in high-density mixed-use areas. Urban Plazas and Squares are public spaces in dense urban areas that provide places for leisure, gathering, and respite from bustling city streets. Located near shops, restaurants, cafes, and offices, these spaces are active social nodes within the city. They should accommodate high use volumes and invite multiple uses and groups simultaneously. Seating, planting, and shaded

areas and water features shall enhance the comfort of visitors by providing cooling, shading, and aesthetic interest.

# Urban parks and gardens

Urban Parks and Gardens are public outdoor spaces located in high and medium density mixed- use areas and within the Green Transit Corridor. Urban Parks and Gardens provide opportunities for immersion in spaces within the city that are centered on plants. In urban environments, parks and gardens promote health and well-being by offering opportunities for relaxation and connection to the natural world. They also offer climate regulating benefits by increasing urban biomass to mitigate heat island effects and provide cooling pockets within the city. As our cities become denser and more high-tech, Urban Parks and Gardens are increasingly important to maintaining a healthy interface between natural and cultural systems.

### Green transit corridor

Green Transit Corridors are oriented along an east-west axis offering diverse social spaces and mixed- use development connected through multi-modal transportation. Green Transit Corridors offer a unique and significant type of open space in Konza bridging function, sustainability, placemaking and creating a sense of identity for the city. Green Transit Corridors are a combination of "Complete Streets", "Living Streets", and "Green Streets": multipurpose corridors that blend public transit, bikeways, pedestrian traffic with safe access for all users with integration of parks designed with sustainable drainage systems. Other than public transit or emergency vehicles such as fire trucks or ambulances, no other vehicles are allowed to travel within the Green Transit Corridors.

# F. Public buildings

Includes police station, fire station, entrance and security feature and Solid waste handling facility.

- 2,500-m2 Solid Waste Handling Facility;
- 12,300 m2 Police and Fire Station & Associated Buildings;
- 8,000 m2 Entrance and Security Feature;
- > 3,000-m2 Transit Hub.

# **Duration of the Assignment**

The total period is three (3) years for Construction Supervision and DLP.

Appendix I: Indicative Construction Packages Delivery Phases provides an indicative projection of the existing and planned construction completion dates for the components of the Smart City. However, it must be recognized that these projections are subject to modification as circumstances may dictate and the O&M Contractor shall be required to adjust its own program accordingly.

# **Scope of Consulting Services**

# **General Requirements**

As soon as the Client notifies the Consultant of the Date of Commencement, they shall immediately begin mobilization to provide all administrative and engineering disciplines, equipment (including computers and software) and back-up services necessary for the construction supervision and Operation and Maintenance Services. The Consultant should consider that elements of the project and they must provide adequate resources for monitoring these operations.

The Scope of Services for includes the following:

Task 1: Construction Supervision Consultancy Services for the remaining construction works of the Phase 1 Horizontal Infrastructure and Public Facilities of Konza Technopolis.

The following tasks may be required by the employer on need basis;

- Task 2: On Demand Services: Administration of the construction of 100 Nos Pilot Village Hubs across the Country as instructed by the Employer.
- Task 3: On Demand Services: O&M Supervision Consultancy Services for the Operations and Maintenance works of Phase 1 Horizontal Infrastructure and Public Facilities of Konza Technopolis.

# TASK 1: CONSTRUCTION SUPERVISION CONSULTANCY SERVICES FOR THE REMAINING CONSTRUCTION WORKS OF THE PHASE 1 HORIZONTAL INFRASTRUCTURE AND PUBLIC FACILITIES OF KONZA TECHNOPOLIS

Konza Technopolis Development Authority (hereinafter referred to as "the Client") intends to engage an experienced Consultant (hereinafter referred to as "the Consultant") to provide Supervision Consultancy Services for the Construction works for Horizontal Infrastructure and Public Facilities at Konza Technopolis (hereinafter referred to as "the Project").

This Terms of Reference (TOR) provides an overview of the Project and establishes the services required from the Consultant who shall appointed to provide the necessary supervision services for the Construction works as well that will be undertaken by the selected Contractor.

The streetscapes and utilities networks to be undertaken by the Authority encompasses the following sub-projects;

- i) Roadways
- ii) Water supply network
- iii) Waste water collection (Sewer system)
- iv) Waste water reuse
- v) Utilities tunnel
- vi) Stormwater network
- vii) Electrical conduits
- viii) Fiber optics conduits
- ix) Solid waste vacuum collection system
- x) Street landscaping

The Consultant may be assigned to play the role of Employer's Representative. The Consultant will be required to ensure the Works Contract is professionally undertaken by suitably qualified experts.

The Consultant is expected to submit his technical and financial proposal for undertaking the required consultancy services, to supervise the Contractors' works, which includes, in brief:

- Construction works, which consist of planning, building, commissioning, and handing over of the infrastructure assets and facilities as per the approved designs.
- Operation works, which consist of running, directing, and managing installed systems to ensure smooth operation and satisfactory performance of the infrastructure assets and facilities.
- Maintenance works, which consist of the activities required to maintain the serviceability of the
  assets and facilities. The works include inspection, detection, and repair of any defects in the
  infrastructure assets and facilities; all to ensure that the structures continue to deliver their
  planned performance throughout their design life and that they provide the public with the
  desired services.
- A. The Consultant will perform all services described in these Terms of Reference and ensure they are accomplished in accordance with the Client's requirements and procedures and recognized international standards. The Consultant shall be held responsible for all the work that they carry out and all the recommendations they provide in connection with the works.
- B. The Consultant will provide a level of service that is consistent with industry best practices and in accordance with the highest professional standards. This commitment encompasses several aspects including quality assurance, compliance, innovation, sustainability, risk management, etc.
- C. In the event of a conflict in the requirements given in these Terms of Reference and those found in the Standard Agreement, the Terms of Reference will govern.
- D. The Consultant shall assign a full time Resident Engineer during Construction supervision and a Senior Facility Manager during Operation and Maintenance services, in Republic of Kenya, responsible for overall execution of the Consultant's work who shall have the authority to act on behalf of the Consultant on all matters related to this Agreement.
- E. The Consultant agrees to provide skilled and highly qualified professionals with high quality experience in similar projects to perform the services described in these Terms of Reference, consistent with the codes of ethics and to the best interest of the Client.
- F. The Consultant shall provide a Procedures Manual specifying the procedures of the Construction Supervision and Operations and Maintenance.
- G. The languages of communication will be English.

# **Requirements During Construction Supervision Stage**

The Consultant will carry out construction supervision services of the sub-projects which are in construction phase. The Construction supervision services shall cover the following areas:

- Construction Inspections
- Schedule Management

- Cost Management
- Contract Administration

**Documents Management** 

Site Meetings

**Defects** 

**Communications Management** 

Notification

Period

- Claims Management
- Health, Safety and Environment
- Quality Assurance/ Quality Control (QA/QC)
- Procurement Management
- Progress Reporting

# **Construction Inspections**

The Consultant shall provide, without limitation, the following services:

- Carry out inspections and approval of all construction related works.
- Take project progress photographs, videos, and clearly sort it in terms of works, date, location, among other parameters. These photographs and video clips shall be monthly submitted to the Client and uploaded to the document management system.
- Prepare monthly compliance reports throughout the project implementation as per the Client's construction compliance monthly reporting structure.

# **Schedule/Time Management**

The Consultant shall provide, without limitation, the following services:

- Monitor the Construction Contractor's adherence to program.
- Monitor work progress and report on any delays / deviations from the program.
- Review the Construction Contractor's monthly progress reports, site meeting minutes and ensure they reflect the actual status.
- Proactively engage with the Construction Contractor to ensure the program is up to date and realistic.

#### **Cost Management**

- Review Construction Contractor's applications for payment certificates.
- Review quotations or financial proposals from Construction Contractor on additional scope.
- Analyze the cost variations.
- Monitor and report on variations to the Contract Price.
- Review cash flow forecasting
- Evaluate the progress of works.
- Undertake and report on quarterly financial appraisals.

Undertake and report on Final Accounting

#### **Contract Administration**

The Consultant shall provide, without limitation, the following services:

- Provide advice on contractual matters pertaining to the Works Contract.
- Undertake risk management which includes preparation of risk register and risk mitigation strategy.
- Continually review contract documents including time programme, Construction Contractor's technical proposal, Construction Contractor's Project Execution Plan, insurance policies, performance guarantees, advance payment guarantee, and report to the Client on findings.

# **Claims Management**

The Consultant shall provide, without limitation, the following services:

- Analyze the Construction Contractor's claims and report back to the Client.
- Ensure that each claim has been evaluated against recognized industry methodologies.
- Draft and issue responses to claims in consultation with the Client.
- Prepare Client's claims with sufficient substantiating documents.

#### **Health Safety and Environment**

The Consultant shall provide, without limitation, the following services:

- Monitor the Construction Contractor's Health and Safety obligations as per Contract and relevant laws and regulations.
- Control of the Construction Contractor's compliance with the Environmental Assessment and the mitigation measures described in the Contract.
- Provide a suitable HSE officer or officers that will oversight Construction Contractor's compliance with HSE.
- Prepare monthly compliance reports throughout the project implementation as per the Client's construction compliance monthly reporting structure.

# Quality Assurance/ Quality Control (QA/QC)

- Ensure the development of Quality Assurance and Control Procedures
- Ensure Quality Assurance and Control Procedures are observed throughout the Project Implementation.
- Monitor Construction Contractor's compliance with Contract specifications,

drawings, standards, and good construction/engineering practices.

- Monitor the Construction Contractor's compliance with QA/QC obligations in their Contract.
- Ensure the quality of the Works is satisfactory as per contract and relevant performance standards.
- Prepare quality reports on quality assurance.
- Prepare monthly compliance reports throughout the project implementation as per the Client's construction compliance monthly reporting structure.

#### **Procurement management**

The Consultant shall provide, without limitation, the following services:

- Review and approve the procurement schedule by the Construction Contractor to ensure completeness.
- Monitor Construction Contractor's procurement schedule and report to the Client.
- Assist the Client in reviewing of tax exemption applications from the Construction Contractor and/or audit of utilization of already exempted items in the project.
   This is based on tax exemption regime approved by the National Treasury.
- Undertake material inspection and acceptance when delivered on site.

#### **Progress Reporting**

The Consultant shall provide, without limitation, the following services:

- Prepare monthly project progress reports summarizing the key activities undertaken within the month.
- Prepare daily project reports outlining site activities carried out on a daily basis.

#### **Documents Management**

The Consultant shall provide, without limitation, the following services:

- Track documentation between all project stakeholders
- Provide and maintain a document management system for the duration of the project.
- Upload information on the document management system.
- Manage information flow in the document management system.

# **Communications Management**

- Track communication between all project stakeholders.
- Create a structured communication plan across all stakeholders.
- Ensure efficient communications between the Parties in the Works Contract.
- Ensure all communications between the Parties is up to date.

#### **Site Meetings**

The Consultant shall provide, without limitation, the following services:

- Organize and attend site meetings.
- Review and ensure minutes of meeting accurately reflects the deliberations in the meeting.
- Ensure decisions made in the meeting are consistent with Contract provisions.

#### **Defects Notification Period-DNP**

The Consultant shall provide, without limitation, the following services:

- Supervise maintenance works during Defects Notification Period.
  - a. Facilitate issuance of completion certificates, release of all bonds, performance certificate etc.

# Requirements During DNP/O&M Supervision Stage

The objective of the O&M supervision consultant is to ensure the efficient and effective operation and maintenance of the smart city's facilities, systems, infrastructure, and plants over the 3-year term through the following services:

- Taking over of assets
- Asset Register
- Implementation and Management of Computer Aided Facility Management (CAFM) System
- Selection of O&M Contractors
- Operation & Maintenance Site Supervision
- Selection of O&M Contractors
- Contract Administration
- Managing the Performance of O&M Contractors
- Managing the Spare Parts
- Quality Management System
- Stakeholder Coordination
- Risk Management
- Sustainability, Energy and Water Management
- Health, Safety and Environmental management
- Finance management and budget control
- Reporting and Communication
- Document Management
- Knowledge and Information Transfer

#### Taking over of assets

The Consultant shall provide, without limitation, the following services:

- Conduct thorough inspections of all the assets to ensure that they meet design specifications and the defined quality standards.
- Verify that all the construction deficiencies have been addressed by the construction team before handover.
- Work closely with the Construction Contractor to address any outstanding deficiencies or issues.
- Perform joint inspections and site surveys along with the Construction Contractor and O&M Contractor.
- Review all construction documentation including as-built drawings, manuals, warranties and guarantees.
- Ensure all documentation is complete, accurate and organized.
- Manage the commissioning process including the pre-functional tests, operational and functional performance tests.
- Oversee and manage the handover process between the Construction Contractor and O&M Contractor.

#### **Asset Register**

The Consultant shall provide, without limitation, the following services:

- Review and document the acceptance of assets including handover inspections and its related reports, verification of snag list, O&M manuals, drawings, guarantees and warranties, etc.
- Create an Asset Register and assign unique identification number or code for each asset to facilitate the tracking purposes.
- Continuously update the asset register to reflect changes, additions, condition assessment.
- Generate regular reports on asset status, condition, and performance

# Implementation and Management of Computer Aided Facility Management (CAFM) System

The Consultant shall provide, without limitation, the following services:

- Develop CAFM System and recommend software requirements for the Client to purchase
- Implement and configure the CAFM system taking into account the Client's requirements.
- Provide skilled manpower to manage and operate the CAFM system.
- Develop the necessary dashboards to monitor the asset performance and O&M Contractor's compliance.
- Upload and update the data related to the Assets under O&M scope.

#### **Selection of O&M Contractors**

The Consultant shall provide, without limitation, the following services:

- Assist the Client in procuring the O&M Contractor
- Develop a list of prequalified contractors.
- Draft RFP/ Tender documents including scope of services covering all technical and commercial aspects.
- Prepare Tender Bulletins, and answer bidder's queries as required.
- Provide assistance in the technical and commercial evaluations.
- Prepare presentations and tender meetings as may be required.
- Provide assistance in the kick-off meeting with the O&M Contractor.

# Operation & Maintenance Site Supervision

The Consultant shall provide, without limitation, the following services:

Supervise the day-to-day operation and maintenance works that are being performed by the O&M
contractor to ensure their compliance with the O&M Contract documents. Such works include planning,
spare parts and consumables management, managing maintenance activities, life-cycle replacement, etc.

- Overview the O&M Contractor personnel to ensure that certified specialists and trained personnel are assigned for specialist operation and maintenance works.
- Follow-up on O&M Contractor's timely submission of material submittals including purchase orders, work lists, material and product data, specialist inspection certificates, etc....
- Issue instructions to the O&M Contractor in relation to rectification measures, actions, or others.
- Review the O&M Manuals, the referenced Detailed Design documents, and supplier's documents for all structures, elements, and systems of the Project. The Consultant shall immediately notify the Client of any omissions or errors and provide advice on resolutions to these problems. They shall provide advice on additional actions that may reduce maintenance liabilities.
- Maintain inspection reports and any other reports and logs pertinent to the works.
- Review and approve the O&M Contractors' submittal of any updated as-built drawings due to any
  changes to the structures. Monitor closely associated construction works for compliance with the O&M
  Construction Contract specifications and with the approved method of construction.
- Review all O&M Contractor's technical submittals in a timely manner and that, in the event of noncompliance with the O&M Construction Contract, the deficiencies shall be clearly identified, and documents resubmitted for approval.
- Study technical proposals submitted by the O&M Contractor, provide review comments and recommendations.
- Ensure that all materials to be incorporated in the works are tested by the O&M Contractors, in accordance with international standards throughout the O&M period.
- Use best endeavor to ensure that the O&M Contractors' temporary works designs, and construction methods are suitable for the work and are safe for their operatives, third party personnel and nearby property.
- Advice the Client on any complex technical problems and assist the O&M Contractor in finding the best solution.
- Liaise with the Client to assist the O&M Contractor with the procedures and required submissions to secure necessary approvals from local authorities.
- Supervise O&M Contractor's timely performance and highlight any delay regarding operation and maintenance activities.
- Review the O&M Contractors work schedule and maintain a diary of the O&M works on site.

#### **Contract Administration**

The Consultant shall provide, without limitation, the following services:

- Ensure that all contract obligations and deliverables are abided by the O&M Contractor and are in compliance with the terms and conditions of the contract.
- Assist the Client in administering the O&M contracts and all activities related to operating and maintaining the smart city.
- Support the Client with all technical and managerial aspects related to operating and maintaining the assets and facilities within the smart city.
- Provide the Client with seasonal and monthly reports for the progress of O&M works.
- Notify the Client immediately of any submitted claim by O&M Contractor, proceed with claim analysis and provide recommendation to the Client.

# Managing the Performance of O&M Contractors

- Establish a system for ongoing performance monitoring of O&M Contractor.
- Regularly assess the O&M Contractor and report on their adherence to SLAs and contractual obligations.

- Define and track KPIs that measure the quality, efficiency, and effectiveness of the provided services.
- Use the KPIs to objectively assess the performance of the O&M Contractor.

#### **Managing the Spare Parts**

The Consultant shall provide, without limitation, the following services:

- Create a comprehensive catalogue that contains all information for managing, procuring, and storing spare parts and consumables.
- Establish effective inventory management procedures to ensure that the spare parts and consumables will be readily available when needed.
- Define storage location and best practices for spare parts and consumables.
- Define storage requirements and best practices for spare parts and consumables.
- Conduct a thorough assessment of the assets to determine their maintenance requirements.
- Establish procedures for keeping the spare part and consumable catalogue up to date.
- Review and approve all spare parts and material used by the Contractor.
- Review, approve, and follow-up on the implementation of the contractor's procurement plan of spare parts and materials.
- Monitor the storage and inventory system.
- Inspect material and equipment received on site related to any replacement or maintenance works.

# **Quality Management System**

The Consultant shall provide, without limitation, the following services:

- Review, approve, and follow-up the Contractor's development and implementation of a QA/QC plan.
- Review and approve the Contractor's operation plan, maintenance plan, preventive Maintenance program and supervise its implementation.
- Ensure that the Contractor executes routine preventive maintenance (PM) and predictive maintenance activities in accordance with best industry practice and Manufacturers' recommendations.
- Ensure that the Contractor performs corrective maintenance (CM) activities within the contract time limitation upon detection of abnormal conditions.
- Ensure that the Contractor implements a continuous inspection and assessment plan for all the assets.
- Ensure that the contractor complies with the O&M contract requirements in terms of providing service level agreements and subcontractors for the specialized systems.
- Review the Contractor's compliance with international and local safety codes & practices, and as specified in the Employer's Requirements.
- Review and approve the Contractor's procedures for the proper operation of the assets.
- Prepare an O&M supervision procedures manual identifying procedures, process, forms, and daily activities to supervise the O&M Contractor's work.
- Review O&M Contractor's performance in relation to set Key Performance Indicator (KPI) system.
- Set up and implement an effective Quality Assurance (QA) plan.
- Follow, review, and approve the O&M Contractors' submittals, report and make recommendations to the Client as necessary.
- Review of PMIs and O&M Policies submitted by the O&M Contractor.
- Review of any technical documents and submittals including without limitation, equipment and material submittals, design, etc.
- Implement a Quality Management System.

# **Stakeholder Coordination**

The Consultant shall provide, without limitation, the following services:

- Prepare a stakeholder matrix identifying key roles and responsibilities of the stakeholders involved.
- Provide an interface management plan, including public interfaces, and provide recommendations for the coordination and control.
- Coordinate with the Client regarding necessary liaison with local authorities, and any required approval, operations, or other works from their end.

# **Risk Management**

The Consultant shall provide, without limitation, the following services:

- Prepare a risk management plan and a risk register to identify main risks associated with the operation and maintenance.
- Hold risk management workshop and meetings with the O&M Contractor and key stakeholders to gather key risks.
- Allocate the risks to identified risk owners, follow-up on progress and perform regular risk register update.
- Establish the project risk register and follow it up to be updated on a regular basis.

# Sustainability, Energy and Water Management

The Consultant shall provide, without limitation, the following services:

- Manage, monitor, and implement sustainability objectives that are set by the Client.
- Implement Environmental Management System
- Ensure that the contractor implements sustainability requirements as well as operational energy conservation measures.

# Health, Safety and Environmental management

The Consultant shall provide, without limitation, the following services:

- Review O&M Contractor's health, safety and environmental plan including on site measures in relation to his staff and to the public.
- Overview O&M Contractor's training of his staff on health, safety, and environment.
- Ensure enforcement of health, safety, and environment on site by the O&M Contractor in line with the set plan.
- Report any safety or security accident, and monitor O&M Contractor's actions in this regard.
- Ensure that the O&M Contractor is coordinating with the authorized local environmental authorities.
- Perform environmental auditing and review O&M Contractor's environmental reporting.
- Assist the Client to obtain ISO certifications for Safety, Quality and Environmental Services

# Finance management and budget control

- Develop Operating Budgets based on the collected data, including all risks and contingencies.
- Develop and update annual budgets and cost reports. The annual cost reports shall include cost
  optimization actions achieved and forecasted cost optimization proposals.
- Advise the Client on other operational expenses such as utilities, subscriptions, and insurance expenses with related invoices.
- Review the O&M Contractor's payment application and issue payment certificate to the Client considering O&M Contractor's performance and any application of penalty regime.
- Review for completeness and correctness the O&M Contractor's reimbursable list.
- Provide monthly cost reports indicating the financial obligation of the Client under the Contract.

#### **Reporting and Communication**

The Consultant shall provide, without limitation, the following services:

- Conduct coordination meetings with O&M Contractor, local authorities or stakeholders, as required.
- Conduct progress meetings with the Client, O&M Contractor, and key stakeholders to discuss work progress, and technical matters if any.
- Prepare meeting agenda and minutes of meeting.
- Prepare and issue all minutes of meetings and implement follow up actions.
- Submit monthly and annual reports in line with the Client's requirements.

#### **Document Management**

The Consultant shall provide, without limitation, the following services:

- Establish a project website, for central filing and common document control system by main project parties including the Client and O&M Contractor for uploading / downloading correspondences and submittals and follow-up on progress.
- Develop and maintain a comprehensive document management control system for management and filing requests for information, change orders, transmittals, meeting minutes, payment requests, correspondence, scheduling and estimates.
- The language of communication shall be the English language.

#### **Knowledge and Information Transfer**

The Consultant shall provide, without limitation, the following services:

- Produce a knowledge and information transfer plan that enables the Client to undertake the O&M supervision role on a seamless basis upon the completion of the Contract.
- Review and approve the Contractor's continuous training program

# Team Composition & Qualification Requirements for the Key Experts

#### 1. CONSTRUCTION SUPERVISION STAGE TEAM- FOR TASK 1.

The Client has recommended minimum staffing requirements, minimum qualifications and length of previous experience for the personnel employed on this Project. These requirements are summarized in the table below. The Consultant is responsible of assessing any required additional position, and the deployment of all its personnel on a full-time basis, part-time basis and on-call basis experts which may be required from time to time.

Following is the minimum number of manpower required to perform the services:

Area	Manpower Description	Number
Site Management	Resident Engineer	1
& Common	Quantity Surveyor	1
	Land Surveyor	1
	Document Controller	1
	Health Safety & Environmental	1
	Officer	
Water Treatment	Water & Sanitation Engineer	1
Plant	Mechanical Engineer	1
Streetscape and	Roads/ Geometric Engineer	1
Subsurface	Electrical Engineer	1
Utilities	Materials/Pavement Engineer	1
Buildings	Architect	1
	Structural Engineer	1
Parks	Landscape Architect	1

Smart City	Smart City Architecture Consultant	1
Non- Key Experts	Assistant Document Controller	1
	Assistant Materials Engineer	1
	Assistant Quantity Surveyor	1
	Procurement Officer	1
	Assistant Health Safety &	1
	Environmental Officer	

# Resident Project Manager/Resident Engineer

The Resident Engineer (RE) shall be a Civil Engineer with a bachelor's degree in civil engineering from a recognized Kenyan University or equivalent. He/she shall be registered as a professional engineer and hold a current practicing license from Engineers Board of Kenya or equivalent. The RE shall have at least 15 years post registration experience. He/she shall have experience in administering FIDIC contracts, with knowledge and experience in administering or supervising projects using FIDIC Silver Book being an added advantage. He/she should have working knowledge in claim analysis, an understanding of dispute adjudication as well as an understanding of programme scheduling. The resident engineer should demonstrate working knowledge in multi-disciplinary projects.

#### **Architect**

The Architect shall have a bachelor's degree in architecture from a recognized Kenyan University or equivalent. He/she shall be registered by the Board of Registration for Architects and Quantity Surveyors (BORAQS) of Kenya with a valid practicing license. He/she shall have at least 8 years post qualification experience working on a multi- disciplinary project encompassing building services, infrastructure works as well sub- surface utilities. He/she shall have demonstrated experience working on green building (s) and or infrastructure. He/she shall demonstrate understanding of public and main access building facilities for more than 10,000 population per day.

# **Quantity Surveyor**

The Quantity Surveyor shall have a bachelor's degree in quantity surveying or Building Economics from a recognized Kenyan University or equivalent. He/she shall be registered by the Board of Registration for Architects and Quantity Surveyors (BORAQS) of Kenya and have 5 years post qualification experience. He/she shall have experience in multi-disciplinary projects with key emphasis in dealing directly with civil infrastructure projects (such as roads, water supply, sewer, power distribution) and building projects (such as commercial, residential, and industrial projects). Experience in dealing with multinational contractors is desirable. Additionally, he/she should have experience as part of Employer/Client team resident at project site office. He/she shall have working knowledge in FIDIC contract with demonstrated knowledge and experience in contract administration, claim analysis, and arbitration or adjudication. He/she shall have experience in scheduling, risk assessment and financial analysis (Cash flows and Cost Estimation).

#### **Land Surveyor**

The Land Surveyor/Geomatics Engineer shall have a bachelor's degree in Land Surveying or Geospatial/Geomatic Engineering from a recognized Kenyan University or equivalent. He/she shall be a member of the Institution of Surveyors' of Kenya (I.S.K) and registered with the Land Surveyors' Board. He/she shall have a minimum of seven (7) years of work experience. He/she shall have experience in Engineering surveys, setting out engineering designs, topographic and cadastral surveys.

# **Document Controller**

The Document Controller shall have a Postgraduate or bachelor's degree or diploma in project management, engineering or built environment related academic programmes. He/she shall have 7 Years working knowledge with enterprise resource for example Oracle, SharePoint etc. He/she should have

worked in multi-disciplinary projects, preferably in infrastructure projects such as roads, water, sewer etc and have proven track record of successful project completion.

# Health, Safety and Environmental Officer

The Health, Safety and Environmental Officer shall have at least a bachelor's degree in environmental sciences or equivalent. He/she shall have a minimum of seven (7) years professional experience in environmental engineering and/or urban building and infrastructure projects.

#### **Water and Sanitation Engineer**

The Water and Sanitation Engineer shall hold a bachelor's degree in water engineering from a recognized university in Kenya or equivalent. He/she shall be registered with the Engineers Board of Kenya or equivalent and have 10 years post registration experience in a multi- disciplinary project with emphasis on Design, Construction and Supervision of Water treatment plants, Water supply, Wastewater treatment Plants and Sewerage systems. He/she shall have working knowledge of FIDIC contracts with Silver book/Yellow book (Turnkey) being an added advantage.

# **Mechanical Engineer**

The Mechanical Engineer will be Mechanical Engineer with (BSc Mechanical Engineering) from a recognized Kenyan University or equivalent. He/she shall be a Professional Engineer registered with Engineers Board of Kenya (EBK) with a current practicing licence or equivalent and have 10 years post registration experience in a multi- disciplinary project with experience in Design, Equipment Installation and Testing/Commissioning for water treatment plants, sewer treatment plants, fire suppression systems as well as Building Services design and supervision. He shall have working knowledge of FIDIC contracts with Silver Book/Yellow Book (Turnkey) being an added advantage.

#### Roads-Pavement/Materials Engineer

The Materials/ Pavement Engineer will be a degree holder from a recognized Kenyan University or equivalent. He/she shall have a minimum of a Civil Engineering degree and registered by EBK with 10 years post-registration experience. He/she shall have experience in road pavement and material design and supervision. Site supervision on a roads project with aspects of graded crushed stones (GCS) and flexible pavement is an added advantage.

#### **Mechanical Engineer**

The Mechanical Engineer will be a degree holder (BSc Mechanical Engineering) from a recognized Kenyan University or equivalent. They shall have a minimum of a Mechanical Engineering degree with 10 years post-graduation experience. They shall have experience in water reticulation including potable, non-potable as well as fire suppression systems, building services supervision. Site supervision on a project with sub-surface utilities will be an added advantage.

#### **Structural Engineer**

The Structural Engineer shall be a degree holder from a recognized Kenyan University or equivalent. He/she shall have a minimum of a Civil/Structural Engineering degree with 10 years post-graduation experience. He/she shall have experience in power reticulation, alternate sustainable power sources as well as building services with emphasis on sustainability. Site supervision on a project with sub-surface utilities will be an added advantage.

#### **Electrical Engineer**

The Mechanical Engineer shall be a degree holder from a recognized Kenyan University or equivalent. He/she shall have a minimum of an Electrical Engineering degree with 10 years post-graduation experience. He/she shall have experience in power reticulation, alternate sustainable power sources as well as building services with emphasis on sustainability. Site supervision on a project with sub-surface utilities will be an added advantage.

#### **Landscape Architect**

The Landscape Architect will be a degree holder (Bachelor of Landscape Architecture) from a recognized Kenyan University or equivalent. He/she shall have experience working on multi-disciplinary projects

entailing road works, buildings, parks and or environments with human/animal co-habitation (Like Game Parks, Animal Orphanages). He/she shall have 5 years active working experience.

# Information and Communications Technology (ICT) Engineer

The Information and Communications Technology will be a Bachelor of Science or Bachelor of Engineering or Bachelor of Technology degree holder in ICT Engineering or Computer Engineering or Telecommunications Engineering or Electronics Engineering from a recognised university. He/she shall have a minimum of 5 years post-graduation experience. He/she should have experience in data networks (preferably in fibre optic networks and broadband convergence networks) and information security. Experience in data centre implementation and network connected microcontroller systems will be an added advantage.

#### **Smart City Architecture Consultant**

The Smart City Architecture Consultant shall be a bachelor's degree holder in the following relevant fields: ICT, Computer Science, Telecommunications or equivalent from a recognized Kenyan University or equivalent. The Smart City Architecture Consultant should have at least 8 years of experience in smart city solution design. Have experience or qualifications covering at least two aspects of the following: data networks, ICT, smart transportation, smart government, smart campus, smart utilities, building management systems, smart environment, big data, Internet of Things, and cloud computing. The consultant should have demonstratable knowledge of key ICT, and innovative design strategies adopted within Smart Cities elsewhere that have used technologies to resolve their urban development and maintenance challenges. Experience or knowledge of advising on ICT enabled technological solutions, e-government/e-governance transitions within the context of urban infrastructure and services will be an added advantage.

# Assistant; Document Controller, Materials Engineer, Quantity Surveyor, Procurement Officer, Health safety and Environmental officer

The above Non-Key Experts shall have a bachelor's degree in relevant fields from a recognized Kenyan University or equivalent. They shall have a minimum of three (3) years' experience in their relevant fields. Notes: The following notes are related to the team composition and qualification requirements.

- > Equivalent bachelor's degree programs will be accepted.
- At least 50% of the team must be Kenyan citizens.

# TASK 2. REQUIREMENTS FOR THE CONSTRUCTION ADMINISTRATION FOR THE 100 PILOT DIGITAL HUBS ACROSS THE 47 COUNTIES IN KENYA. (ON DEMAND SERVICES)

The Consultant will support the employer in the realization of the development of 100 pilot hubs across the 47 counties in Kenya, for the parent ministry of ICT.

# Background:

The Government of Kenya through the Ministry of Information Communication and The Digital Economy (MIC-DE) recognizes the importance of technology in driving Kenya's digital economic transformation.

The Ministry, in collaboration with the public, private and the diplomatic players has over the years emphasized the role of the digital economy in promoting economic growth and creating jobs for young people through a universally accessible on-demand digital skilling, mentorship and jobs linkages in the Global Business Services Space i.e., Business Processing Outsourcing (BPOs), IT Enabled Services (ITEs), ecommerce, digital entrepreneurship and the digital creative economy.

It is against this backdrop that In December 2022, the Ministry through Konza Technopolis Development Authority (KoTDA); and in line with Government's Bottom-up Economic Transformation Agenda (BETA), initiated the implementation of the high socio-economic impact Community based Digital Programme. The programme seeks to establish high end computer hubs (Village Digital Hubs) in all the Technical and Vocational Education Training Institutes (TVETs) in Kenya and further set-up 1450 Village Digital Hubs in all the wards (Villages).

The 1450 Village Digital Hubs will be destinations in wards with ready access to digital infrastructure complete with high-speed internet connectivity necessary for practical digital skills training, research and digital opportunities access in remote areas.

The hubs are expected to unlock the benefits of ICT to underserved areas, access to BPOs/ITES jobs, eCommerce, Digital government services, creative economy, innovation incubation for Kenyans in the TVETS and remote villages.

By establishing digital infrastructure, providing training and education, and fostering local innovation, the program aims to transition learners to instant earners, empower rural, peri-urban and urban communities, improve livelihoods, and enhance socio-economic development.

#### Key Project Activities

- i. Project Preparation (Designs, Budget, Procurement)
- ii. Construction and commissioning of the 100 Village Digital Hubs
- iii. Installation of village digital hub IT and network infrastructure
- iv. Official launches of village Digital hubs upon completion of infrastructural setup
- v. Commencement of comprehensive digital skills training for cohorts of trainees on a rolling bi-annual training calendar.
- vi. Conducting awareness campaigns, workshops, and community engagement activities to promote the adoption and utilization of digital technologies and attract talent to the digital hubs for training and for work opportunities.
- vii. Establishment of monitoring and evaluation mechanisms to track project progress, measure impact, and gather feedback from the community.

- viii. Building partnerships with other government agencies, private sector organizations, and community stakeholders to leverage resources, expertise, and support for the successful implementation of the Village Digital Hub program.
- ix. Implementation of entrepreneurship development programs, including digital business innovation incubation, and access to finance, to support local entrepreneurs and promote digital business initiatives in the villages.
- x. Regular documentation and reporting on project milestones, lessons learned, and project outcomes to facilitate best practices and knowledge transfer in other community digital hubs.

# Proposed Models/Designs

The following model have been proposed for the implementation of the Village digital hubs

- 1. Basic model
- 2. Standard model
- 3. Center of Excellence
- 4. Renovation of existing facilities

#### a. Basic Model

This model will involve building a Digital Hub from scratch will all the necessary facilities, Electrical, mechanical, and structured cable and Air conditioning installations, Furniture supply and all labor costs, equipping of the labs with internet and devices.

The basic model will have the following attributes.

- Learning center = 30pax
- Kazi/Creative = 100pax
- Admin office = 2pax
- Store
- ICT room
- Washrooms
- Public Wi-Fi zone
- Area 175 SQM





#### b. Standard Model

This model will involve building a Digital Hub from scratch will all the necessary facilities, Electrical, mechanical, and structured cable and Air conditioning installations, Furniture supply and all labor costs, equipping of the labs with internet and devices.

The standard model will have the following attributes.

- Learning center = 30pax
- Kazi = 100pax
- Creative/Tech hub = 30 pax
- Admin office = 3pax
- Store
- ICT room
- Washrooms
- Public Wi-Fi zone
- Area 237 SQM





# c. Center of Excellence (CoE)

This model will involve building a Digital Hub from scratch will all the necessary facilities, Electrical, mechanical, and structured cable and Air conditioning installations, Furniture supply and all labor costs, equipping of the labs with internet and devices.

The CoE model will have the following attributes.

- Learning center = 50pax
- Kazi/BPO = 100pax
- Creative hub = 50 pax
- Shared working space = 5pax
- Admin office = 4pax
- Boardroom = 30pax
- Kitchenet = 2NOs
- Baraza = 50pax
- Huduma center
- Store
- Washrooms
- e-commerce fulfilment
- ICT room
- Public Wi-Fi zone
- Area 750SQM



# d. Renovation of existing facilities

Renovating existing building to install the digital hub through leasing or use of existing facilities such as youth innovation centers or constituency innovation hubs. For this model, KoTDA shall develop guidelines/standards (Renovation guide) that will help contractors renovate existing facilities to ensure the final structure is able to support the optimal functioning of Village Digital Hubs.

Some of the centers that will be considered for this model include.

- Leased building
- Constituency innovation Hubs (CIH)
- Youth empowerment centers
- County/Sub County HQs
- Chiefs Camps etc
- KMTC
- TTI
- Fulfilment centers

Specific Scope of the Consultant

The successful consultant's scope of the assignment will be as follows:

- 1. Conceptual planning, justification of key parameters, and detailed design layout of the building complex involving architectural and building services, structural and civil engineering, quantity surveying, mechanical and electrical engineering information aligned to the budget, environmental and social impact assessment (ESIA) and management plan, land surveying and landscaping; and
- 2. Full-time supervision of the construction of the building after successful completion of the design phase. Each task will constitute a separate contract. The design phase will be under a lump sum contract while the supervision phase will be under a time-based. The supervision contract will be signed subject to the satisfactory completion of the design and procurement phase.
- 3. The entire assignment will comprise, but not be limited to the following key stages:
  - a) ESIA, mitigation measures and management plan as per Government of Kenya policies and regulations.
  - b) Provide technical support and supervision during the construction stage.
  - c) Pilot testing and project closure.
  - d) Defects Liability Period.

# Stage 1: Construction Stage

This stage involves the handing over/possession of the site to the Contractor, administration of the contract and supervision of the works to ensure quality control as well as cost control. The Consultant will undertake several responsibilities and not limited to those listed hereunder:

i. To ensure that works on the building complex are completed within the contract period;

- ii. The quality and quantity assurance shall be the sole responsibility of the consultants being the ones in charge of the Project;
- Full-time detailed supervision of the scheme from the start of the work till final satisfactory completion of all components including commissioning of equipment as mentioned in the scope of the work;
- iv. Review and respond to request for information from Client to the contractor in a timely manner and
- v. as required;
- vi. Review and address variations within the works and assist Client in cost evaluations;
- vii. Develop and ensure Quality Assurance mechanisms are in place as per Engineering/Architectural standards;
- viii. Ensure specialized Engineering disciplines are onsite for inspection and quality assurance of all Elements of works;
- ix. Expediting progress at the site as per work plan for timely completion of the project.
- x. Preparation and presentation of monthly progress reports or any review/report to the Client;
- xi. Take measurements of work carried out by the contractor, preparation, submission and verification of interim payment certificates (IPC) including final bill, security and any other advances extended to the contractors / sub-contractor (if any) as per contract terms and provisions;
- xii. Submit reviewed quality control test reports to Client by the contractor;
- xiii. Perform a test of specialized areas of work including detailed engineering supervision i.e., planning, guidance, programming, inspection, monitoring of construction activities, contractor's performance, quality /quantity control, implementation of work plans, drawings, design and specifications, preparation and verification of variation orders including drawings/sketches, correspondence with the contractor in the capacity of the engineer in charge, and to maintain a good liaison with CGK Public Works Department including all other duties pertinent to the construction phase of the project with the prime objective to complete the work following the contract terms and scope of works;
- xiv. Perform a test of specialized areas of work;
- xv. On completion, submission of As-built drawings/inventories / Project Completion report; and
- xvi. The Consultant will be accountable for any defects or losses or damages arising from professional negligence, proven faults, errors or omissions on the part of the Consultant during or after the completion of the work being the Engineer In-charge;
- xvii. With support of environmental and social specialists, the consultant specific technical team shall before any works begin induct the contractor on environmental and social safeguards, ensure the contractor complies to the environment, social, health and safety requirements and guide the contractor to prepare the contractor ESMP (C-ESMP) in line with the project ESIA; The consultant should be available at 2 days' notice from CGK Project Management Team (PMT) to attend the works at the site, with the expected frequency of technical support, which will be on need-basis for onsite inspection during the works implementation stage, and material or equipment review.

# Stage 2: Pilot Testing and Project Closure Stage

# a. Managing project closure activities generally

The Consultant shall manage the project closing activities by carrying out the following:

- Establish and agree with the Client and document the criteria to be used for confirming completion of the contract (tasks finished, deliverables finished, testing completed, training requirements finished, equipment installed, tested and operating, document manuals submitted, etc.);
- ii. Document and agree with the Client on the acceptance process and procedures, the checklist of activities that must be completed before acceptance is confirmed;
- Convene and hold a project close-out meeting attended by the Client, stakeholders, end-users and Contractors at which the completion report is among other items approved and signed off; and
- iv. Carry out a post-project evaluation of the technical work, ESMP implementation, achievements, the project processes, and the management of the project and prepare and submit a final report.

#### b. Rectification of Defects

The Consultant will carry out a detailed inspection of possible defects during and at the end of a six-month defects liability period and arrange follow-up meetings to confirm that remedial work has been fully completed. Interim visits and inspections or testing during the defect's liability period will be required where remedial measures are necessary to ensure the safety or continued normal use of the buildings

#### c. Completion Certificates

The completion certificates, defects correction certificate and final payment certificate will be prepared and issued following the works contract and to signify full completion of the works.

#### d. Final Accounts

The Consultant shall prepare two separate final accounts for the client's approval as follows:

- The final account for the construction contract will be prepared soon after the issue of the defects
- ii. Correction certificate and issued to all parties for agreement; and
- iii. The project final report, acceptance whereof will signify the end of the Consultant's assignment on the consultancy contract.

# Stage 3: Implementation Timeframe

The consultant will be expected to produce a full detailed programme based on the implementation Timeframe indicated in Table 1. The Consultant is required to submit with the proposal in a Gantt chart Format or as otherwise specified implementation plan with applicable lead timelines, precursor events and Dependencies. The Gantt chart/implementation plan will then be revised for approval after on-boarding. The Consultant's proposal for the assignment should therefore be based on this period of engagement.

# Table 1: Summary of implementation timeframe

No.	Phase	Activity	Time for Completion
2	Construction contract	Construction works	24 weeks (6 Months)
3	,	Contractor fixing (at their cost) any defects arising in the infrastructure	52 weeks (12 Months)

# Stage 4: Reporting Requirements and Schedule

Reporting during the various phases of the project shall be regular and different from the project output as described in the scope of services. Monthly progress reports and the final narrative report should be submitted to client as per the details indicated in Table 2.

**Table 2: Type of Reports** 

Туре	Content	
Construction supervision	a. Summary	
monthly progress report	b. Project progress	
	i. Targets verse milestones achieved	
	ii. Challenges, risks and mitigation measures	
	iii. Lessons learned and recommendations	
	. Environmental and social monitoring reports including accidents, incidents and grievance logs.	
	. Detailed implementation plan (DIP) for next 1 month —	
	Gantt Chart	
	. Annexes (pictorial evidence, test results, subcontracts etc.)	
Final narrative report	a. Introduction	
	b. A detailed narrative of activities implemented in a sequence format including achievements. Tasks under the scope of services shall be listed and mapped	
	c. Lessons learned and recommendations	
	d. Annexes	
	The final narrative report shall be submitted 30days upon completion of contract deliverables	

# Stage 5: Key project personnel evaluation criteria

Under the assignment, the consultant will mobilize the following key experts as presented in Table 3.

However, the consultant may propose any other staff consider as a beneficiary to carrying out the assignment.

The key experts for this assignment will need to have a proven adequate academic and professional background as follows:

Table 3.

No	Staff and role Description	Minimum
		Qualification and professional experience
K1	Architect	Degree in Architecture from a recognized institution  Registered with the relevant professional body
		(BORAQS)
		Minimum 10 years' experience in site work, site measurements, architectural design and drawing, site work
		supervision
К2	Structural Engineer	Degree in Structural Engineering from a recognized institution, registered with the relevant professional
	Shall be responsible to deliver required structural designs services	body (EBK)
	Supervise structural works during the construction phase	Min 10 years' experience in the design of civil
		engineering works of similar size and nature
	Inspection and testing of materials and equipment for the project	Professional knowledge of Building
	equipment for the project	code/ Eurocodes; Experience in site inspection, testing and Commissioning
К3	Quantity Surveyor	Degree in Quantity Surveying / Building Economics from a recognized institution
	Responsible for estimating and cost planning activities of the project Assist in the	Registered with the relevant professional body
	procurement process; prequalification,	(BORAQS)
	enquiry, Bills of Quantities, analysis, selection, and	Minimum 10 years' experience in projects of similar size and nature
	contract preparation	Experience in using estimating
		software's

 $\ensuremath{\,\text{N/B}}$  Payments will be done based on deployment.

# 2. TASK 3-OPERATIONS AND MAINTENANCE STAGE TEAM (ON DEMAND SERVICES-RECRUITMENT IS ON NEED BASIS)

Konza Technopolis is in the final stages of implementation of the major Phase 1 horizontal infrastructure project, where the testing and commissioning are ongoing with the project due for takeover from the Contractor in the current financial year. This is in line with the Authority's strategic initiative to design, construct and operationalise streetscape and subsurface utilities under the Konza Technopolis Development

Authority Strategic Plan 2021 - 2025. The infrastructure facilities and utilities due for taking over include Water treatment plant, Wastewater reclamation facility, Streetscape & subsurface utilities, Parks and Urban Spaces, Distribution Substation #2 and Electrical systems, Public Buildings. Once taken over, the Authority will oversee operations and maintenance of these infrastructure facilities and utilities.

The proposed key personnel include;

Manpower Description	Number
Senior Facility Manager	1
Commercial Manager	1
Operation Manager	1
HSSE Manager	1
Hard Services Team Leader	1
Soft Services Team Leader	1
Senior Water & Sanitation Engineer	1
Senior Roads Engineer	1
Senior Electrical Engineer	1
Senior ICT Engineer	1
Senior Mechanical Engineer	1
Senior Civil Engineer	1
Senior Landscape Engineer	1
Senior Security Engineer	1
Senior Sustainability Engineer	1
CAFM Manger	1
CAFM/ Help Desk Operators	1
FM Supervisor Electrical	1
FM Supervisor Mechanical	1
FM Supervisor Civil	1
FM Supervisor Landscape	1
Security Officer	1
HSE Officer	1
Admin Assistant	1
Document Controller	1
Senior FM Inspectors	2
FM Inspectors	4

# **Senior Facility Manager**

The Senior Facility Manager (FM) shall be a Civil Engineer with a bachelor's degree in civil engineering from a recognized Kenyan University or equivalent. MBA is a requirement, and other project management certification, or facility management certification is a plus. He/she shall be registered as a professional engineer and hold a current practicing license from Engineers Board of Kenya or equivalent. The FM shall have at least 15 years post registration experience in facilities management of large projects. He/she shall be fully conversant with computer system mainly CAFM and management software.

#### **Commercial Manager**

The Commercial Manager (CM) shall hold a bachelor's degree in finance/accounting from a recognized Kenyan University or equivalent. The CM shall have at least 15 years post registration experience in

commercial management of large projects.

#### **Operations Manager**

The Operations Manager (OM) shall hold a bachelor's degree in engineering from a recognized Kenyan University or equivalent. He/she shall be registered as a professional engineer and hold a current practicing license from Engineers Board of Kenya or equivalent. MBA is a requirement, and other project management certification, or facility management certification is a plus. The OM shall have at least 15 years post registration experience in facilities management of large projects. He/she shall be fully conversant with computer system mainly CAFM and management software.

# **HSSE Manager**

The HSSE Manager shall hold a bachelor's degree in environmental management/ health and safety from a Kenyan University or equivalent. He/she shall be registered as safety practitioner from an internationally recognized safety institute. The HSSE Manager shall have at least 10 years' experience in the field of Health, safety and environment of large projects.

#### Hard Services Team Leader

The Hard Services Team Leader shall hold a bachelor's degree of engineering in Mechanical, Civil, Electrical or ELV discipline from a Kenyan University or equivalent. He/she shall be registered as a professional engineer and hold a current practicing license from Engineers Board of Kenya or equivalent. The Hard Services Team Leader shall have at least 8 years' experience in Hard Services of large projects especially infrastructure projects with emphasis on facilities management experience.

#### **Soft Services Team Leader**

The Soft Services Team Leader shall hold a bachelor's degree of engineering from a Kenyan University or equivalent. He/she shall be registered as a professional engineer and hold a current practicing license from Engineers Board of Kenya or equivalent. The Soft Services Team Leader shall have at least 8 years' experience in Soft Services of large projects especially infrastructure projects with emphasis on facilities management experience.

# **Senior Water and Sanitation Engineer**

The Senior Water and Sanitation Engineer shall hold a bachelor's degree in water engineering from a recognized university in Kenya or equivalent. He/she shall be registered with the Engineers Board of Kenya or equivalent and have 10 years post registration experience in a large project with emphasis on site supervision experience of Water treatment plants, Water supply, Wastewater treatment Plants and Sewerage systems. He/she shall be able to coordinate and monitor all disciplines of water and sanitation works.

#### **Senior Roads Engineer**

The Senior Roads Engineer shall hold a bachelor's degree in civil engineering from a recognized university in Kenya or equivalent. He/she shall be registered with the Engineers Board of Kenya or equivalent and have 10 years post registration experience in a large project with emphasis on facility management experience of infrastructure and roads projects. He/she shall be able to coordinate and monitor all disciplines of roads works.

#### **Senior Electrical Engineer**

The Senior Electrical Engineer shall hold a bachelor's degree in electrical engineering from a recognized university in Kenya or equivalent. He/she shall be registered with the Engineers Board of Kenya or equivalent and have 10 years post registration experience in a large project with emphasis on facility management experience of infrastructure and electrical projects. He/she shall be able to coordinate and monitor all disciplines of all electrical works.

#### **Senior ICT Engineer**

The Senior ICT Engineer shall hold a bachelor's degree in Electronics, ICT, or Telecom engineering from a recognized university in Kenya or equivalent. He/she shall be registered with the Engineers Board of

Kenya or equivalent and have 10 years post registration experience in a large project with emphasis on facility management experience of infrastructure and electrical projects. He/she shall be able to coordinate and monitor all disciplines of all electronic/instrumentation works.

#### **Senior Mechanical Engineer**

The Senior Mechanical Engineer shall hold a bachelor's degree in mechanical engineering from a recognized university in Kenya or equivalent. He/she shall be registered with the Engineers Board of Kenya or equivalent and have 10 years post registration experience in a large project with emphasis on facility management experience of infrastructure and mechanical projects. He/she shall be able to coordinate and monitor all disciplines of mechanical works.

# **Senior Civil Engineer**

The Senior Civil Engineer shall hold a bachelor's degree in civil engineering from a recognized university in Kenya or equivalent. He/she shall be registered with the Engineers Board of Kenya or equivalent and have 10 years post registration experience in a large project with emphasis on facility management experience of infrastructure projects. He/she shall be able to coordinate and monitor all disciplines of civil works.

#### Senior Landscape Engineer

The Senior Landscape Engineer shall hold a bachelor's degree in civil/landscape engineering from a recognized university in Kenya or equivalent. He/she shall be registered with the Engineers Board of Kenya or equivalent and have 10 years post registration experience in a large project with emphasis on facility management experience of infrastructure projects. He/she shall be able to coordinate and monitor all disciplines of landscape works.

# **Senior Security Engineer**

The Senior Security Engineer shall hold a relevant degree holder from a recognized Kenyan University or equivalent. They shall have experience in security design and supervision of large projects with key emphasis on cities and or security headquarters. The candidate should demonstrate projects they have been involved in in the past Ten (10) years indicating the role they played in either design, review or supervision of security apparatus of a sensitive nature (Airports, Government security projects, private mega projects of a sensitive nature).

#### **Senior Sustainability Engineer**

The Senior Sustainability Engineer shall hold a bachelor's degree in civil/sustainability engineering from a recognized university in Kenya or equivalent. He/she shall be registered with the Engineers Board of Kenya or equivalent and have 10 years post registration experience in a large project with emphasis on facility management experience of infrastructure projects. He/she shall be able to coordinate and monitor all disciplines of sustainability works.

#### **CAFM Manager**

The CAFM Manager shall hold a degree in IT/ Electronics or Telecommunications/ Computer science engineering from a recognized Kenyan University or equivalent. They shall have experience in IT field on large projects with emphasis on facility management projects. The candidate should demonstrate projects they have been involved in in the past Ten (10) years indicating previous experience in CAFM system implementation and configuration.

#### **CAFM/ Help Desk Operators**

The CAFM/ Help desk operators shall hold a diploma in a relevant field from a recognized Kenyan University or equivalent. They shall have at least 3 years of experience in a related field on large projects with emphasis on facility management.

#### **FM Supervisor Electrical**

The FM Supervisor Electrical shall hold a diploma in a relevant field from a recognized Kenyan University or equivalent. They shall have at least 3 years of experience in a related field on large projects with

emphasis on facility management.

#### **FM Supervisor Mechanical**

The FM Supervisor Mechanical shall hold a diploma in a relevant field from a recognized Kenyan University or equivalent. They shall have at least 3 years of experience in a related field on large projects with emphasis on facility management.

# **FM Supervisor Civil**

The FM Supervisor Civil shall hold a diploma in a relevant field from a recognized Kenyan University or equivalent. They shall have at least 3 years of experience in a related field on large projects with emphasis on facility management.

# FM Supervisor Landscape

The FM Supervisor Landscape shall hold a diploma in a relevant field from a recognized Kenyan University or equivalent. They shall have at least 3 years of experience in a related field on large projects with emphasis on facility management.

# **Security Officer**

The Security Officer shall hold an engineering diploma from a recognized Kenyan University or equivalent. They shall have experience in security supervision of large projects with key emphasis on cities and or security headquarters. The candidate should demonstrate projects they have been involved in in the past five (5) years indicating the role they played in supervision of security apparatus of a sensitive nature (Airports, Government security projects, private mega projects of a sensitive nature).

#### **HSE Officer**

The Health, Safety and Environmental Officer shall have at least a bachelor's degree in environmental sciences or equivalent. He/she shall have a minimum of five (5) years professional experience in facility management and infrastructure projects.

#### **Admin Assistant**

The Administrative Assistant shall hold a diploma in a relevant field from a recognized Kenyan University or equivalent. They shall have at least 3 years of experience in a related field on large projects.

# **Document Controller**

The Administrative Assistant shall hold a diploma in a relevant field from a recognized Kenyan University or equivalent. They shall have at least 3 years of experience in a related field on large projects.

#### **Senior Shift Inspectors**

The Senior Shift Inspectors shall hold a bachelor's in engineering field or equivalent as required. He/she shall be multi-skilled in either electrical, mechanical, ELV or civil disciplines with at least 5 years of experience in facility management projects. He/she shall have a proven experience using CAFM system to plan proactive maintenance and record reactive tasks.

#### **Shift Inspectors**

The Shift Inspectors shall hold a diploma is a relevant field as required. He/she shall be multi-skilled in either electrical, mechanical, ELV or civil disciplines with at least 3 years of experience in facility management projects.

#### REPORTING REQUIREMENTS AND TIME SCHEDULES FOR DELIVERABLES

# **Construction Stage Reports and Deliverables**

As a part of the Consulting Services, the Consultant must submit multiple copies of various periodic and onetime reports and documents.

- Inception Report at the start of the consultancy
- > Daily, weekly, and monthly progress reports
- Compliance reports
- > Confidential Engineers Estimates.
- Quality Control/ Quality Assurance Plan
- Technical compliance reports
- Health Safety and Environment Report
- Financial Appraisal Report
- Technical Reports
- Final Completion Report

# Daily, Weekly and Monthly Progress Reports

The Progress Report couples progress, performance, quality of work, planned schedule compared to forecast schedule with problems and proposed solutions in the context of overall completion of the work. Each progress report will contain an executive summary, project plans in sketch form showing the progress of the work, time and cost control, quality control, a detailed discussion of works progress, site records annexed and progress photographs.

Site Records shall contain daily advancement, machinery, materials, and personnel assigned to various activities maintained in a daily format.

#### **Final Completion Report**

Following final inspections, the Consultant's team will prepare comprehensive final completion reports which shall summarize the method of construction (method statement), the construction supervision performed, and recommendations for future projects of similar nature to be undertaken by the Client.

# **Technical Reports**

The Consultant's team will prepare all needed technical reports regarding technical matters for that may arise during the implementation of the project.

# Format and Reports Schedule

The expected reports and/or documents from the Consultant are tabulated below. The format of the report shall be agreed on with the Client.

SI. No.	Report	Deadline
1	Quality Control/ Quality Assurance Plan	Four weeks after the commencement of the O&M Stage
2	Weekly Reports	By Monday of the following week

3	Monthly Reports	Within the first 14 <sup>th</sup> (calendar) days of each month
4	Technical Compliance Report	Within the first 14 <sup>th</sup> (calendar) days of each month
5	Health, Safety and Environment Report	Weekly, by Monday of the following week
6	Financial Appraisal Report	Within the first 14 <sup>th</sup> (calendar) days of each month
7	Technical Reports	As needed, during the implementation of the works
8	Final Completion Report	After Preliminary acceptance/ substantial completion of each of the project contracts
9	Consolidated Final Project Report	After Preliminary acceptance/ substantial completion of each of the project contracts

All reports shall be submitted in English. All documents will be submitted in both editable electronic form in CD or DVD or flash drive and hard copies. The electronic copies of all working files shall be submitted by the Consultant in WINDOWS based MS-Office 2010 products including WORD for text, data tables in EXCEL, and appropriate MS-Office 2010 programs for exhibits. The Consultant shall also submit the reports in PDF printable version. Original drawing files should be submitted in AUTOCAD 2012 (or later version), ArchiCAD 19 (or later version) and also in PDF printable version. Digital photos files should be submitted in JPG format with titles. Presentations should be submitted in MS- PowerPoint. Colored pages should be printed in colors. Three (3) hard copies are required for each deliverable. The hard copies will be 2 copies on A4 paper size except the drawings which will be A3 or A1 paper size. However, the Client may reduce or increase the number of hard copies by advance notice to the Consultant on a case-by-case basis. All colored pages should be printed in color.

The Consultant shall establish and maintain a comprehensive inventory, both hard copy and electronic, of all relevant documents made available and collected. All such documents, which are considered confidential, will be compiled in usable form, and delivered in an organized fashion to the Client at the end of the contract.

#### SECTION 6. CONDITIONS OF CONTRACT AND CONTRACT FORMS

#### **Preface**

- This Section includes two types of standard contract forms for: A Lump-Sum Contract and a Time-Based Contract. Each type includes General Conditions of Contract ("GCC") that shall not be modified, and Special Conditions of Contract ("SCC"). The SCC includes clauses specific to each contract to supplement, but not overwrite or otherwise contradict, the General Conditions of Contract.
- 2. Lump-Sum Contract. This type of contract is used mainly for assignments in which the scope and the duration of the Services and the required output of the Consultant are clearly defined. Payments are linked to outputs (deliverables) such as draft or final reports, drawings, bill of quantities, bidding documents, or software programs. Lump-sum contracts are easier to administer because they operate on the principle of a fixed pricefor a fixed scope, and payments are due on clearly specified out puts and milestones. Never the less, quality control of the Consultant's outputs by KoTDA s paramount.
- 3. Time-Based Contract. This type of contract is appropriate when it is difficult to define or fix the scope and the duration of the services, either because they are related to activities carried out by others for which the completion period may vary, or because the input of the consultants required for attaining the objectives of the assignment is difficult to assess. In time-based contracts the Consultant provides services on a timed basis according to quality specifications, and Consultant's remuneration is determined on the basis of the time actually spent by the Consultant in carrying out the Services and is based on (i) agreed upon unit rates for the Consultant's experts multiplied by the actual time spent by the experts in executing the assignment, and

  (ii) reimbursable expenses using actual expenses and/or agreed unit prices. This type of contract requires KoTDA to
  - closely supervise the Consultant and to be involved in the daily execution of the assignment.
- 4. The templates are designed for use in assignments with consulting firms and shall not be used for contracting of individual experts. In some consultancy assignments such as design and construction supervision, both Lump-Sum and Time-Based Contracts are used and signed with the Consultant. In that case, the Lump-Sum Contract would apply for the design part of the Services while the construction supervision part would be based on a Time-Based Contract. In such event, both types of contracts shall be signed at the same time.

# **CONTRACT FOR CONSULTANT'S SERVICES**

Lump-Sum Contract	
Consulting Services for:	
Contract No.:	
Contract Description:	
Between	
	[Name of KoTDA]
And	
	[Name of the Consultant
Date:	

#### **FORM OF CONTRACT - LUMP-SUM**

(Text in brackets [ ] is optional; all notes should be deleted in the final text)

This CONTRACT (herein after called the "Contract") is made the [number] day of the month of [month]. [year]. between, on the one hand, [name of Procuring Entity] (herein after called the "Procuring Entity") and, on the otherhand, [name of Consultant] (herein after called the "Consultant").

[If the Consultant consists of more than one entity, the above should be partially amended to read as follows: "... (hereinafter called the "Procuring Entity") and, on the other hand, a Joint Venture (name of the JV) consisting of the following entities, each member of which will be jointly and severally liable to KoTDA for all the Consultant's obligations under this Contract. namely, [name of member] and [name of member] (herein after called the "Consultant").]

#### **WHEREAS**

- KoTDA has requested the Consultant to provide certain consulting services as defined in this Contract (herein after called the "Services");
- the Consultant, having represented to KoTDA that it has the required professional skills, expertise and technical b) resources, has agreed to provide the Services on the terms and conditions set forthin this Contract;
- KoTDA has set aside a budget and funds toward the cost of the Services and intends to apply aportion of c) these funds towards payments under this Contract; NOW THEREFORE the parties hereto hereby agree as follows:
- 1. The following documents attached here to shall be deemed to form an integral part of this Contract:
  - The General Conditions of Contract;
  - The Special Conditions of Contract: b)
  - Appendices: c)
    - Appendix A: Terms of Reference
    - Appendix B: Key Experts
    - Appendix C: Breakdown of Contract Price
    - Appendix D: Form of Advance Payments Guarantee

In the event of any inconsistency between the documents, the following order of precedence shall prevail: The Special Conditions of Contract; the General Conditions of Contract; Appendix A; Appendix B; Appendix C; Appendix D. Any reference to this Contract shall include, where the context permits, a reference to its Appendices.

- 2. The mutual rights and obligations of KoTDA and the Consultant shall be asset for thin the Contract, in particular:
  - The Consultant shall carryout the Services in accordance with the provisions of the Contract; and
  - KoTDA shall make payments to the Consultant in accordance with the provisions of the Contract.

IN WITNESS WHERE OF, the Parties here to have caused this Contract to be signed in their respective names as of the day and year first above written.

For and on behalf of......[Name of Procuring Entity] [Authorized

Representative of KoTDA—name, title and signature]

Representative of the Consultant—name and signature]

[Note: For a joint venture, either all members shall sign or only the lead member, in which case the power of attorney to sign on behalf of all members shall be attached.]

[Name of the lead member]

[Authorized Representative on behalf of a Joint Venture] [Add signature blocks for each member if all are signing]

#### **SECTION 7: GENERAL CONDITIONS OF CONTRACT**

#### A. General Provisions

#### 1. Definitions

- a) Unless the context otherwise requires, the following terms whenever used in this Contract have the following meanings:
- b) "Applicable Law" means the laws and any other instruments having he force of law in Kenya.
- "Consultant" means a legally established professional consulting firm or entity selected by KoTDA to provide the Services under the signed Contract.
- d) "Contract" means the legally binding written agreement signed between KoTDA and the Consultant and which includes all the attached documents listed in its paragraph1 of the Form of Contract (the General Conditions (GCC), the Special Conditions (SCC), and the Appendices).
- e) "Procuring Entity" means KoTDA that signs the Contract for the Services with the selectedConsultant.
- f) "Day" means a working day unless indicated otherwise.
- g) "Effective Date" means the date on which this Contract comes into force and effect pursuant to ClauseGCC 11.
- h) "Experts" means, collectively, Key Experts, Non-Key Experts, or any other personnel of the Consultant, Subconsultant or JV member(s) assigned by the Consultant to perform the Services or any part thereofunder the Contract.
- i) "Foreign Currency" means any currency other than the currency of Kenya.
- j) "GCC" mean these General Conditions of Contract.
- k) "Government" means the government of Kenya.
- "Joint Venture (JV)" means an association with or without a legal personality distinct from that of its members, of more than one entity where one member has the authority to conduct all businesses for and on behalf of any and all the members of the JV, and where the members of the JV are jointly and severally liable to KoTDA for the performance of the Contract.
- m) "Key Expert(s)" means an individual professional whose skills, qualifications, knowledge and experience are critical to the performance of the Services under the Contract and whose Curricula Vitae (CV) was taken into account in the technical evaluation of the Consultant's proposal.
- n) "Local Currency" means the Kenya Shillings, the currency of Kenya.
- o) "Non-Key Expert(s)" means an individual professional provided by the Consultant or its Sub-consultant to perform the Services or any part here of under the Contract.
- p) "Party" means KoTDA or the Consultant, as the case may be, and "Parties" means both ofthem.
- q) "SCC" means the Special Conditions of Contract by which the GCC may be amended or supplemented but not over-written.
- r) "Services" means the work to be performed by the Consultant pursuant to this Contract, as described in Appendix A hereto.
- s) "Sub-consultants" means an entity to whom/which the Consultant subcontracts any part of the Services while remaining solely liable for the execution of the Contract.

(t) "Third Party "means any person or entity other than the Government, KoTDA, theConsultant or a Sub-consultant.

# 2. Relationship between the Parties

2.1 Nothing contained herein shall be construed as establishing a relationship of master and servant or of principal and agent as between KoTDA and the Consultant. The Consultant, subject to this Contract, hascomplete charge of the Experts and Sub-consultants, if any, performing the Services and shall be fully responsible for the Services performed by them or on their behalf hereunder.

# 3. Law Governing Contract

3.1 This Contract, its meaning and interpretation, and the relation between the Parties shall be governed by the Laws of Kenya.

# 4. Language

4.1 This Contract has been executed in the English language, which shall be the binding and controlling language for all matters relating to the meaning or interpretation of this Contract.

# 5. Headings

5.1 The headings shall not limit, alter or affect the meaning of this Contract.

# 6. Communications

- 6.1 Any communication required or permitted to be given or made pursuant to this Contract shall be in writing in the English Language. Any such notice, request or consent shall be deemed to have been given or made when delivered in person to an authorized representative of the Party to whom the communication is addressed, or when sent to such Party at the address specified in the SCC.
- 6.2 A Party may change its address for notice here under by giving the other Party any communication of such change to the address specified in the SCC.

# 7 Location

7.1 The Services shall be performed at such locations as are specified in Appendix A hereto and, where the location of a particular task is not so specified, at such locations, whether in Kenya or elsewhere, as KoTDA may approve.

# 8 Authority of Member in Charge

8.1 In case the Consultant is a Joint Venture, the members hereby authorize them ember specified in the SCC toact on their behalf in exercising all the Consultant's rights and obligations towards KoTDA underthis Contract, including without limitation the receiving of instructions and payments from KoTDA.

# 9 Authorized Representatives

9.1 Any action required or permitted to be taken, and any document required or permitted to be executed under this Contract by KoTDA or the Consultant may be taken or executed by the officials specified in the SCC.

# 10 Corrupt and Fraudulent Practices

- 10.1 The government requires compliance with its policy regarding corrupt and fraudulent/prohibited practices asset forth in its laws and policies.
- 10.2 Commissions and Fees-KoTDA requires the Consultant to disclose any commissions, gratuities or fees that may have been paid or are to be paid to a gents or any other party with respect to the selection process or execution of the Contract. The information disclosed must include at least the name and address of the agent or other party, the amount and currency, and the purpose of the commission, gratuity or fee. Failure to disclose such commissions, gratuities or fees may result in termination of the Contract by KoTDA and/or sanctions by the PPRA.

## B. Commencement, Completion, Modification and Termination of Contract

#### 11 Effectiveness of Contract

11.1 This Contract shall come into force and effect on the date (the "Effective Date") of KoTDA's notice to the Consultant instructing the Consultant to begin carrying out the Services. This notice shall confirm that the effectiveness conditions, if any, listed in the SCC have been met.

## 12 Termination of Contract for Failure to Become Effective

12.1 If this Contract has not become effective within such time period after the date of Contract signature as specified in the SCC, either Party may, by not less than twenty-two (22) days written notice to the other Party, declare this Contract to be null and void, and in the event of such a declaration by either Party, neither Party shall have any claim against the other Party with respect there to.

#### 13 Commencement of Services

13.1 The Consultant shall confirm availability of Key Experts and begins carrying out the Services not later than the number of days after the Effective Date specified in the SCC.

## 14 Expiration of Contract

14.1 Unless terminated earlier pursuant to Clause GCC19 hereof, this Contract shall expire at the end of suchtime period after the Effective Date as specified in the SCC.

## 15 Entire Agreement

15.1 This Contract contains all covenants, stipulations and provisions agreed by the Parties. No agent or representative of either Party has authority to make, and the Parties shall not be bound by or be liable for, any statement, representation, promise or agreement not set forth herein.

## 16 Modifications or Variations

16.1 Any modification or variation of the terms and conditions of this Contract, including any modification or variation of the scope of the Services, may only be made by written agreement between the Parties. However, each Party shall give due consideration to any proposals for modification or variation made by the other Party.

## 17 Force Majeure

- a. Definition
- 17.1 For the purposes of this Contract," Force Majeure" means event which is beyond the reasonable control of a Party, is not foreseeable, is unavoidable, and makes a Party's performance of its obligations hereunder impossible or so impractical as reasonably to be considered impossible under the circumstances, and subject to those requirements, includes, but is not limited to, war, riots, civil disorder, earthquake, fire, explosion, storm, flood or other adverse weather conditions, strikes, lockouts or other industrial action confiscation or any other action by Government agencies.
- 17.2 Force Majeure shall not include (i) any event which his caused by the negligence or intentional action of a Party or such Party's Experts, Sub-consultants or agents or employees, nor (ii) any event which a diligentParty could reasonably have been expected to both take into account at the time of the conclusion of this Contract and avoid or over come in the carrying out of its obligations here under.
- 17.3 Force Majeure shall not include insufficiency of funds or failure to make any payment required here under.
- b. No Breach of Contract
- 17.4 The failure of a Party to fulfill any of its obligations here under shall not be considered to be a breach of, or default under, this Contract in so far as such inability arises from an event of Force Majeure, provided that the Party affected by such an event has taken all reasonable precautions, due care and reasonable alternative measures, all with the objective of carrying out the terms and conditions of this Contract.

#### c. Measures to be taken

- 17.5 A party affected by an event of Force Majeure shall continue to perform its obligations under the Contract as far as is reasonably practical and shall take all reasonable measures to minimize the consequences of any event of Force Majeure.
- 17.6 A Party affected by an event of Force Majeure shall notify the other Party of such event as soon as possible, and in any case not later than fourteen (14) calendar days following the occurrence of such event, providing evidence of the nature and cause of such event, and shall similarly give written notice of the restoration of normal conditions as soon as possible.
  - a) Any period within which a Party shall, pursuant to this Contract, complete any action or task, shall be extended for a period equal to the time during which such Party was unable to perform such action as a result of Force Majeure.
  - b) During the period of their inability to perform the Services as a result of an event of Force Majeure, the Consultant, upon instructions by KoTDA, shall either:
  - c) demobilize, in which case the Consultant shall be reimbursed for additional costs they reasonably and necessarily incurred, and, if required by KoTDA, in reactivating the Services; or
  - d) Continue with the Services to the extent reasonably possible, in which case the Consultant shall continue to be paid under the terms of this Contract and be reimbursed or additional costs reasonably and necessarily incurred.
- 17.7 In the case of disagreement between the Parties as to the existence or extent of Force Majeure, the mattershall be settled according to Clauses GCC 44 & 45.

## 18 Suspension

18.1 KoTDA may, by written notice of suspension to the Consultant, suspend all payments to the Consultant here under if the Consultant fails to perform any of its obligations under this Contract, including the carrying out of the Services, provided that such notice of suspension (i)shall specify the nature of thefailure, and (ii) Shall request the Consultant to remedy such failure within a period not exceeding thirty (30)calendar days after receipt by the Consultant of such notice of suspension.

## 19 Termination

- 19.1 This Contract may be terminated by either Party as per provisions set up below: By KoTDA
- 19.1.1 KoTDA may terminate this Contract in case of the occurrence of any of the events specified in paragraphs (a) through (f) of this Clause. In such an occurrence, KoTDA shall give at least thirty
  - (30) calendar days' written notice of termination to the Consultant in case of the events referred to in(a)through(d); at least sixty (60) calendar days' written notice in case of the event referred to in (e); and atleast five (5) calendar days 'written notice in case of the event referred to in (f):
  - a If the Consultant fails to remedy a failure in the performance of its obligations here under, as specified in a notice of suspension pursuant to Clause GCC 18;
  - b If the Consultant becomes (or, if the Consultant consists of more than one entity, if any of its members becomes) insolvent or bankrupt or enter into any agreements with their creditors for relief of debt or take advantage of any law for the benefit of debtors or go in to liquidation or receivership whether compulsory or voluntary;
  - If the Consultant fails to comply with any final decision reached as a result of arbitration proceedings pursuant to Clause GCC 45.1;
  - d If, as the result of Force Majeure, the Consultant is unable to perform a material portion of the Services for a period of not less than sixty (60) calendar days;
  - e If KoTDA, in its sole discretion and for any reason whatsoever, decides to terminate this Contract;
  - f If the Consultant fails to confirm availability of Key Experts as required in Clause GCC13.

19.1.2 Furthermore, if KoTDA determines that the Consultant has engaged in corrupt, fraudulent, collusive, coercive [or obstructive] practices, in competing for or in executing the Contract, then KoTDA may, after giving fourteen (14) calendar days written notice to the Consultant, terminate the Consultant's employment under the Contract.

## a. By the Consultant

- a) The Consultant may terminate this Contract, by not less than thirty (30) calendar days' written notice to KoTDA, in case of the occurrence of any of the events specified in paragraphs(a)through(d)of this Clause.
- b) If KoTDA fails to pay any money due to the Consultant pursuant to this Contract and notsubject to dispute pursuant to Clause GCC45.1 within forty-five (45) calendar days after receiving written notice from the Consultant that such payment is overdue.
- c) If, as the result of Force Majeure, the Consultant is unable to perform a material portion of the Services for a period of not less than sixty (60) calendar days.
- d) If KoTDA fails to comply with any final decision reached as a result of arbitration pursuant to Clause GCC45.1.
- e) If KoTDA is in material breach of its obligations pursuant to this Contract and has not remedied the same within forty-five (45) days (or such longer period as the Consultant may have subsequently approved in writing) following the receipt by KoTDA of the Consultant's notice specifying such breach.

## b. Cessation of Rights and Obligations

19.1.4 Upon termination of this Contract pursuant to Clauses GCC 12 or GCC 19 hereof, or upon expiration of this Contract pursuant to Clause GCC14, all rights and obligations of the Parties here under shall cease, except (i) such rights and obligations as may have accrued on the date of termination or expiration, (ii) the obligation of confidentiality set forth in Clause GCC22, (iii) the Consultant's obligation to permit in section, copying and auditing of their accounts and records set forth in Clause GCC25, and (iv) any right which a Party may have under the Applicable Law.

## c. Cessation of Services

19.1.5. Upon termination of this Contract by notice of either Party to the other pursuant to Clauses GCC 19a or GCC 19b, the Consultant shall immediately upon dispatch or receipt of such notice, take all necessary steps to bring the Services to a close in a prompt and orderly manner and shall make every reasonable effort to keep expenditures for this purpose to a minimum. With respect to documents prepared by the Consultant and equipment and materials furnished by KoTDA, the Consultant shall proceed as provided, respectively, by Clauses GCC27or GCC28.

## d. Payment up on Termination

- 19.1.6 Up on termination of this Contract, KoTDA shall make the following payments to the Consultant:
  - a) Payment or Services satisfactorily performed prior to the effective date of termination; and
  - b) In the case of termination pursuant to paragraphs (d) and (e) of Clause GCC 19.1.1, reimbursement of any reasonable cost incidental to the prompt and orderly termination of this Contract, including the cost of the return travel of the Experts.

#### C. Obligation s of the Consultant

#### 16. General

#### a. Standard of Performance

20.1 The Consultant shall perform the Services and carry out the Services with all due diligence, efficiency and economy, in accordance with generally accepted professional standards and practices, and shall observe sound management practices, and employ appropriate technology and safe and effective equipment, machinery, materials and methods. The Consultant shall always act, in respect of any matter relating to this Contract or to the Services, as a faithful adviser to KoTDA, and shall at all times support and safeguard KoTDA's legitimate interests in any dealings with the third parties.

- 20.2 The Consultant shall employ and provide such qualified and experienced Experts and Sub-consultants as are required to carry out the Services.
- 20.3 The Consultant may subcontract part of the Services to an extent and with such Key Experts and Subconsultants as may be approved in advance by KoTDA. Notwithstanding such approval, the Consultant shall retain full responsibility for the Services.

## b. Law Applicable to Services

- 20.4. The Consultant shall perform the Services in accordance with the Contract and the Applicable Law and shall take all practicable steps to ensure that any of its Experts and Sub-consultants, comply with the Applicable Law.
- 20.5 Throughout the execution of the Contract, the Consultants shall comply with the import of goods and services prohibitions in Kenya when
  - a As a matter of law or official regulations, Kenya prohibits commercial relations with that country; or
  - b by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, Kenya prohibits any import of goods from that country or any payments to any country, person, or entity in that country.
- 20.6. KoTDA shall notify the Consultant in writing of relevant local customs, and the Consultant shall, after such notification, respect such customs.

#### 21 Conflict of Interests

21.1 The Consultant shall hold KoTDA's interest paramount, without any consideration for future work, and strictly avoid conflict with other assignments or their own corporate interests.

## a. Consultant Not to Benefit from Commissions, Discounts, etc.

- 21.1.1 The payment of the Consultant pursuant to GCC F (Clauses GCC 38 through 42) shall constitute the Consultant's only payment in connection with this Contract and, subject to Clause GCC21.1.3, the Consultant shall not accept for its own benefit any trade commission, discount or similar payment in connection with activities pursuant to this Contract or in the discharge of its obligations here under, and the Consultant shall use its best efforts to ensure that any Sub-consultants, as well as the Experts and agents of either of them, similarly shall not receive any such additional payment.
- 21.1.2 Furthermore, if the Consultant, as part of the Services, has the responsibility of advising KoTDA on the procurement of goods, works or services, the Consultant shall at all times exercise such responsibility in the best interest of KoTDA. Any discounts or commissions obtained by the Consultant in the exercise of such procurement responsibility shall be for the account of KoTDA.

## b. Consultant and Affiliates Not to Engage in Certain Activities

21.1.3 The Consultant agrees that, during the term of this Contract and after its termination, the Consultant and any entity affiliated with the Consultant, as well as any Sub-consultants and any entity affiliated with such Sub- consultants, shall be disqualified from providing goods, works or non-consulting services resulting from or directly related to the Consultant's Services for the preparation or implementation of the project.

## c. Prohibition of Conflicting Activities

21.1.4 The Consultant shall not engage and shall cause its Experts as well as its Sub-consultants not to engage, either directly or indirectly, in any business or professional activities that would conflict with the activities assigned to them under this Contract.

## d. Strict Duty to Disclose Conflicting Activities

21.1.5 The Consultant has an obligation and shall ensure that its Experts and Sub-consultants shall have an obligation to disclose any situation of actual or potential conflict that impacts their capacity to serve the best interest of their Procuring Entity, or that may reasonably be perceived as having this effect. Failure to disclose said situations

may lead to the disqualification of the Consultant or the termination of its Contract.

## 22 Confidentiality

22.1 Except with the prior written consent of KoTDA, the Consultant and the Experts shall not at anytime communicate to any person or entity any confidential information acquired in the course of the Services, nor shall the Consultant and the Experts make public the recommendations formulated in the course of, or because of, the Services.

## 23 Liability of the Consultant

23.1 Subject to additional provisions, if any, set for thin the SCC, the Consultant's liability under this Contract shallbe as determined under the Applicable Law.

## 24 Insurance to be taken out by the Consultant

24.1 The Consultant (i) shall take out and maintain and shall cause any Sub-consultants to take out and maintain, at its (or the Sub-consultants', as the case may be) own cost but on terms and conditions approved by KoTDA, insurance against the risks, and for the coverage specified in the SCC, and (ii) at KoTDA's request, shall provide evidence to KoTDA showing that such insurance has been taken out and maintained and that the current premiums therefore have been paid. The Consultant shall ensure that such insurance is in place prior to commencing the Services as stated in Clause GCC13.

## 25 Accounting, Inspection and Auditing

- 25.1 The Consultant shall keep and shall make all reasonable efforts to cause its Sub-consultants to keep, accurate and systematic accounts and records in respect of the Services and in such form and detail as will clearly identify relevant time changes and costs.
- 25.2 The Consultant shall permit and shall cause its Sub-consultants to permit, the PPRA and/ or persons appointed by the PPRA to inspect the Site and /or all accounts and records relating to the performance of the Contractand the submission of the Proposal to provide the Services, and to have such accounts and records audited by auditors appointed by the PPRA if requested by the PPRA. The Consultant's attention is drawn to Clause GCC10 which provides, interlaid, that acts intended to materially impede the exercise of the PPRA's inspection and audit rights provided for under this ClauseGCC25.2 constitute a prohibited practice subject to contract termination (as well as to a determination of in eligibility under the PPRA's prevailing sanctions procedures.)

## 26 Reporting Obligations

26.1 The Consultant shall submit to KoTDA the reports and documents specified in Appendix A, in the form, in the numbers and within the time periods set forth in the said Appendix.

## 27 Proprietary Rights of KoTDA in Reports and Records

- 27.1 Unless otherwise indicated in the SCC, all reports and relevant data and information such as maps, diagrams, plans, databases, other documents and software, supporting records or material compiled or prepared by the Consultant for KoTDA in the course of the Services shall be confidential and become and remain the absolute property of KoTDA. The Consultant shall, not later than upon terminationor expiration of this Contract, deliver all such documents to KoTDA, together with a detailed inventory thereof. The Consultant may retain a copy of such documents, data and/or software but shall notuse the same for purposes unrelated to this Contract without prior written approval of KoTDA.
- 27.2 If license agreements are necessary or appropriate between the Consultant and third parties for purposes of development of the plans, drawings, specifications, designs, databases, other documents and software, the Consultant shall obtain KoTDA's prior written approval to such agreements, and KoTDA shall be entitled at its discretion to require recovering the expenses related to the development of the program(s) concerned. Other restrictions about the future use of these documents and software, if any, shallbe specified in the SCC.

## 28 Equipment, Vehicles and Materials

28.1 Equipment, vehicles and materials made available to the Consultant by KoTDA or purchased by the Consultant wholly or partly with funds provided by KoTDA, shall be the property of the Procuring Entity and shall be

# PROVISION OF CONSULTANCY SERVICES FOR CONTRACT ADMINISTRATION AND CONSTRUCTION SUPERVISION FOR THE HORIZONTAL INFRASTRUCTURE AND PUBLIC FACILITIES

marked accordingly. Upon termination or expiration of this Contract, the Consultant shall make available to KoTDA an inventory of such equipment, vehicles and materials and shall dispose of such equipment, vehicles and materials in accordance with KoTDA's instructions. While in possession of such equipment, vehicles and materials, the Consultant, unless otherwise instructed by KoTDA in writing, shall insure them at the expense of KoTDA in an amount equal to their full replacement value.

Any equipment or materials brought by the Consultant or its Experts into Kenya for the use either for the project or personal use shall remain the property of the Consultant or the Experts concerned, as applicable.

## D. Consultant's Experts and Sub-consultants

## 29 Description of Key Experts

29.1 The title, agreed job description, minimum qualification and estimated period of engagement to carry out the Services of each of the Consultant's Key Experts are described in Appendix B.

## 30 Replacement of Key Experts

- 30.1 Except as KoTDA may otherwise agree in writing, no changes shall be made in the Key Experts.
- 30.2 Notwithstanding the above, the substitution of Key Experts during Contract execution may be considered only based on the Consultant's written request and due to circumstances outside the reasonable control of the Consultant, including but not limited to death or medical in capacity. In such case, the Consultant shall forth with provide as a replacement, a person of equivalent or better qualifications and experience, and at the same rate of remuneration.

## 31 Removal of Experts or Sub-consultants

- 31.1 If KoTDA finds that any of the Experts or Sub-consultant has committed serious misconduct or has been charged with having committed a criminal action, or shall KoTDA determine that Consultant's Expert of Sub consultant have engaged in corrupt, fraudulent, collusive, coercive [or obstructive] practice while performing the Services, the Consultant shall, at KoTDA's written request, provide a replacement.
- 31.2 In the event that any of Key Experts, Non-Key Experts or Sub-consultants is found by KoTDA to be in competent or in capable in discharging assigned duties, KoTDA, specifying the groundstherefore, may request the Consultant to provide a replacement.
- 31.3 Any replacement of the removed Experts or Sub consultants shall possess better qualifications and experience and shall be acceptable to KoTDA.
- 31.4 The Consultant shall bear all costs arising out of or incidental to any removal and/or replacement of such Experts.

## E. Obligations of KoTDA

## 32 Assistance and Exemptions

- 31.1 Unless otherwise specified in the SCC, KoTDA shall use its best efforts to:
  - Assist the Consultant with obtaining work permits and such other documents as shall be necessary to enable the Consultant to perform the Services.
  - b Assist the Consultant with promptly obtaining, for the Experts and, if appropriate, their eligible dependents, all necessary entry and exit visas, residence permits, exchange permits and any other documents required for their stay in Kenya while carrying out the Services under the Contract.
  - C Facilitate prompt clearance through customs of any property required for the Services and of the personal effects of the Expert sand their eligible dependents.
  - d Issue to officials, agents and representatives of the Government all such instructions and information as may be necessary or appropriate for the prompt and effective implementation of the Services.
  - e Assist the Consultant and the Experts and any Sub-consultants employed by the Consultant for the Services with obtaining exemption from any requirement to register or obtain any permit to practice their profession or to establish themselves either individually or as a corporate entity in Kenya according to the applicable law in Kenya.

- 32.2 Assist the Consultant, any Sub-consultants and the Experts of either of them with obtaining the privilege, pursuant to the applicable law in Kenya, of bringing in to Kenya reasonable amounts of foreign currency for the purposes of the Services or for the personal use of the Experts and of withdrawing any such amounts as may be earned therein by the Experts in the execution of the Services.
- 32.3 Provide to the Consultant any such other assistance as may be specified in the SCC.

## 33 Access to Project Site

33.1 KoTDA warrants that the Consultant shall have, free of charge, unimpeded access to the project site in respect of which access is required for the performance of the Services. KoTDA will be responsible for any damage to the project site or any property thereon resulting from such access and will indemnify the Consultant and each of the experts in respect of liability for any such damage, unless such damage is caused by the willful default or negligence of the Consultant or any Sub-consultants or the Expertsof either of them.

## 34 Change in the Applicable Law Related to Taxes and Duties

34.1 If, after the date of this Contract, there is any change in the applicable law in Kenya with respect to taxes and duties which increases or decreases the cost incurred by the Consultant in performing the Services, then theremuneration and reimbursable expenses otherwise payable to the Consultant under this Contract shall be increased or decreased accordingly by agreement between the Parties hereto, and corresponding adjustments shall be made to the Contract price amount specified in Clause GCC 39.1

## 35 Services, Facilities and Property of KoTDA

35.1 KoTDA shall make available to the Consultant and the Experts, for the purposes of the Services and free of any charge, the services, facilities and property described in the Terms of Reference (Appendix A) at the times and in the manner specified in said Appendix A.

## 36 Counterpart Personnel

- 36.1 KoTDA shall make available to the Consultant free of charge such professional and support counterpart personnel, to be nominated by KoTDA with the Consultant's advice, if specified in Appendix A.
- 36.2 Professional and support counterpart personnel, excluding Procuring Entity's liaison personnel, shall work under the exclusive direction of the Consultant. If any member of the counterpart personnel fails to performadequately any work as signed to such member by the Consultant that is consistent with the position occupied by such member, the Consultant may request the replacement of such member, and KoTDA shall not unreasonably refuse to act upon such request.

## 37 Payment Obligation

37.1 In consideration of the Services performed by the Consultant under this Contract, KoTDA shall make such payments to the Consultant for the deliverables specified in Appendix A and in such manner as is provided by GCCF below.

## F. Payments to the Consultant

#### 38 Contract Price

- 38.1 The Contract price is fixed and is set forth in the SCC. The Contract price breakdown is provided in AppendixC.
- 38.2 Any change to the Contract price specified in Clause 38.1 can be made only if the Parties have agreed to the revised scope of Services pursuant to Clause GCC 16 and have amended in writing the Terms of Referencein Appendix A.

## 39 Taxes and Duties

39.1 The Consultant, Sub-consultants and Experts are responsible for meeting any and all tax liabilities arising out of the Contract unless it is stated otherwise in the SCC.

## 40 Currency of Payment

40.1 Any payment under this Contract shall be made in the currency (ies) of the Contract.

## 41 Mode of Billing and Payment

- 41.1 The total payments under this Contract shall not exceed the Contract price set forth in Clause GCC 38.1.
- 41.2 The payments under this Contract shall be made in lump-sum installments against deliverables specified in Appendix A. The payments will be made according to the payment schedule stated in the SCC.
- 41.2.1 Advance payment: Unless otherwise indicated in the SCC, an advance payment shall be made against an advance payment bank guarantee acceptable to KoTDA in an amount (or amounts) and in a currency (or currencies) specified in the SCC. Such guarantee (I) is to remain effective until the advance payment has been fully set off, and (ii) is to be in the form set forth in Appendix D, or in such other form as KoTDA shall have approved in writing. The advance payments will be set off by KoTDA in equal portions against the lump-sum installments specified in the SCC until said advance payments have been fully set off.
- 41.1.2 <u>The Lump-Sum Installment Payments</u>. KoTDA shall pay the Consultant within sixty (60) days after the receipt by KoTDA of the deliverable(s) and the cover invoice for the related lump-suminstallment payment. The payment can be withheld if KoTDA does not approve the submitted deliverable(s) as satisfactory in which case KoTDA shall provide comments to the Consultant within the same sixty (60) days period. The Consultant shall thereupon promptly make any necessary corrections, and there after the fore going process shall be repeated.
- 41.1.3 <u>The Final Payment:</u> The final payment under this Clause shall be made only after the final report has been submitted by the Consultant and approved as satisfactory by KoTDA. The Services shall then be deemed completed and finally accepted by KoTDA. The last lump-sum installment shall be deemed approved for payment by KoTDA within ninety (90) calendar days after receipt of the final report by KoTDA unless KoTDA, within such ninety (90) calendar day period, gives written notice to the Consultant specifying in detail deficiencies in the Services, the final report. The Consultant shall thereupon promptly make any necessary corrections, and there after the fore going processshall be repeated.
- 41.1.4 All payments under this Contract shall be made to the accounts of the Consultant specified in the SCC.
- 41.1.5 With the exception of the final payment under 41.2.3 above, payments do not constitute acceptance of the whole Services nor relieve the Consultant of any obligations here under.

## 41 Interest on Delayed Payments

41.1 If KoTDA had delayed payments beyond thirty (30) days after the due date stated in Clause GCC 41.2.2, interest shall be paid to the Consultant on any amount due by, not paid on, such due date for each day of delay at the annual rate stated in the SCC.

#### G. Fairness and Good Faith

## 42 Good Faith

42.1 The Parties undertake to act in good faith with respect to each other's rights under this Contract and toadopt all reasonable measures to ensure the realization of the objectives of this Contract.

## H. Settlement of Disputes

## 43 Amicable Settlement

- 43.1.2 The Parties shall seek to resolve any dispute amicably by mutual consultation.
- 43.1.3 If either Party objects to any action or in action of the other Party, the objecting Party may file a written Notice of Dispute to the other Party providing in detail the basis of the dispute. The Party receiving the Notice of Dispute will consider it and respond in writing within fourteen (14) days after receipt. If that Party fails to respond within fourteen (14) days, or the dispute cannot be amicably settled within fourteen (14) days following

# PROVISION OF CONSULTANCY SERVICES FOR CONTRACT ADMINISTRATION AND CONSTRUCTION SUPERVISION FOR THE HORIZONTAL INFRASTRUCTURE AND PUBLIC FACILITIES

the response of that Party, Clause GCC 45.1 shall apply.

# 44 Dispute Resolution

44.1.2 Any dispute between the Parties arising under or related to this Contract that cannot be settled amicably may be referred to by either Party to the adjudication/arbitration in accordance with the provisions specified in the SCC.

## **SECTION 9: APPENDICES**

## Appendix A - Terms of Reference

[Note: This Appendix shall include the final Terms of Reference (TORs) worked out by KoTDA and the Consultant during the negotiations; dates for completion of various tasks; location of performance for different tasks; detailed reporting requirements and list of deliverables against which the payments to the Consultant will be made; Procuring Entity's input, including counterpart personnel assigned by KoTDA to work on the Consultant's team; specific tasks or actions that require prior approval by the Procuring Entity.

Insert the text based on the Section 5 (Terms of Reference) of the ITC in the RFP and modified based on the Forms TECH-1 through TECH-5 of the Consultant's Proposal. Highlight the changes to Section 5 of the RFP]
Appendix B - Key Experts
[Insert a table based on Form TECH-6 of the Consultant's Technical Proposal and finalized at the Contract's negotiations. Attach the CVs (updated and signed by the respective Key Experts) demonstrating the qualifications of Key Experts.]
Appendix C – Breakdown of Contract Price
{Insert the table with the unit rates to arrive at the breakdown of the lump-sum price. The table shall be based on [FormFIN-3andFIN-4] of the Consultant's Proposal and reflect any changes agreed at the Contract negotiations, if any. The footnote shall list such changes made to [FormFIN-3andFIN-4] at the negotiations or state that none has been made.}
Appendix D - Form of Advance Payment Guarantee
[Note: See Clause GCC 41.2.1 and SCC 41.2.1]
Bank Guarantee for Advance Payment[Bank's Name and Address of Issuing Branch or Office]  Beneficiary:[Name and Address of Procuring Entity]  Date:
ADVANCEPAYMENTGUARANTEE No.:
We have been informed that [name of Consultant or a name of the Joint Venture, same as appears on the signed Contract] (herein after called" the Consultant") has entered into Contract No [Reference number of the contract] dated with you, for the provision of [brief description of Services] (herein after called" the Contract").
Furthermore, we understand that, according to the conditions of the Contract, an advance payment in the sum of[Amount in figures] () [amount in words] is to be made against an advance payment guarantee.
At the request of the Consultant, we[name of bank] here by irrevocably undertake to pay you any
sum or sums not exceeding in total an amount of[amount in figures] () [amount in words] upon receiptby us of your first demand in writing accompanied by a written statement stating that the Consultant are in b reachof their obligation under the Contract because the Consultant have used the advance payment for purposes other than toward providing the Services under the Contract.
It is a condition for any claim and payment under this guarantee to be made that the advance payment referred to above must have been received by the Consultant on their account numberat
The maximum amount of this guarantee shall be progressively reduced by the amount of the advance payment repaid by the Consultant as indicated in copies of certified monthly statements which shall be presented to us. This guarantee shall expire, at the latest, upon our receipt of the monthly payment certificate indicating that the Consultant has made
Full repayment of the amount of the advance payment, or on the day of , whichever is earlier.

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Consequently, any demand for payment under this guarantee must be received by us at this office on or before that date.



Note: All italicized text is for indicative purposes only to assist in preparing this form and shall be deleted from the final product.

# PROVISION OF CONSULTANCY SERVICES FOR CONTRACT ADMINISTRATION AND CONSTRUCTION SUPERVISION FOR THE HORIZONTAL INFRASTRUCTURE AND PUBLIC FACILITIES

#### **PREFACE**

This Section includes a Time-Based Contract. It includes General Conditions of Contract ("GCC") that shall not be modified, and Special Conditions of Contract ("SCC"). The SCC include clauses specific to each contract to supplement, but not over-write or otherwise contradict, the General Conditions of Contract.

Time-Based Contract. This type of contract is appropriate when it is difficult to define or fix the scope and the duration of the services, either because they are related to activities carried out by others for which the completion period may vary, or because the input of the consultants required for attaining the objectives of the assignment is difficult to assess. In time-based contracts the Consultant provides services on a timed basis according to quality specifications, and Consultant's remuneration is determined on the basis of the time actually spent by the Consultant in carrying out the Services and is based on (i) agreed upon unit rates for the Consultant's experts multiplied by theactual time spent by the experts in executing the assignment, and (ii) reimbursable expenses using actual expenses and/or agreed unit prices. This type of contract requires KoTDA to closely supervise the Consultant and to be involved in the daily execution of the assignment.

The template is designed for use in assignments with consulting firms and shall not be used for contracting of individual experts. In some consultancy assignments such as design and construction supervision, both Lump-Sum and Time-Based Contracts are used and signed with the Consultant. In that case, the Lump-Sum Contract would apply for the design part of the Services while the construction supervision part would be based on a Time-Based Contract. In such event; both types of contracts shall be signed at the same time.

In case a Time-Based Contract is not used, please delete the contract template for time-based contract before issuance of the RFP to Consultants.

<sup>&</sup>lt;sup>1</sup>The Guarantor shall insert an amount representing the amount of the advance payment and denominated either in the currency (ies) of the advance payment as Specified in the Contract, or in a freely convertible currency acceptable to KoTDA.

<sup>2</sup>Inserttheexpectedexpirationdate.IntheeventofanextensionofthetimeforcompletionoftheContract KoTDA would need to request an extension of This guarantee from the Guarantor. Such request must be in writing and must be made prior to the expiration date established in the guarantee. In preparing this guarantee, KoTDA might consider adding the following text to the form, at the end of the pen ultimate paragraph: "The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months] [one year], in response to KoTDA's written request for such extension, such request to be presented to the Guarantor before the expiry of the auarantee."

# CONTRACT FOR CONSULTANT'S SERVICES

# TIME-BASED CONTRACT

Date:

Consulting Services for:
Contract No.:
Contract Description:
between
[Name of KoTDA] and
[Name of the Consultant]

#### II: FORM OF CONTRACT-TIME-BASED

(Text in brackets [] is optional; all notes should be deleted in the final text)

This CONTRACT (hereinafter called the "Contract") is made the [number] day of the month of [month], [year], between, on the one hand, [name of Procuring Entity] (herein after called the "Procuring Entity") and, on the otherhand, [name of Consultant] (hereinafter called the "Consultant").

[Note: If the Consultant consist of more than one entity, the above should be partially amended to read as follows: "... (herein after called the "Procuring Entity") and, on the other hand, a Joint Venture (name of the JV) consisting of the following entities, each member of which will be jointly and severally liable to KoTDA for all the Consultant's obligations under this Contract, namely, [name of member] and [name of member] (hereinafter called the "Consultant").]

#### **WHEREAS**

- a) KoTDA has requested the Consultant to provide certain consulting services as defined in thisContract (hereinafter called the "Services");
- b) the Consultant, having represented to KoTDA that it has the required professional skills, expertise and technical resources, has agreed to provide the Services on the terms and conditions set forthin this Contract;
- c) KoTDA has set aside a budget and funds towards the cost of the services and intends to apply a portion of the funds to eligible payments under the Contract.

NOW THEREFORE the parties hereto hereby agree as follows:

- 1. The following documents attached here to shall be deemed to form an integral part of this Contract:
  - a) The General Conditions of Contract;
  - b) The Special Conditions of Contract;
  - c) Appendices:

Appendix A: Terms of Reference

Appendix B: Key Experts

Appendix C: Remuneration Cost Estimates

Appendix D: Reimbursable Cost Estimates Appendix

E: Form of Advance Payments Guarantee

In the event of any in consistency between the documents, the following order of precedence shall prevail: The Special Conditions of Contract; the General Conditions of Contract; Appendix A; Appendix B; Appendix C and Appendix D; Appendix E. Any reference to this Contract shall include, where the context permits, a reference to its Appendices.

- 2. ThemutualrightsandobligationsoftheProcuringEntityandtheConsultantshallbeassetforthintheContract, in particular:
  - a) The Consultant shall carryout the Services in accordance with the provisions of the Contract; and
  - b) KoTDA shall make payments to the Consultant in accordance with the provisions of the Contract.

IN WITNESS WHERE OF, the Parties here to have caused this Contract to be signed in their respective names as of the day and year first above written.

For and on behalf of and signature]	[Name of Procuring Entity] [Authorized Representative of KoTDA—name title
For and on behalf of	[Name of Consultant or Name of a Joint Venture] [Authorized
Representative of the Consultant [Note: For a joint venture, either all men behalf of all members shall be attac	mbers shall sign or only the lead member, in which case the power of attorney to sign on
For and on behalf of each of the n	nembers of the Consultant[Insert the name of the Joint Venture] [Name
[Authorized Representative on b	ehalf of a Joint Venture] [Add signature blocks for each member if all are signing]

#### Section 8: General Conditions of Contract

## A. GENERALPROVISIONS

#### 1. Definitions

- 1.1 Unless the context otherwise requires, the following terms whenever used in this Contract have the following meanings:
  - a) "Applicable Law" means the laws and any other instruments having the force of law in Kenya.
  - b) "Procuring Entity" means KoTDA that signs the Contract for the Services with the Selected Consultant.
  - c) "Consultant" means a legally established professional consulting firm or entity selected by KoTDA to provide the Services under the signed Contract.
  - d) "Contract" means the legally binding written agreement signed between KoTDA and the Consultant and which includes all the attached documents listed in its paragraph 1 of the Form of Contract (the General Conditions (GCC), the Special Conditions (SCC), and the Appendices).
  - e) "Day" means a working day unless indicated otherwise.
  - f) "Effective Date" means the date on which this Contract comes into force and effect pursuant to Clause GCC11.
  - g) "Experts" means, collectively, Key Experts, Non-Key Experts, or any other personnel of the Consultant, Sub-consultant or JV member(s) assigned by the Consultant to perform the Services or any part thereof under the Contract.
  - h) "Foreign Currency" means any currency other than the Kenya Shilling.
  - i) "GCC" means these General Conditions of Contract.
  - i) "Government" means the government of Kenya.
  - k) "Joint Venture (JV)" means an association with or without a legal personality distinct from that of its members, of more than one entity where one member has the authority to conduct all businesses for and on behalf of any and all the members of the JV, and where the members of the JV are jointly and severally liable to KoTDA for the performance of the Contract.
  - 1) "Key Expert(s)" means an individual professional whose skills, qualifications, knowledge and experience are critical to the performance of the Services under the Contract and whose Curricula Vitae (CV) was taken in to account in the technical evaluation of the Consultant's proposal.
  - m) "Local Currency" means the Kenya Shilling.
  - n) "Non-Key Expert(s)" means an individual professional provided by the Consultant or its Subconsultant to perform the Services or any part thereof under the Contract.
  - o) "Party" means KoTDA or the Consultant, as the case may be, and "Parties" means both.
  - p) "SCC" means the Special Conditions of Contract by which the GCC may be amended or supplemented but not over-written.
  - q) "Services" means the work to be performed by the Consultant pursuant to this Contract, as described in Appendix A hereto.
  - r) "Sub-consultants" means an entity to whom/which the Consultant subcontracts any part of the Services while remaining solely liable for the execution of the Contract.
  - s) "Third Party" means any person or entity other than the Government, KoTDA, the Consultant or a Sub-consultant.

## 2. Relationship between the Parties

2.1 Nothing contained here in shall be construed as establishing a relationship of master and servant or of principal and agent as between KoTDA and the Consultant. The Consultant, subject to this Contract, has complete charge of the Experts and Sub-consultants, if any, performing the Services and shall be fully responsible for the Services performed by the moron their behalf here under.

## 3 Law Governing Contract

3.1 This Contract, its meaning and interpretation, and the relation between the Parties shall be governed by the Applicable Law.

## 4 Language

4.1 This Contract has been executed in the language specified in the SCC, which shall be the binding and controlling language for all matters relating to the meaning or interpretation of this Contract.

## 5 Headings

5.1 The headings shall not limit, alter or affect the meaning of this Contract.

#### 6 Communications

- 6.1 Any communication required or permitted to be given or made pursuant to this Contract shall be in writing in the language specified in Clause GCC4. Any such notice, request or consent shall be deemed to have been give nor made when delivered in person to an authorized representative of the Party to whom the communication is addressed, or when sent to such Party at the address specified in the SCC.
- 6.2 A party may change its address for notice here under by giving the other Party any communication of such change to the address specified in the SCC.

#### 7 Location

7.1. The Services shall be performed at such locations as are specified in Appendix A here to and, where the location of a particular task is not so specified, at such locations, whether in Kenya or elsewhere, as KoTDA may approve.

## 8 Authority of Member in Charge

8.1 In case the Consultant is a Joint Venture, the members hereby authorize the member specified in the SCC toact on their behalf in exercising all the Consultant's rights and obligations towards KoTDA underthis Contract, including without limitation the receiving of instructions and payments from KoTDA.

## 9. Authorized Representatives

9.1 Any action required or permitted to be taken, and any document required or permitted to be executed under this Contract by KoTDA or the Consultant may be taken or executed by the officials specified in the SCC.

## 10 Corrupt and Fraudulent Practices

10.1 The Government requires compliance with its policy and laws in regard to corrupt and fraudulent or prohibited practices as set forth in its laws and policies.

## a. Commissions and Fees

10.2 KoTDA requires the Consultant to disclose any commissions, gratuities or fees that may have been paid or are to be paid to agents or any other party with respect to the selection process or execution of the Contract. The information disclosed must include at least the name and address of the agent or the other party, the amount and currency, and the purpose of the commission, gratuity or fee. Failure to disclose such commissions, gratuities or fees may result in termination of the Contract by KoTDA and/or sanctions by the PPRA.

Commencement, Completion, Modification and Termination of Contract.

## 11 Effectiveness of Contract

11.1 This Contract shall come into force and effect on the date (the "Effective Date") of KoTDA'snotice to the Consultant instructing the Consultant to begin carrying out the Services. This notice shall confirm that the effectiveness conditions, if any, listed in the SCC have been met.

## 12 Termination of Contract for Failure to Become Effective

12.1 If this Contract has not become effective within such time period after the date of Contract signature as specified in the SCC, either Party may, by not less than twenty-two (22) days written notice to the otherParty, declare this contract to be null and void, and in the event of such a declaration by either Party, neither Party shall have any claim against the other Party with respect hereto.

#### 13 Commencement of Services

13.1 The Consultant shall confirm availability of Key Experts and begin carrying out the Services not later than

the number of days after the Effective Date specified in the SCC.

## 14. Expiration of Contract

14.1 Unless terminated earlier pursuant to Clause GCC 19 hereof, this Contract shall expire at the end of suchtime period after the Effective Date as specified in the SCC.

## 15 Entire Agreement

15.1 This Contract contains all covenants, stipulations and provisions agreed by the Parties. No agent or representative of either Party has authority to make, and the Parties shall not be bound by or be liable for, any statement, representation, promise or agreement not set forth herein.

#### 16 Modifications or Variations

16.1 Any modification or variation of the terms and conditions of this Contract, including any modification or variation of the scope of the Services, may only be made by written agreement between the Parties. However, each Party shall give due consideration to any proposals for modification or variation made by the other Party.

#### 16.2 In cases of substantial modifications or variations.

## 17 Force Majeure

#### a. Definition

- 17.1 For the purposes of this Contract, "Force Majeure" means an event which is beyond the reasonable control of a Party, is not foreseeable, is unavoidable, and makes a Party's performance of its obligations here under impossible or so impractical as reasonably to be considered impossible under the circumstances, and subject to those requirements, includes, but is not limited to, war, riots, civil disorder, earthquake, fire, explosion, storm, flood or other adverse weather conditions, strikes, lockouts or other industrial action confiscationor any other action by Government agencies.
- 17.2 Force Majeure shall not include (i) any event which is caused by then negligence or intentional action of a Party or such Party's Experts, Sub-consultants or agents or employees, nor (ii) any event which a diligentParty could reasonably have been expected to both take into account at the time of the conclusion of this Contract and avoid or overcome in the carrying out of its obligations here under.
- 17.3 Force Majeure shall not include in sufficiency of funds or failure to make any payment required here under.

## b. No Breach of Contract

17.4 The failure of a Party to fulfill any of its obligations here under shall not be considered to be a breach of, or default under, this Contract in so far as such inability arises from an event of Force Majeure, provided that the Party affected by such an event has taken all reasonable precautions, due care and reasonable alternative measures, all with the objective of carrying out the terms and conditions of this Contract.

#### c. Measures to be taken

- 17.5 A party affected by an event of Force Majeure shall continue to perform its obligations under the Contract as far as is reasonably practical and shall take all reasonable measures to minimize the consequences of any event of Force Majeure.
- 17.6 A Party affected by an event of Force Majeure shall notify the other Party of such event as soon as possible, and in any case not later than fourteen (14) calendar days following the occurrence of such event, providing evidence of the nature and cause of such event, and shall similarly give written notice of the restoration of normal conditions as soon as possible.

- 17.7 Any period within which a Party shall, pursuant to this Contract, complete any action or task, shall be extended for a period equal to the time during which such Party was unable to perform such action as a result of Force Majeure.
- 17.8 During the period of their inability to perform the Services as a result of an event of Force Majeure, the Consultant, upon instructions by KoTDA, shall either:
  - a demobilize, in which case the Consultant shall be reimbursed for additional costs they reasonably and necessarily incurred, and, if required by KoTDA, in reactivating the Services; or
  - b Continue with the Services to the extent reasonably possible, in which case the Consultant shall continue to be paid under the terms of this Contract and be reimbursed for additional costs reasonably and necessarily incurred.
- 17.9 In the case of disagreement between the Parties as to the existence or extent of Force Majeure, the mattershall be settled according to Clauses GCC 47 & 48.

## 18 Suspension

18.1 KoTDA may, by written notice of suspension to the Consultant, suspend all payments to the Consultant here under if the Consultant fails to per for many of its obligations under this Contract, including the carrying out of the Services, provided that such notice of suspension (i) shall specify the nature of the failure, and (ii) shall request the Consultant to remedy such failure within a period not exceeding thirty (30)calendar days after receipt by the Consultant of such notice of suspension.

## 19 Termination

19.1 This Contract may be terminated either by Party as per provisions setup below:

## a. By KoTDA

- 19.1.1 KoTDA may terminate this Contract in case of the occurrence of any of the events specified in paragraphs (a) through (f) of this Clause. In such an occurrence, KoTDA shall give at least thirty
  - (30) calendar days' written notice of termination to the Consultant in case of the events referred to in (a) through (d); at least sixty (60) calendar days' written notice in case of the event referred to in (e); and at least five (5) calendar days' written notice in case of the event referred to in (f):
  - a If the Consultant fails to remedy a failure in the performance of its obligations here under, as specified in a notice of suspension pursuant to Clause GCC 18;
  - b If the Consultant becomes (or, if the Consultant consists of more than one entity, if any of its members becomes) insolvent or bankrupt or enter into any agreements with their creditors for relief of debt or take advantage of any law for the benefit of debtors or go into liquidation or receivership whether compulsory or voluntary;
  - c If the Consultant fails to comply with any final decision reached as a result of arbitration proceedings pursuant to Clause GCC 48.1;
  - d If, as the result of Force Majeure, the Consultant is unable to perform a material portion of the Services for a period of not less than sixty (60) calendar days;
  - e If KoTDA, in its sole discretion and for any reason whatsoever, decides to terminate this Contract;
  - f If the Consultant fails to confirm availability of Key Experts as required in Clause GCC 13.
- 19.1.2 Furthermore, if KoTDA determines that the Consultant has engaged in corrupt, fraudulent, collusive, coercive [or obstructive] practices, in competing for or in executing the Contract, then KoTDA may, after giving fourteen (14) calendar days written notice to the Consultant, terminate the Consultant's employment under the Contract.

## b. By the Consultant

19.1.3 The Consultant may terminate this Contract, by not less than thirty (30) calendar days' written notice to KoTDA, in case of the occurrence of any of the events specified in paragraphs (a) through (d) of this Clause.

- a If KoTDA fails to pay any money due to the Consultant pursuant to this Contract and notsubject to dispute pursuant to Clauses GCC 48.1 within forty-five (45) calendar days after receiving written notice from the Consultant that such payment is overdue.
- b If, as the result of Force Majeure, the Consultant is unable to perform a material portion of the Services for a period of not less than sixty (60) calendar days.
- c If KoTDA fails to comply with any final decision reached as a result of arbitration pursuant to Clause GCC 48.1.
- d If KoTDA is in material breach of its obligations pursuant to this Contract and has not remedied the same within forty-five (45) days (or such longer period as the Consultant may have subsequently approved in writing) following the receipt by KoTDA of the Consultant's notice specifying suchbreach.

## c. Cessation of Rights and Obligations

19.1.4 Upon termination of this Contract pursuant to Clauses GCC 12 or GCC 19 hereof, or upon expiration of this Contract pursuant to Clause GCC 14, all rights and obligations of the Parties here under shall cease, except (i) such rights and obligations as may have accrued on the date of termination or expiration, (ii) the obligation of confidentiality set forth in Clause GCC 22, (iii) the Consultant's obligation to permit inspection, copying and auditing of their accounts and records set forth in Clause GCC 25, and (iv) any right which a Party may have under the Applicable Law.

#### d. Cessation of Services

19.1.5 Upon termination of this Contract by notice of either Party to the other pursuant to Clauses GCC 19a or GCC 19b, the Consultant shall, immediately upon dispatch or receipt of such notice, take all necessary steps to bring the Services to a close in a prompt and orderly manner and shall make every reasonable effort to keep expenditures for this purpose to a minimum. With respect to documents prepared by the Consultant and equipment and materials furnished by KoTDA, the Consultant shall proceed as provided, respectively, by Clauses GCC 27 or GCC 28.

## e. Payment upon Termination

- 19.1.6 Upon termination of this Contract, KoTDA shall make the following payments to the Consultant:
  - a remuneration for Services satisfactorily performed prior to the effective date of termination, and reimbursable expenditures for expenditures actually incurred prior to the effective date of termination; and pursuant to Clause 42;
  - b In the case of termination pursuant to paragraphs (d)and(e) of Clause GCC 19.1.1, reimbursement of any reasonable cost incidental to the prompt and orderly termination of this Contract, including the cost of the return travel of the Experts.

## C. OBLIGATIONS OF THE CONSULTANT

#### 20 General

## a. Standard of Performance

- 20.1 The Consultant shall perform the Services and carry out the Services with all due diligence, efficiency and economy, in accordance with generally accepted professional standards and practices, and shall observe sound management practices, and employ appropriate technology and safe and effective equipment, machinery, materials and methods. The Consultant shall always act, in respect of any matter relating to this Contract or to the Services, as a faithful adviser to KoTDA, and shall at all times support and safeguard KoTDA's legitimate interests in any dealings with the third parties.
- 20.2 The Consultant shall employ and provide such qualified and experienced Experts and Sub-consultants as are required to carry out the Services.
- 20.3 The Consultant may subcontract part of the Services to an extent and with such Key Experts and Subconsultants as may be approved in advance by KoTDA. Notwithstanding such approval, the Consultant shall retain full responsibility for the Services.

## b. Law Applicable to Services

- 20.4 The Consultant shall perform the Services in accordance with the Contract and the Applicable Law and shall take all practicable steps to ensure that any of its Experts and Sub-consultants, comply with the Applicable Law.
- 20.5 Throughout the execution of the Contract, the Consultant shall comply with the import of goods and services prohibitions in Kenya when
  - a As a matter of law or official regulations, Kenya prohibits commercial relations with that country; or b by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations,
- 20.6 KoTDA shall notify the Consultant in writing of relevant local customs, and the Consultantshall, after such notification, respect such customs.

#### 21 Conflict of Interests

21.1 The Consultant shall hold KoTDA's interests paramount, without any consideration for futurework, and strictly avoid conflict with other assignments or their own corporate interests.

## a. Consultant Not to Benefit from Commissions, Discounts, etc.

- 21.1.1 The payment of the Consultant pursuant to GCC F (Clauses GCC 41 through 46) shall constitute the Consultant's only payment in connection with this Contract and, subject to Clause GCC 21.1.3, the Consultant shall not accept for its own benefit any trade commission, discount or similar payment in connection with activities pursuant to this Contract or in the discharge of its obligations here under, and the Consultant shall use its best efforts to ensure that any Sub-consultants, as well as the Experts and agents of either of them, similarly shall not receive any such additional payment.
- 21.1.2 Furthermore, if the Consultant, as part of the Services, has the responsibility of advising KoTDA on the procurement of goods, works or services, shall at all times exercise such responsibility in the best interest of KoTDA. Any discounts or commissions obtained by the Consultant in the exercise of such procurement responsibility shall be for the account of KoTDA.

#### b. Consultant and Affiliates Not to Engage in Certain Activities

21.1.3 The Consultant agrees that, during the term of this Contract and after its termination, the Consultant and any entity affiliated with the Consultant, as well as any Sub-consultants and any entity affiliated with such Sub-consultants, shall be disqualified from providing goods, works or non-consulting services resulting fromor directly related to the Consultant's Services for the preparation or implementation of the project.

## c. Prohibition of Conflicting Activities

21.1.4 The Consultant shall not engage and shall cause its Experts as well as its Sub-consultants not to engage, either directly or indirectly, in any business or professional activities that would conflict with the activities assigned to them under this Contract.

## d. Strict Duty to Disclose Conflicting Activities

21.1.5 The Consultant has an obligation and shall ensure that its Experts and Sub-consultants shall have an obligation to disclose any situation of actual or potential conflict that impacts their capacity to serve the best interest of their Procuring Entity, or that may reasonably be perceived as having this effect. Failure to disclose said situations may lead to the disqualification of the Consultant or the termination of its Contract.

## 22 Confidentiality

22.1 Except with the prior written consent of KoTDA, the Consultant and the Experts shall not atany time communicate to any person or entity any confidential information acquired in the course of the Services, nor shall the Consultant and the Experts make public the recommendations formulated in the course of, or because of, the Services.

## 23 Liability of the Consultant

23.1 Subject to additional provisions, if any, set forth in the SCC, the Consultant's liability under this Contract shallbe as determined under the Applicable Law.

## 24 Insurance to be taken out by the Consultant

24.1 The Consultant (I) shall takeout and maintain and shall cause any Sub-consultants to takeout and maintain, atits (or the Sub-consultants', as the case may be) own cost but on terms and conditions approved by KoTDA, insurance against the risks, and for the coverage specified in the SCC, and (ii) at KoTDA's request, shall provide evidence to KoTDA showing that such insurance has been taken out and maintained and that the current premiums therefore have been paid. The Consultant shall ensure that such insurance is in place prior to commencing the Services as stated in ClauseGCC13.

## 25 Accounting, Inspection and Auditing

- 25.1 The ConsultantshallkeepandshallmakeallreasonableeffortstocauseitsSub-consultantstokeep, accurate and systematic accounts and records in respect of the Services and in such form and detail as will clearly identify relevant time changes and costs.
- 25.2. The Consultant shall permit and shall cause its Sub-consultants to permit, the PPRA and/or persons appointed by the PPRA to inspect the Site and /or all accounts and records relating to the performance of the Contract and the submission of the Proposal to provide the Services, and to have such accounts and records audited by auditors appointed by the PPRA if requested by the PPRA. The Consultant's attention is drawn to Clause GCC10which provides, interlaid, that acts intended to materially impede the exercise of the PPRA's inspection and audit rights provided for under this Clause GCC25.2 constitute a prohibited practice subject to contract termination (as well as to a determination of in eligibility under the PPRA's prevailing sanctions procedures.)

## 26 Reporting Obligations

26.1 The Consultant shall submit to KoTDA the reports and documents specified in Appendix A, in the form, in the numbers and within the time periods set forth in the said Appendix.

## Proprietary Rights of KoTDA in Reports and Records

- 26.2 Unless otherwise indicated in the SCC, all reports and relevant data and information such as maps, diagrams, plans, databases, other documents and software, supporting records or material compiled or prepared by the Consultant for KoTDA in the course of the Services shall be confidential and become and remainthe absolute property of KoTDA. The Consultant shall, not later than upon termination or expiration of this Contract, deliver all such documents to KoTDA, together with a detailed inventory thereof. The Consultant may retain a copy of such documents, data and/or software but shall not use the same for purposes unrelated to this Contract without prior written approval of KoTDA.
- 26.3 If license agreements are necessary or appropriate between the Consultant and third parties for purposes of development of the plans, drawings, specifications, designs, databases, other documents and software, the Consultant shall obtain KoTDA's prior written approval to such agreements, and KoTDA shall be entitled at its discretion to require recovering the expenses related to the development of the program(s) concerned. Other restrictions about the future use of these documents and software, if any, shallbe specified in the SCC.

## 27 Equipment, Vehicles and Materials

27.1 Equipment, vehicles and materials made available to the Consultant by KoTDA, or purchased by the Consultant wholly or partly with funds provided by KoTDA, shall be the property of KoTDA and shall be marked accordingly. Upon termination or expiration of this Contract, the Consultant shall make available to KoTDA an inventory of such equipment, vehicles and materials and shall dispose of such equipment, vehicles and materials in accordance with KoTDA's instructions. While in possession of such equipment, vehicles and materials, the Consultant, unless otherwise instructed by KoTDA in writing, shall insure the mat the expense of KoTDA in an amount equal to their full replacement value.

27.1 Any equipment or materials brought by the Consultant or its Experts into, as applicable.

## D. CONSULTANT'S EXPERTS AND SUB-CONSULTANTS

## 28 Description of Key Experts

- 28.1 The title, agreed job description, minimum qualification and time-input estimates to carry out the Services of each of the Consultant's Key Experts are described in Appendix B.
- 28.2 If required to comply with the provisions of Clause GCC 20 a, adjustments with respect to the estimated time-input of Key Experts set forth in Appendix B may be made by the Consultant by a written notice to KoTDA, provided (i) that such adjustments shall not alter the original time-input estimates for any individual by more than 10% or one week, whichever is larger; and (ii) that the aggregate of such adjustments shall not cause payments under this Contract to exceed the ceilings set forth in Clause GCC 41.2.
- 28.3 If additional work is required beyond the scope of the Services specified in Appendix A, the estimated time-input for the Key Experts may be increased by agreement in writing between KoTDA and the Consultant. In case where payments under this Contract exceed the ceilings set forth in Clause GCC41.1, the Parties shall sign a Contract amendment.

## 29 Replacement of Key Experts

- 29.1 Except as KoTDA may otherwise agree in writing, no changes shall be made in the Key Experts.
- 29.2 Notwithstanding the above, the substitution of Key Experts during Contract execution may be considered only based on the Consultant's written request and due to circumstances outside the reasonable control of the Consultant, including but not limited to death or medical in capacity. In such case, the Consultant shallforth with provide as are placement, a person of equivalent or better qualifications and experience, and at the same rate of remuneration.

## 30 Approval of Additional Key Experts

30.1 If during execution of the Contract, additional Key Experts are required to carry out the Services, the Consultant shall submit to KoTDA for review and approval a copy of their Curricula Vitae (CVs). If KoTDA does not object in writing (stating the reasons for the objection) within twenty-two (22) days from the date of receipt of such CVs, such additional Key Experts shall be deemed tohave been approved by KoTDA.

## 31 Removal of Experts or Sub-consultants

- 31.1 If KoTDA finds that any of the Experts or Sub-consultant has committed serious misconduct or has been charged with having committed a criminal action, or shall KoTDA determine that Consultant's Expert or Sub-consultant has engaged in corrupt, fraudulent, collusive, coercive, or obstructive practice while performing the Services, the Consultant shall, at KoTDA's written request, provide a replacement.
- 31.2 In the event that any of Key Experts, Non-Key Experts or Sub-consultants is found by KoTDA to be in competent or in capable in discharging assigned duties, KoTDA, specifying the grounds therefore, may request the Consultant to provide a replacement.
- 31.3 Any replacement of the removed Experts or Sub-consultants shall possess better qualifications and experience and shall be acceptable to KoTDA.

## 32 Replacement/ Removal of Experts-Impact on Payments

32.1 Except as KoTDA may otherwise agree, (i) the Consultant shall bear all additional travel and other costs arising out of or incidental to any removal and/or replacement, and (ii) the remuneration to bepaid for any of the Experts provided as a replacement shall not exceed the remuneration which would have been payable to the Experts replaced or removed.

## 33 Working Hours, Overtime, Leave, etc.

- 33.1 Working hours and holidays for Experts a reset forth in Appendix B. To account for travel time to/from Kenya, experts carrying out Services inside Kenya shall be deemed to have commenced or finished work inrespect of the Services such number of days before their arrival in, or after their departure from, Kenya asis specified in Appendix B.
- 33.2 The Experts shall not be entitled to be paid for overtime nor to take paid sick leave or vacation leave except as specified in Appendix B, and the Consultant's remuneration shall be deemed to cover these items.
- 33.3 Any taking of leave by Key Experts shall be subject to the prior approval by the Consultant who shall ensure that absence for leave purposes will not delay the progress and or impact adequate supervision of the Services.

## E. Obligations of KoTDA

## 34 Assistance and Exemptions

- 34.1 Unless otherwise specified in the SCC, KoTDA shall use its best efforts to:
  - a Assist the Consultant with obtaining work permits and such other documents as shall be necessary to enable the Consultant to perform the Services.
  - b Assist the Consultant with promptly obtaining, for the Experts and, if appropriate, their eligible dependents, all necessary entry and exit visas, residence permits, exchange permits and any other documents required for their stay in Kenya while carrying out the Services under the Contract.
  - C Facilitate prompt clearance through customs of any property required for the Services and of the personal effects of the Experts and their eligible dependents.
  - d Issue to officials, agents and representatives of the Government all such instructions and information as may be necessary or appropriate for the prompt and effective implementation of the Services.
  - e Assist the Consultant and the Experts and any Sub-consultants employed by the Consultant for the Services with obtaining exemption from any requirement to register or obtain any permit to practice their profession or to establish themselves either individually or as a corporate entity in Kenya according to the applicable law in Kenya.
  - f Assist the Consultant, any Sub-consultants and the Experts of either of them with obtaining the privilege, pursuant to the applicable law in Kenya, of bringing into Kenya reasonable amounts of foreign currency for the purposes of the services or for the personal use of the Experts and of withdrawing any such amounts as may be earned there in by the Experts in the execution of the Services.
  - g Provide to the Consultant any such other assistance as may be specified in the SCC.

## 35 Access to Project Site

35.1 KoTDA warrants that the Consultant shall have, free of charge, unimpeded access to the project site in respect of which access is required for the performance of the Services. KoTDA will be responsible for any damage to the project site or any property thereon resulting from such access and will indemnify the Consultant and each of the experts in respect of liability for any such damage, unless such damage is caused by the willful default or negligence of the Consultant or any Sub-consultants or the Experts of either of them.

## 36 Change in the Applicable Law Related to Taxes and Duties

36.1 If, after the date of this Contract, there is any change in the applicable law in Kenya with respect to taxesand duties which increases or decreases the cost incurred by the Consultant in performing the Services, then the remuneration and reimbursable expenses otherwise payable to the Consultant under this Contract shall be increased or decreased accordingly by agreement between the Parties hereto, and corresponding adjustments shall be made to the ceiling amounts specified in Clause GCC41.1

## 37 Services, Facilities and Property of KoTDA

37.1 KoTDA shall make available to the Consultant and the Experts, for the purposes of the Services and free of any charge, the services, facilities and property described in the Terms of Reference (Appendix A) at the times and in the manner specified in said Appendix A.

37.2 In case that such services, facilities and property shall not be made available to the Consultant as and when specified in Appendix A, the Parties shall agree on (i) any time extension that it may be appropriate to grantto the Consultant for the performance of the Services, (ii) the manner in which the Consultant shall procure any such services, facilities and property from other sources, and (iii) the additional payments, if any, to be made to the Consultant as a result there of pursuant to Clause GCC 41.3.

## 38 Counterpart Personnel

- 38.1 KoTDA shall make available to the Consultant free of charge such professional and support counterpart personnel, to be nominated by KoTDA with the Consultant's advice, if specified in Appendix A.
- 38.2 If counterpart personnel are not provided by KoTDA to the Consultant as and when specified in Appendix A, KoTDA and the Consultants hall agree on (i) how the affected part of the Services shall be carried out, and (ii) the additional payments, if any, to be made by KoTDA to the Consultant as a result there of pursuant to Clause GCC 41.3.
- 38.3 Professional and support counterpart personnel, excluding Procuring Entity's liaison personnel, shall work under the exclusive direction of the Consultant. If any member of the counterpart personnel fails to performadequately any work assigned to such member by the Consultant that is consistent with the position occupied by such member, the Consultant may request the replacement of such member, and KoTDA shall not unreasonably refuse to act upon such request.

## 39 Payment Obligation

39.1 In consideration of the Services performed by the Consultant under this Contract, KoTDAshall make such payments to the Consultant and in such manner as is provided by GCCF below.

#### A. PAYMENTS TO THE CONSULTANT

## 40 Ceiling Amount

- 40.1 An estimate of the cost of the Services is set forth in Appendix C (Remuneration) and Appendix D ([Reimbursable expenses]).
- 40.2 Payments under this Contract shall not exceed the ceilings in foreign currency and in local currency specified in the SCC.
- 40.3 For any payments in excess of the ceilings specified in GCC 41.2, an amendment to the Contract shall be signed by the Parties referring to the provision of this Contract that evokes such amendment.

## 41. Remuneration and Reimbursable Expenses

- 41.1 KoTDA shall pay to the Consultant (i) remuneration that shall be determined on the basis of time actually spent by each Expert in the performance of the Services after the date of commencing of Services or such other date as the Parties shall agree in writing; and (ii) reimbursable expenses that are actually and reasonably incurred by the Consultant in the performance of the Services.
- 41.2 All payments shall be at the rates set forth in Appendix C and Appendix D.
- 41.3 Unless the SCC provides for the price adjustment of the remuneration rates, said remuneration shall be fixed for the duration of the Contract.
- 41.4 The remuneration rates shall cover:(i) such salaries and allowances as the Consultant shall have agreed to pay to the Experts as well as factors for social charges and overheads (bonuses or other means of profit-sharing shall not be allowed as an element of overheads), (ii) the cost of backstopping by home office staff not included in the Experts 'list in Appendix B, (iii) the Consultant's profit, and (iv) any other items as specified n the S CC.
- 41.5 Any rates specified for Experts not yet appointed shall be provisional and shall be subject to revision, with the written approval of KoTDA, once the applicable remuneration rates and allowances are known.

#### 42 Taxes and Duties

42.1 The Consultant, Sub-consultants and Experts are responsible for meeting any and all tax liabilities arising out of the Contract unless it is stated otherwise in the SCC.

## 43 Currency of Payment

43.1 Any payment under this Contract shall be made in the currency or currencies specified in the SCC.

## 44 Mode of Billing and Payment

- 44.1 Billing and payments in respect of the Services shall be made as follows:
  - Advance payment. Within the number of days after the Effective Date, KoTDA shall pay to the Consultant an advance payment as specified in the SCC. Unless otherwise indicated in the SCC, an advance payment shall be made against an advance payment bank guarantee acceptable to KoTDA in an amount (or amounts) and in a currency (or currencies) specified in the SCC. Such guarantee
  - (i) is to remain effective until the advance payment has been fully set off, and (ii) is to be in the form setforth in Appendix E. The advance payments will be set off by KoTDA in equal installments against the statements for the number of months of the Services specified in the SCC until said advance payments have been fully setoff.
  - b <u>The Itemized Invoices.</u> As soon as practicable and not later than fifteen (15) days after the end of each calendar month during the period of the Services, or after the end of each time interval otherwise indicated in the SCC, the Consultant shall submit to KoTDA, in duplicate, itemized invoices, accompanied by the receipts or other appropriate supporting documents, of the amounts payable pursuant to Clauses GCC 44 and GCC 45 for such interval, or any other period indicated in the SCC. Separate invoices hall be submitted for expenses incurred in foreign currency and in local currency. Each invoice shall show remuneration and reimbursable expenses separately.
  - c <u>KoTDA shall pay the Consultant's invoices</u> within sixty (60) days after the receipt by KoTDA of such itemized invoices with supporting documents. Only such portion of an invoice that is not satisfactorily supported may be withheld from payment. Should any discrepancy be found to exist between actual payment and costs authorized to be incurred by the Consultant, KoTDA may add or subtract he difference from any subsequent payments.
  - d The Final Payment: The final payment under this Clause shall be made only after the final report and a final invoice, identified as such, shall have been submitted by the Consultant and approved as satisfactory by KoTDA. The Services shall be deemed completed and finally accepted by KoTDA and the final report and final invoice shall be deemed approved by KoTDA as satisfactory ninety (90) calendar days after receipt of the final report and final invoice by KoTDA unless KoTDA, within such ninety (90) calendar day period, gives written notice to the Consultant specifying in detail deficiencies in the Services, the final report or final invoice. The Consultant shall thereupon promptly make any necessary corrections, and thereafter the foregoing process shall be repeated. Any amount that KoTDA has paid or has caused to be paid in accordance with this Clause in excess of the amounts payable in accordance with the provisions of this Contract shall be reimbursed by the Consultant to KoTDA within thirty (30) days after receipt by the Consultant of notice thereof. Any such claim by KoTDA for reimbursement must be made within twelve (12) Calendar month s after receipt t by KoTDA of a final report and a final invoice approved by KoTDA in accordance with the above.
  - e All payments under this Contract shall be made to the accounts of the Consultant specified in the SCC. f
    With the exception of the final payment under (d) above, payments do not constitute acceptance of the
    Services nor relieve the Consultant of any obligations here under.

## 45 Interest on Delayed Payments

45.1 If KoTDA had delayed payments beyond thirty (30) days after the due date stated in Clause GCC 45.1(c), interest shall be paid to the Consultant on any amount due by, not paid on, such due date foreach day of delay at the annual rate stated in the SCC.

## G. Fairness and Good Faith

## 46 Good Faith

46.1 The Parties undertake to act in good faith with respect to each other's rights under this Contract and toadopt all reasonable measures to ensure the realization of the objectives of this Contract.

## H. Settlement of Disputes

## 47 Amicable Settlement

- 47.1 The Parties shall seek to resolve any dispute amicably by mutual consultation.
- 47.2 If either Party objects to any action or inaction of the other Party, the objecting Party may file a written Notice of Dispute to the other Party providing in detail the basis of the dispute. The Party receiving the Notice of Dispute will considerate and responds in writing within fourteen (14) days after receipt. If that Party fails to respond within fourteen (14) days, or the dispute cannot be amicably settled within fourteen (14) days following the response of that Party, Clause GCC 49.1 shall apply.

## 48 Dispute Resolution

48.1 Any dispute between the Parties arising under or related to this Contract that cannot be settled amicably may be referred to by either Party to the adjudication /arbitration in accordance with the provisions in the SCC.

## **SECTION 8: SPECIAL CONDITIONS OF CONTRACT**

Number of GC Clause	Amendments of, and Supplements to, Clauses in the General Conditions of Contract
1.1(a) and 3.1: Applicable law	The Contract shall be construed in accordance with the law of Kenya
4.1:	The language is: English.
Language	
6.1 and 6.2:	The addresses are:
Communication	Client: Konza Technopolis Development Authority
s	Attention: The Chief Executive Officer
	E-mail (where permitted): procurement@konza.go.ke and ceo@konza.go.ke  Consultant:
	Attention :
	Facsimile :
	E-mail (where permitted) :
8.1:	If the Consultant is a Joint Venture consisting of more than one entity, the name of the JV
Authority of	member whose address is specified in Sub-Clause SCC 6.1 should be inserted here.]
member in	The Lead Member on behalf of the JV is: _ [insert name of the member]
charge	
9.1:	The Authorized Representatives are:
Authorized	For the Client: Chief Manager COM.
representatives	For the Consultant:[name, title]
11.1:	The Contract shall come into force at the date of Contract signature. There is no
Effectiveness of	effectiveness condition.
Contract	
13.1:	The Services shall start on: (The date will be advised after tender opening)
Commencement	
of Services	
14.1:	The time period shall be: 2 ( Months ) or TBD
Expiration of	
Contract	
23.1:	The following limitation of the Consultant's Liability towards the Client can be subject to the
Liability of the	Contract's negotiations:
Consultant	"Limitation of the Consultant's Liability towards the Client:
	(a) Except in the case of gross negligence or willful misconduct on the part of the
	Consultantor on the part of any person or a firm acting on behalf of the
	Consultant in carrying out the Services, the Consultant, with respect to
	damage caused by the Consultant to the Client's property, shall not be
	liable to the Client:
	(i) for any indirect or consequential loss or damage; and
	(ii) for any direct loss or damage that exceeds [insert a multiplier, e.g.: one,
	two, three] times the total value of the Contract;

24.1:	The insurance coverage against the risks shall be as follows:					
Insurance to be	a) Professional liability insurance, with a minimum coverage of [insert amount (and					
taken out by the	currency) which should be not less than the total Contract amount];					
Consultant	<ul> <li>b) Third Party liability insurance, with a minimum coverage of [insert amountand currency or state "in accordance with the Applicable law"];</li> <li>c) Client's liability and workers' compensation insurance for the Consultant's</li> </ul>					
	Experts and Subconsultants in accordance with the relevant provisions of the					
	Applicable law in the Client's country, as well as, with respect to such Experts, any such life, health, accident, travel or other insurance as may be					
	appropriate.					
Number of GC	Amendments of, and Supplements to, Clauses in the General					
Clause	Conditions of Contract					
26.2:	The Consultant shall not use the documents for purposes unrelated to this					
	Contract without the prior written approval of the Client.]					
40.2:	The Contract price time-based is:					
Ceiling amount	and currency for each currency] inclusive of all cost and taxes.					
(time-based) or						
Contract price						
(lump-sum)						
44.1:	The payment schedule: Will be discussed during contract negotiation					
The lump-sum						
Instalment	NOTE: Where the client recommends that a full study be undertaken, the consultant					
payments	will be required to carry out the same within the provision of the existing contract.					

# 48.1: Dispute resolution

# Disputes shall be settled by arbitration in accordance with the following provisions:

- 1. Selection of the Arbitrator: Each dispute submitted by a Party to arbitration shall be heard by a sole arbitrator, in accordance with the following provisions:

  The Parties may agree to appoint a sole arbitrator or, failing agreement on the identity of such sole arbitrator within thirty (30) days after receipt by theother Party of the Proposal of a name for such an appointment by the Party who initiated the proceedings, either Party may apply to the Federation Internationale des Ingenieurs-Conseil (FIDIC) of Lausanne, Switzerland for a list of not fewer than five (5) nominees and, on receipt of such list, the Partiesshall alternately strike names therefrom, and the last remaining nominee on the list shall be the sole arbitrator for the matter in dispute. If the last remaining nominee has not been determined in this manner within sixty (60) days of the date of the list, the FIDIC shall appoint, upon the request of either Party and from such list or otherwise, a sole arbitrator for the matter in dispute.
- Rules of Procedure: Except as otherwise stated herein, arbitration proceedings shall be conducted in accordance with the rules of procedure for arbitration of the United Nations Commission on International Trade Law (UNCITRAL) as in force on the date of the Contract.
- 3. <u>Nationality and Qualifications of the Arbitrator</u>: The sole arbitrator appointed shall be an internationally recognized legal or technical expert with extensive experience in relation to the matter in dispute and shall not be a national of the Consultant's home country (or of the home country of any of their members or Parties in case of Joint Venture) or of the Client's country. For thepurposes of this Clause, "home country" means any of:
  - The country of constitution of the Consultant or of any of their membersor Parties; or
  - b) The country in which the Consultant's or any of their members' or Parties' principal place of business is located; or
  - The country of nationality of a majority of the Consultant's or of any members' or Parties' shareholders; or
  - d) The country of nationality of the Subconsultants concerned, where the dispute involves a subcontract.
- 4. <u>Miscellaneous</u>: In any arbitration proceeding hereunder:

## Number of GC Clause

# Amendments of, and Supplements to, Clauses in the General Conditions of Contract

- Proceedings shall, unless otherwise agreed by the Parties, be held in [select a country which is neither the Client's country nor the Consultant's country];
- b) The English language shall be the official language for all purposes; and
- The decision of the sole arbitrator shall be final and binding and shall be enforceable in any court of competent jurisdiction, and the Parties hereby waive any objections to or claims of immunity in respect of such enforcement.

## **SECTION 9: APPENDICES**

## Appendix A - Terms of Reference

[This Appendix shall include the final Terms of Reference (TORs) worked out by KoTDA and the Consultant during the negotiations; dates for completion of various tasks; location of performance for different tasks; detailed reporting requirements; Procuring Entity's input, including counterpart personnel assigned by KoTDA to work on the Consultant' steam; specific tasks that require prior approval by KoTDA.

Insert the text based on the Section 5 (Terms of Reference) of the ITC in the RFP and modified based on the Forms TECH-1 through TECH-5 in the Consultant's Proposal. Highlight the changes to Section 5 of the RFP]

If the Services consist of or include the supervision of civil works, the following action that require prior approval of KoTDA shall be added to the Reporting Requirements" section of the TORs: Taking any action under a civil works contract designating the Consultant as Engineer", for which action, pursuant to such civil works contract, the written approval of KoTDA is required.

## Appendix B - Key Experts

[Insert a table based on Form TECH-6 of the Consultant's Technical Proposal and finalized at the Contract's negotiations. Attach the CVs (updated and signed by the respective Key Experts) demonstrating the qualifications of Key Experts.]

[Specify Hours of Work for Key Experts: List here the hours of work for Key Experts; travel time to/ from Kenya; entitlement, if any, to leave pay; public holidays in Kenya that may affect Consultant's work; etc. Make sure there is consistencywithFormTECH-6. In particular: one month equals twenty-two (22) working (billable) days. One working (billable) day shall be not less than eight (8) working (billable) hours.]

## Appendix C - Remuneration Cost Estimates

## 1. Monthly rates for the Experts:

[Insert the table with the remuneration rates. The table shall be based on [Form FIN-3] of the Consultant's Proposal and reflect any changes agreed at the Contract negotiations, if any. The footnote shall list such changes made to [Form FIN-3] at the negotiations or state that none has been made.]

2 [When the Consultant has been selected under Quality-Based Selection method, or KoTDA has requested the Consultant to clarify the breakdown of very high remuneration rates at the Contract's negotiations also add the following:

"The agreed remuneration rates shall be stated in the attached Form: Breakdown of Agreed Fixed Rates in Consultant's Contract. This form shall be prepared on the basis of Appendix A to Form FIN-3 of the RFP "Consultants' Representations regarding Costs and Charges" submitted by the Consultant to KoTDA prior to the Contract's negotiations.

Should these representations be found by KoTDA (either through inspections or audits pursuant to Clause GCC 25.2 or through other means) to be materially in complete or in accurate, KoTDA shall be entitled to introduce appropriate modifications in the remuneration rates affected by such materially in complete or inaccurate representations. Any such modification shall have retroactive effect and, in case remuneration has already been paid by KoTDA before any such modification, (i) KoTDA shall been titled to offset any excess payment against the next monthly payment to the Consultants, or (ii) if there are no further payments to be made by KoTDA to the Consultants, the Consultants shall reimburse to KoTDA any excess payment with in thirty (30)days of receipt of a written claim of KoTDA. Any such claim by KoTDA for reimbursement must be made within twelve (12) calendar months after receipt by KoTDA of a final report and a final statement approved by KoTDA in accordance with Clause GCC45. 1 (d) of this Contract."

PROVISION OF CONSULTANCY SERVICES FOR CONTRACT ADMINISTRATION AND CONSTRUCTION SUPERVISION FOR THE HORIZONTAL INFRASTRUCTURE AND PUBLIC FACILITIES

## BREAKDOWN OFAGREED FIXED RATES IN CONSULTANT'S CONTRACT

We hereby confirm that we have agreed to pay to the Experts listed, who will be involved in performing the Services, the basic fees and away from the home office allowances (if applicable) indicated below:

(Expressed in [insert name of currency]) \*

Experts		1	2	3	4	5	6	7	8
Name	Position	Basic Remuneration rate per Working Month/Day/Year	Social Charges <sup>1</sup>	Overhead <sup>1</sup>	Subtotal	Profit <sup>2</sup>	Away from Home Office Allowance	Agreed Fixed Rate per Working Month/Day/Hour	Agreed Fixed Rate per Working Month/Day/Hour <sup>1</sup>
Home Office									
Work in Keny	va								
1 71110000	aspercemac	i 1801.4			i	i	i	i	i <del></del>

Signature	Date
Name and Title:	

<sup>\*</sup> If more than one currency, add a table

## APPENDIX D: REIMBURSABLE EXPENSES COST ESTIMATES

- 1. {Insert the table with the Reimbursable Expenses rates. The table shall be based on [Form FIN-4] of the Consultant's Proposal and reflect any changes agreed at the Contract negotiations, if any. The foot note shall list such changes made to [FormFIN-4] at the negotiations or state that none has been made.]
- 2. All reimbursable expenses shall be reimbursed at actual cost, unless otherwise explicitly provided in this Appendix, and in no event shall reimbursement be made in excess of the Contract amount.

Notification of Intention to Award and Beneficial Ownership Forms

# APPENDIX E: FORM OFADVANCE PAYMENTS GUARANTEE

[Note: See Clause GCC 41.2 and
SCC41.2] Bank Guarantee for Advance
Payment  [Bank's Name and Address of Issuing Branch or Office]  Beneficiary:  [Name and Address of Procuring Entity]  Date:
ADVANCE PAYMENT GUARANTEE No
We have been informed that [name of Consultant or a name of the Joint Venture, same as appears on the signed Contract] (herein after called" the Consultant") has entered into Contract No [Reference number of the contract] dated with you, for the provision of [brief description of Services] (hereinafter called" the Contract").
Furthermore, we understand that, according to the conditions of the Contract, an advance payment in the sum of[Amount in figures] () [amount in words] is to be made against an advance payment guarantee.
At the request of the Consultant, we[name of bank] hereby irrevocably undertake to pay you any
sum or sums not exceeding in total an amount of[amount in figures] () [amount in words] upon receiptby us of your first demand in writing accompanied by a written statement stating that the Consultant are in breachof their obligation under the Contract because the Consultant have used the advance payment for purposes other than toward providing the Services under the Contract. It is a condition for any claim and payment under this guarantee to be made that the advance payment referred to above must have been received by the Consultant on their account numberat
The maximum amount of this guarantee shall be progressively reduced by the amount of the advance payment repaid by the Consultant as indicated in copies of certified monthly statements which shall be presented to us. This guarantee shall expire, at the latest, upon our receipt of the monthly payment certificate indicating that the Consultant has made  Full repayment of the amount of the advance payment, or on the day of
date. This guarantee is subject to the Uniform Rules for Demand Guarantees, ICC Publication No.758.
[signature (s)]
Note: All italicized text is for indicative purposes only to assist in preparing this form and shall be deleted from the final product.

<sup>&</sup>lt;sup>1</sup>The Guarantor shall insert an amount representing the amount of the advance payment and denominated either in the currency (ies) of the advance payment as Specified in the Contract, or in a freely convertible currency acceptable to KoTDA.

<sup>&</sup>lt;sup>2</sup>Insert the expected expiration dates. In the event of an extension of the time for completion of the Contract, KoTDA would need to request an extension of this guarantee from the Guarantor. Such request must be in writing and must be made prior to the expiration date established in the guarantee. In preparing this guarantee, KoTDA might consider adding the following text to the form, at the end of the penultimate paragraph: "The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months] [one year], in response to KoTDA's written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee."

## **SECTION 10. NOTIFICATION FORMS**

## 1. NOTIFICATION OF INTENTION TO ENTER INTO AWARD

Procuring Entity:	[insert the name of the Entity]
Contract title:	[insert the name of the contract
RFP No:	[insert RF Preference number]

This Notification of Intention to Award (Notification) notifies you of our decision to award the above contract. The transmission of this Notification begins the Standstill Period. During the Standstill Period you may:

- a) Request a debriefing in relation to the evaluation of your Proposal, and/or
- b) Submit a Procurement-related Complaint in relation to the decision to award the contract.

The successful Consultant

Name:	[insert name of successful Consultant			
Address:	[insert address of the successful Consultant]			
Contract price:	[insert contract price of the successful Consultant]			

## i) Short listed Consultants

[INSTRUCTIONS: insert names of all short-listed Consultants and indicate which Consultants submitted Proposals. Where the selection method requires it, state the price offered by each Consultant as readout, and as evaluated. Include over all technical cores and scores assigned for each criterion and sub-criterion.]

	Submitted Proposal	Overall technical score	Financial Proposal Price	Evaluated Financial Proposal Price (If applicable)	Combined Score and ranking (if applicable)
[insert name]	[yes/no]	Criterion (i): [insert score]  Criterion (ii): [insert score Criterion (iii): [insert score Sub-criterion a:  1:[insert score]	[Proposal price]	[evaluated price]	Combined Score: [combined score] Ranking: [ranking]
[insert name]		Total score{insert score			

	Submitted Proposal	Overall technical score	Financial Proposal Price	Evaluated Financial Proposal Price (If applicable)	Combined Score and ranking (if applicable)
[insert name]	[yes/no]	Criterion (i): [insert score   Criterion (ii): [insert score   Criterion (iii): [insert score   Sub-criterion a: 1:[insert score] 2:[insert score] 3:[insert score] Sub-criterion b:  1:[insert score] 2:[insert score] 2:[insert score] Criterion (iv):[insert score] Criterion (v): [insert score] Total score: [insert score,]	[Proposal price]	[evaluated price]	Combined Score:  [combined score]  Ranking: [ranking]
[insert name]					

(ii) Reason/s why your Proposal was unsuccessful [Delete if the combined score already reveals the reason].

[INSTRUCTIONS; State the reason/s why this Consultant's Proposal was unsuccessful. Do NOT include: (a) a point by point comparison with another Consultant's Proposal or (b) information that is marked confidential by the Consultant in its Proposal.]

iii)	How to request a debriefing	[This	applies	only i	f your	proposal	was	unsuccessful	as stated	under poi	nt (3)
	above].										

**DEADLINE:** The deadline to request a debriefing expires at midnight on [insert date] (local time). You may request a debriefing in relation to the results of the evaluation of your Proposal. If you decide to request a debriefing your written request must be made within five (5) Business Days of receipt of this Notification of Intention to Award. Provide the contract name, reference number, name of the Consultant, contact details; and address the request for debriefing as follows: Attention: [insert full name of person if applicable] Title/position: [insert title/position] Agency: \_\_\_\_[insert name of Procuring Entity] Email address: \_\_\_\_\_\_\_[insert email address]Fax [insert fax number] delete if not number: used If your request for a debriefing is received within the 5 Business Days deadline, we will provide the debriefing within five (5) Business Days of receipt of your request. If we are unable to provide the debriefing within this period, the Standstill Period shall be extended by five (5) Business Days after the

date that the debriefing is provided. If this happens, we will notify you and confirm the date that the

extended Standstill Period will end.

The debriefing may be in writing, by phone, video conference call or in person. We shall promptly advise you in writing how the de briefing will take place and confirm the date and time.

If the deadline to request a debriefing has expired, you may still request a debriefing. In this case, we will provide the debriefing as soon as practicable, as and normally no later than fourteen (14) Business Days from the date of publication of the Contract Award Notice.

## (iv) How to Make a Complaint

**DEADLINE:** The deadline for submitting a Procurement-related Complaint challenging the decision to award the contract expires on midnight, [insert date] (local time).

Provide the contract name, reference number, name of the Consultant, contact details; and address the

Affention:	
Title/position:	[insert title/position]
Agency:	[insert name of Procuring Entity] Email
address:	[insert email address]
Fax number:	[insert fax number] delete not used
Procurement- related Correquested, or received, a	procurement process] [Upon receipt of this notification] you may submit a mplaint challenging the decision to award the contract. You do not need to have a debriefing before making this complaint. Your complaint must be submitted within received by us before the Standstill Period ends.
In summary, there are fou	r essential requirements:
	sted party'. In this case, that means a Consultant who has submitted a Proposal in and is the recipient of a Notification of Intention to Award.
The complaint can only	y challenge the decision to award the contract. You
<ul> <li>must submit the compla</li> </ul>	aint within the deadline stated above.
<ul> <li>You must include, in yo</li> </ul>	our complaint all of the information required by KoTDA.
(v) Stand still Period	
<b>DEADLINE:</b> The Standsti	ill Period is due to end at midnight on[insert date] (local time).
	(specify the number of business days as per Data Sheet 30.1) d in the Data Sheet after the date of transmission of this Notification of Intention to
	be extended. This may happen where we are unable to provide a debriefing withid deadline. If this happens, we will notify you of the extension.
	ng this Notification, please do not hesitate to contact us.
nave any allestions regarding	ng mis remication, picase as her hestiate to contact us.
	C LI LY TO A C .
	[insert the name of KoTDA]: Signature: Name:

Telephone:

\_\_\_\_ Email: \_\_\_\_\_

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## 2 NOTIFICATION OF AWARD

[Use letterhead paper of KoTDA] [Date]	To:	[name and address of the winning
Consultant] Subject: Notification of Award Contract No		
This is to notify you that your Proposal datedassignment] as negotiated with you on[Insert amount in numbers and		for the contract amount of
You are requested to:(i) sign and return the draft net from the date of receipt of this notification; and accordance with the Data Sheet of ITC 32.1 within included in Section 7 of the Request of Proposals	(ii) furnish the in eight (8) da	additional information on beneficial ownership in
Authorized Signature:		
Name and Title of Signatory:		
Name of Agency:		

Attachment: Draft Negotiated Contract

#### 3 BENEFICIAL OWNERSHIP DISCLOSURE FORM

#### INSTRUCTIONS TO CONSULTANTS: DELETE THIS BOX ONCE YOU HAVE COMPLETED THE FORM

This Beneficial Ownership Disclosure Form ("Form") is to be completed by the successful Consultant. In case of joint venture, the Consultant must submit a separate Form for each member. The beneficial ownership information to be submitted in this Form shall be current as of the date of its submission.

For the purposes of this Form, a Beneficial Owner of a Consultant is any natural person who ultimately owns or controls the Consultant by meeting one or more of the following conditions:

- Directly or indirectly holding 25% or more of the shares.
- Directly or in directly holding 25% or more of the voting rights.
- Directly or indirectly having the right to appoint a majority of the board of directors or equivalent governing body of the Consultant.

Request for Proposal Reference No.:	[insert identification					
no] Name of the Assignment:	[insert name of the assignment] to:					
[insert com	plete name of Procuring Entity]					
In response to your notification of award dated additional information on beneficial ownership:_options that are not applicable]						

I) We here by provide the following beneficial ownership information.

## Details of beneficial ownership

Identity of Beneficial Owner	Directly or indirectly holding 25% or more of the shares (Yes /No)	Directly or indirectly holding 25 % or more of the Voting Rights (Yes /No)	Directly or indirectly having the right to appoint a majority of the board of the directors or an equivalent governing body of the Consultant  (Yes /No)
[include full name (last, middle, first), nationality, country of residence]			

OR

ii) We declare that there is no Beneficial Owner meeting one or more of the following conditions: directly or indirectly holding 25% or more of the shares. Directly or indirectly holding 25% or more of the voting rights. Directly or indirectly having the right to appoint a majority of the board of directors or equivalent governing body of the Consultant.

OR

iii) We declare that we are unable to identify any Beneficial Owner meeting one or more of the following conditions. [If this option is selected, the Consultant shall provide explanation on why it is unable to identify any Beneficial Owner]

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Directly or indirectly holding 25% or more of the shares. Directly or indirectly holding 25% or more of the voting rights.
Directly or indirectly having the right to appoint a majority of the board of directors or equivalent governing body of the Consultant]"
Name of the Consultant:*[insert complete name of the Consultant]
NameofthepersondulyauthorizedtosigntheProposalonbehalfoftheConsultant: ** [insert complete name of person duly authorized to sign the Proposal]
Title of the person signing the Proposal:[insert complete title of the person signing the Proposal]
Signature of the person named above[Insert signature of person whose name and capacity are shown above]
Date signed[insert date of signing] day of[Insert month], [insert year]

<sup>\*</sup>In the case of the Proposal submitted by a Joint Venture specify the name of the Joint Venture as Consultant. In the event that the Consultant is a

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Joint venture, each reference to "Consultant" in the Beneficial Ownership Disclosure Form (including this Introduction there to) shall be read to refer to the